

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Quarter 3 (Apr - Jun), FY 2019 Milestone Progress Report

Federal Award Identification Number (FAIN)	PA-2019-021-00
Temporary Application Number	1947-2019-5
Award Name	FY 2019 Section 5337 - SEPTA SOGR Projects
Award Status	Active (Executed)
Award Budget Number	0

Part 1: Recipient Information

Name: Southeastern Pennsylvania Transportation Authority

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1947	Transit Authority	SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY	044543841

Part 2: Award Information

Title: FY 2019 Section 5337 - SEPTA SOGR Projects

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
PA-2019-021-00	Active (Executed)	Grant	2/21/2019	8/28/2019	No

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$131,379,803
Local			\$9,817,388
Local/In-Kind			\$0
State			\$23,027,564
State/In-Kind			\$0

Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$164,224,755

Part 3: Milestone Progress Report Information

Milestone Progress Report Details

Report Type	Report Period	Final Report?	Report Period Begin Date	Report Period End Date	Report Due Date	Submission Date
Quarterly	Quarter 3 (Apr - Jun), FY 2019	No	4/1/2019	6/30/2019	7/30/2019	7/30/2019

Status Log

Previous Status	New Status	Updated Date	Updated By
Submitted	FTA Review Complete	9/12/2019	Katherine Berrillo
Work in Progress	Submitted	7/30/2019	Kirnita Hinton
Task Launched	Work in Progress	7/1/2019	N/A

Milestone Progress Overview Remarks

Remark By Kirnita Hinton

Remark Type Award Overview

Remark Date 7/30/2019

Remark The projects in this grant are active and there are no outstanding claims or change orders in excesses of \$100,000.

Milestone Progress FTA Review Remarks

Remark By Katherine Berrillo

Remark Type FTA Remarks

Remark 9/12/2019

Date

Remark No Comment Entered

Milestone Details

Budget Activity Line Item: 12.26.20 - LEASE MISC RAIL EQUIPMENT

Line Item Description: This Activity Line Item partially funds capital asset trackage agreement payments (the capital portion of the Amtrak-SEPTA rail trackage agreement) to Amtrak for the period of 7/1/2018 to 06/30/2019. The total amount of the FY 2019 Amtrak payment is \$49,608,300 (\$39,686,640 Federal). To date, the FTA has provided \$773,735 towards the costs of the FY 2019 Amtrak payment. Please see the Project Funding Summary attached to this grant under Application Documents. This project is included in the FY 2019-2022 PA STIP under MPMS #59966 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity Quantity
12.26.20	RAIL TRANSITWAY LINES (122-00)	LEASE MISC RAIL EQUIPMENT	LEASE 1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$33,524,204
Local			\$270,289
Local/In-Kind			\$0
State			\$8,110,762
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$41,905,255

Milestone Name: Initial Expenditure

Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	7/1/2018		7/1/2018	The FY 2019 Amtrak lease payment began in July 2018.

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2019		6/30/2019	The FY 2019 Amtrak Lease was completed In June 2019.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/31/2019			

Budget Activity Line Item: 12.72.09 - FORCE ACCT VEHICLE REHAB

Line Item Description: This Activity Line Item funds SEPTA's FY 2019 Rail Vehicle Overhaul Program (VOH). The total cost of the FY 2019 Rail Vehicle Overhaul Program is \$47,052,881 (\$37,642,305 Federal). To date, the FTA has provided \$19,543,157 towards the costs of the FY 2019 Rail Vehicle Overhaul Program. This ALI fully funds the FY 2019 Rail Vehicle Overhaul Program (VOH). Please see the Project Funding Summary attached to this grant under Application Documents. The SEPTA Force Account Plan for the FY 2019 Vehicle Overhaul Program was approved by FTA on 06/25/2018 (see letter attached to this grant under Application Documents). The Force Account Plan details the overhaul type by vehicle and quantity. Indirect Costs will be applied to this Activity Line Item. This project is included in the FY 2019-2022 PA STIP under MPMS #60582 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.72.09	OTHER CAPITAL ITEMS (RAIL) (127-00)	FY 2019 Rail VOH	FORCE ACCOUNT	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$18,099,148
Local			\$145,924
Local/In-Kind			\$0
State			\$4,378,863
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost **\$22,623,935**

Milestone Name: Initial Expenditure

Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	7/1/2018		7/1/2018	The FY 2019 VOH program began in July 2018.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	9/30/2019			

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2019		6/30/2019	The FY 2018 VOH program was complete in June 2019.

