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You are receiving this questionnaire because your organization has failed to complete its annual re-certification to the U.S. Department of Commerce's International Trade Administration (ITA) regarding participation in the EU-U.S. Data Privacy Framework (EU-U.S. DPF) and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF). As a result, the ITA has removed your organization from the Data Privacy Framework List with regard to the relevant part(s) of the DPF program.

Your organization must continue to apply the DPF Principles to the personal data that it received under the the relevant part(s) of the DPF program and affirm to the ITA on an annual basis its commitment to do so, for as long as it stores, uses or discloses such data; otherwise, your organization must return or delete the data or provide "adequate" protection for the data by another authorized means.

Your organization must cease making any explicit or implicit claims, whether on its website(s) or in other materials (e.g., any privacy policy or marketing materials), that it participates in or complies with and may receive personal data pursuant to the relevant part(s) of the DPF program.

Your organization must verify whether it intends to withdraw or instead intends to re-certify. If your organization intends to withdraw, it must further verify what it will do and/or has done (as applicable) with the personal data that it received in reliance on its participation in the relevant part(s) of the DPF program. If your organization intends to re-certify, it must further verify to the ITA that during the lapse of its certification status it applied the DPF Principles to personal data received in reliance on its participation in the relevant part(s) of the DPF program and clarify what steps it will take to address the outstanding issues that have delayed its re-certification. In either case your organization must also verify who within the organization will serve as an ongoing point of contact for DPF-related questions.

Failure to respond to this request within 30 days may be subject to enforcement action by the Federal Trade Commission, the U.S. Department of Transportation, or other enforcement authorities.

Failure to Re-certify Questionnaire

- 1) Please confirm that: (i) you are authorized to make representations on behalf of your organization and its covered U.S. entities and U.S. subsidiaries regarding its adherence to the DPF Principles; (ii) the information submitted to the U.S. Department of Commerce for purposes of self-certification, including with regard to personal data received in reliance on its participation in the relevant part(s) of the DPF program, is accurate and correct; (iii) you understand that misrepresentations in any information provided to the Department may be actionable under the False Statements Act, 18 U.S.C. § 1001; and (iv) you understand that failure to adhere to the DPF Principles with regard to such personal data may lead to enforcement actions by the relevant enforcement authority.

- 2) Please provide the following information concerning the organization that self-certified its adherence to the DPF Principles:
 - a. Organization Name;

- b. Organization Contact (the individual and/or office within your organization handling complaints, access requests, and any other issues concerning your organization's compliance with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF);
 - i. Name;
 - ii. Job title;
 - iii. Phone number; and
 - iv. E-mail address
- c. Organization Corporate Officer (the individual certifying your organization's compliance with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF);
 - i. Name;
 - ii. Job title;
 - iii. Phone number; and
 - iv. E-mail address
- d. Mailing Address

3) Please verify whether your organization wishes to withdraw from part(s) of the DPF program:

- a. Yes; or
- b. No.

If your organization wishes to withdraw from part(s) of the DPF program, select the relevant part(s):

- 4) With regard to personal data received in reliance on the relevant part(s) of the DPF program, please verify that your organization will:
- a. Retain such data, continue to apply the DPF Principles to such data, and affirm to the ITA on an annual basis its commitment to apply the DPF Principles to such data;

- b. Retain such data and provide “adequate” protection for such data by another authorized means; or
- c. Return or delete such data. If so, specify the date by which all such data was returned or deleted.

If your organization intends to re-certify its compliance with the relevant part(s) of the DPF program:

- 5) Please verify that, during the lapse of your organization’s certification status, your organization applied the DPF Principles to personal data received under the relevant part(s) of the DPF program.
- 6) Please clarify what steps your organization will take to address the outstanding issues that have delayed its re-certification: (select all that apply)
 - a. Submit re-certification application;
 - b. Make appropriate revisions to privacy policy statements;
 - c. Make privacy policy statements available for review;
 - d. Clarify selection of or put in place an appropriate independent recourse mechanism;
 - e. Submit payment for the relevant DPF fees;
 - f. Other step(s) (please describe).

Privacy Act Notice: The U.S. Department of Commerce’s International Trade Administration (ITA) collects the information requested in this form to administer and oversee participation in the Data Privacy Framework (DPF) program, including to determine whether any follow up or referral to appropriate enforcement authorities is warranted. The information includes business contact details and information about your organization’s DPF program-related practices and will be used to assess, as applicable, eligibility for or continued participation in the DPF program and to support related oversight activities. Providing this information is voluntary; however, failure to provide complete and accurate information may, as applicable, affect your organization’s participation in the DPF program and may result in referral to appropriate enforcement authorities for further action.