Budget Activity Line Item: 12.71.11 - OTHER - 3RD PARTY

Line Item Description: This Activity Line Item funds the ninth debt service payment associated with a bond issuance funding for the Wayne Junction Intermodal Facility Reconstruction Project. The total cost of the project is approximately \$29,391,186. To date, FTA has provided \$19,477,214. Please see Project Funding Summary attached to this grant under Application Documents. This project is included in the FY 2019-2022 PA STIP under MPMS #60275 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.71.11	OTHER CAPITAL ITEMS (RAIL) (127-00)	OTHER - 3RD PARTY	3RD PARTY CONSULTANT SERVICES (RAIL)	0

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$1,326,194
Local			\$10,693
Local/In-Kind			\$0
State			\$320,856
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,657,743

Milestone Name: Initial Expenditure

Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/1/2019		6/1/2019	The FY 2020 Debt Service payment was due in June 2019 or as soon as this grant was awarded.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/31/2019			

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2019		6/30/2019	The FY 2020 Debt Service payment was made in June 2019.

Budget Activity Line Item: 12.71.11 - OTHER - 3RD PARTY

Line Item Description: This Activity Line Item funds the ninth debt service payment

associated with a bond issuance for the Silverliner V Regional Rail Car Acquisition. The total cost of the Regional Rail Car Acquisition is approximately \$319.7 million. To date, FTA has provided \$202,668,001. Please see Project Funding Summary attached to this grant under Application Documents. This project is included in the FY 2019-2022 PA STIP under MPMS #60275 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.71.11	OTHER CAPITAL ITEMS (RAIL) (127-00)	OTHER - 3RD PARTY	3RD PARTY CONSULTANT SERVICES (RAIL)	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$11,929,405
Local			\$96,181
Local/In-Kind			\$0
State			\$2,886,171
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,911,757

Milestone Name: Initial Expenditure
Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/1/2019		6/1/2019	The FY 2020 Debt Service payment was due in June 2019 or as soon as this grant was awarded.

Milestone Name: Project Closeout
Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/31/2019			

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2019		6/30/2019	The FY 2020 Debt Service payment was made in June 2019.

Budget Activity Line Item: 12.7A.00 - PREVENTIVE MAINTENANCE (RAIL)

Line Item Description: This Activity Line Item funds Preventive Maintenance for all eligible activities associated with vehicle and facility maintenance, including: 1) repair of buildings, grounds, and equipment; 2) operation of electric power facilities; 3) maintenance of vehicle movement control systems, fare collection, counting equipment and structures; and 4) maintenance of general administration buildings, grounds, equipment, and electrical facilities, as defined in the National Transit Database Reporting Manual for the period of 7/1/2018 to 6/30/2019. This project is included in the FY 2019-2022 PA STIP under MPMS #60317 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.7A.00	OTHER CAPITAL ITEMS (RAIL) (127-00)	PREVENTIVE MAINTENANCE (RAIL)	OTHER CAPITAL ITEMS (RAIL)	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$36,200,000
Local			\$9,050,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$45,250,000

Milestone Name: Initial Expenditure

Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	7/1/2018		7/1/2018	The FY 2019 Preventive Maintenance Program began on 7/1/218.

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2019		6/30/2019	The FY 2019 Preventive Maintenance program was completed in June 2019.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/30/2019			

Budget Activity Line Item: 12.34.02 - REHAB/RENOV - RAIL STATION

Line Item Description: This Activity Line Item funds Phase 1 of the Exton Station Improvements project. Phase 1 includes the construction of high level platforms with canopies and wind screens; stormwater management improvements; a new station building; fully ADA compliant facilities; and new lighting, signage, security features, and passenger amenities. The total project cost for the Exton Station Improvements Project is \$24,420,158 (\$17,316,530 Federal). To date, FTA has provided \$17,316,530; this line item increment fully funds this project. Please see the Project Funding Summary attached to this grant under Application Documents. Indirect costs will be applied to this Activity Line Item. The useful life for this facility is 40 years as per FTA Circular 5010.1E. This project is included in the FY 2019-2022 PA STIP under MPMS #93588 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
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12.34.0 RAIL - REHAB/
 2 STATION/STOPS/TERMINAL RENOV - RAIL REHAB/
 S (123-00) STATION RENOVATE 1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$6,000,000
Local			\$48,375
Local/In-Kind			\$0
State			\$1,451,625
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,500,000

Milestone Name: RFP/IFB

Milestone Description: RFP/IFB

Revision #	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	4/15/2015		4/15/2015	SEPTA advertised this project in April 2015.

Milestone Name: Contract Award

Milestone Description: Contract Award

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2015		6/30/2015	SEPTA awarded the contract in June 2015.

Milestone Name: NTP

Milestone Description: NTP

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2015		6/30/2015	SEPTA issued a notice to proceed June 2015.

Budget Activity Line Item: 12.63.01 - CONSTRUCT TRAIN CONTROL-SIGNAL SYS

Line Item Description: This Activity Line Item funds SEPTA's Positive Train Control (PTC) project. The total project cost for the Positive Train Control project is \$170,000,000. To date, FTA has provided \$122,183,999. Please see Project Funding Summary attached to this grant under Application Documents. This project is included in the FY 2019-2022 PA STIP under MPMS# 60255 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.63.01	SIGNAL/COMMUNICATION (RAIL) (126-00)	CONSTRUCT TRAIN CONTROL-SIGNAL SYS	CONSTRUCTIO N	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$4,000,000
Local			\$32,250
Local/In-Kind			\$0
State			\$967,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,000,000

Milestone Name: RFP/IFB

Milestone Description: RFP/IFB

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	11/1/2010		11/1/2010	SEPTA advertised this project in January.

Milestone Name: Contract Award

Milestone Description: Contract Award

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	3/30/2012		3/29/2012	SEPTA issued a

noticed to proceed for this project in March 2012.

Milestone Name: Contract Complete

Milestone Description: Contract Complete

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/31/2020	12/30/2020		SEPTA currently anticipates the contract will be complete in 2020. SEPTA previously extended the scope and duration of the PTC contract to address additional passenger safety measures that were previously not feasible for technical, financial and/or service related reasons.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/1/2021	6/1/2021		SEPTA currently anticipates the project will be closed out in June 2021.

Budget Activity Line Item: 12.34.02 - REHAB/RENOV - RAIL STATION

Line Item Description: This Activity Line Item funds Phase 1 of the Exton Station Improvements project. Phase 1 includes the construction of high level platforms with canopies and wind screens; stormwater management improvements; a new station building; fully ADA compliant facilities; and new lighting, signage, security features, and passenger amenities. The total project cost for the Exton Station Improvements Project is \$24,420,158 (\$17,316,530 Federal). To date, FTA has provided \$17,316,530; this line item increment fully funds this project. Please see the Project Funding Summary attached to this grant under Application Documents. Indirect costs will be applied to this Activity Line Item. The useful life for this facility is 40 years as per FTA Circular

5010.1E. This project is included in the FY 2019-2022 PA STIP under MPMS #93588 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.34.02	RAIL - STATION/STOPS/TERMINALS (123-00)	REHAB/RENOV - RAIL STATION	REHAB/RENOVATE	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$6,000,000
Local			\$48,375
Local/In-Kind			\$0
State			\$1,451,625
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,500,000

Milestone Name: Contract Complete

Milestone Description: Contract Complete

Revision #	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	9/30/2019	9/30/2019		SEPTA currently anticipates that the contract will be complete. Construction 92% complete.

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/31/2019	1/30/2020		SEPTA anticipates that the project will be closed out in January 2020.

Budget Activity Line Item: 12.63.01 - CONSTRUCT TRAIN CONTROL-SIGNAL SYS

Line Item Description: This Activity Line Item funds SEPTA's Positive Train Control (PTC) project. The total project cost for the Positive Train Control project is \$170,000,000. To date, FTA has provided \$122,183,999. Please see Project Funding Summary attached to this grant under Application Documents. This project is included in the FY 2019-2022 PA STIP under MPMS# 60255 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.63.01	SIGNAL/COMMUNICATION (RAIL) (126-00)	CONSTRUCT TRAIN CONTROL-SIGNAL SYS	CONSTRUCTIO N	1

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$4,000,000
Local			\$32,250
Local/In-Kind			\$0
State			\$967,750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,000,000

Milestone Name: NTP

Milestone Description: NTP

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	12/30/2012		3/29/2012	SEPTA issued a notice to proceed for this contract in March 2012.

Budget Activity Line Item: 12.72.09 - FORCE ACCT VEHICLE REHAB

Line Item Description: This Activity Line Item funds SEPTA's FY 2020 Rail Vehicle Overhaul Program (VOH). The total cost of the FY 2020 Rail Vehicle Overhaul Program is \$49,521,153 (\$39,616,922 Federal). This ALI is the first increment of funding for the FY 2020 Rail VOH Program. Please see the Project Funding Summary attached to this grant under Application Documents. The SEPTA Force Account Plan for the FY 2020

Vehicle Overhaul Program is presently under development by SEPTA. Prior to incurring any costs for the FY 2020 Vehicle Overhaul Program, SEPTA will ensure that the Force Account Plan for the FY 2020 VOH is approved. The Force Account Plan details the overhaul type by vehicle and quantity. Indirect Costs will be applied to this Activity Line Item. This project is included in the FY 2019-2022 PA STIP under MPMS #60582 and is attached to this grant under Application Documents.

Line Item #	Scope Name / Code	Custom Item Name	Activity	Quantity
12.72.09	OTHER CAPITAL ITEMS (RAIL) (127-00)	FY 2020 Rail VOH	FORCE ACCOUNT	1
Funding Source		Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants		5337	20525	\$20,300,852
Local				\$163,676
Local/In-Kind				\$0
State				\$4,911,537
State/In-Kind				\$0
Other Federal				\$0
Transportation Development Credit				\$0
Adjustment				\$0
Total Eligible Cost				\$25,376,065

Milestone Name: Initial Expenditure

Milestone Description: Initial Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	7/1/2019			

Milestone Name: Final Expenditure

Milestone Description: Final Expenditure

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks
1	6/30/2020			

Milestone Name: Project Closeout

Milestone Description: Project Closeout

Revision#	Original Estimated Completion Date	Revised Completion Date	Actual Completion Date	Milestone Progress Remarks

1

9/30/2020