

# Data Privacy Framework (DPF) Program Self-Certification / Re-Certification Application Form

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## Getting Started

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**Public Burden Statement:** A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0625-0280 (expires 07/31/2026). Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 40 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are voluntary. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to ITA Paperwork Reduction Act Officer at [PRA@trade.gov](mailto:PRA@trade.gov).

The OMB control number and expiration date cited above relate to the form itself rather than your organization's self-certification to the EU-U.S. Data Privacy Framework (EU-U.S. DPF) and, as applicable, the UK Extension to the EU-U.S. Data Privacy Framework (UK Extension to the EU-U.S. DPF), and/or the Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF).

Please review the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF and prepare the [required information](#) before completing this form.

- To proceed, please confirm, by checking this box, that you have reviewed the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF and prepared the required information cited above.

If you have any difficulty completing this form or have questions concerning the Data Privacy Framework (DPF) program self-certification process, please contact the Data Privacy Framework (DPF) team at the International Trade Administration, U.S. Department of Commerce online, whenever possible, via the DPF program website by using the [Assistance](#) tool provided above, or by phone at 202-482-1512.

Additional information regarding the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF, including the annual fee payable to the U.S. Department of Commerce's International Trade Administration (ITA) to participate in the DPF program, is available on the DPF program website at [Program-Overview](#).

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**Privacy Act Notice:** The U.S. Department of Commerce's International Trade Administration (ITA) collects the information requested in this form to administer and oversee participation in the Data Privacy Framework (DPF) program, including to determine whether any follow up or referral to appropriate enforcement authorities is warranted. The information includes business contact details and information about your organization's DPF program-related practices and will be used to assess, as applicable, eligibility for or continued participation in the DPF program and to support related oversight activities. Providing this information is voluntary; however, failure to provide complete and accurate information may, as applicable, affect your organization's participation in the DPF program and may result in referral to appropriate enforcement authorities for further action.

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## Framework Selection

Please indicate with which of the following your organization self-certifies its compliance:

- EU-U.S. Data Privacy Framework (EU-U.S. DPF)
- UK Extension to the EU-U.S. Data Privacy Framework (UK Extension to the EU-U.S. DPF)
- Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF)

Additional information regarding the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF, including the annual fee payable to the U.S. Department of Commerce's International Trade Administration (ITA) to participate in the DPF program, is available on the DPF program website at [Program-Overview](#).

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## Organization Information

If you are attempting to re-certify an existing organization, please go back to the My Data Privacy Framework dashboard and select the 'Manage' button associated with the applicable organization. If you are trying to complete an initial self-certification application for an organization, please fill out the fields below

\*Organization Legal Name (i.e., legal name of self-certifying U.S. organization)

\*Organization Display Name (i.e., this name, along with the legal name, would be displayed on the Data Privacy Framework List if the organization is placed on that public list)

\*Address

\*City

\*U.S. State or Territory

\*Zip Code

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## Contact Information

**Note:** You must include at least one Organization Contact, as well as one Organization Corporate Officer.

### Organization Contact

Provide a contact office and individual within your organization for the handling of complaints, access requests, and any other issues concerning your organization's compliance with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF.

\*First Name

\*Last Name

\*Title

\*Phone

Office

\*Email

### Organization Corporate Officer

Provide the individual certifying your organization's compliance with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF, including such compliance by all of your organization's U.S. entities or U.S. subsidiaries that it intends to be covered under its self-certification.

\*First Name

\*Last Name

\*Title

\*Phone

Office

\*Email

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## Organization Characteristics

Indicate your organization's annual revenue.

**Note:** This information will be used to determine the fee your organization must pay to self-certify to the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF and will not be publicly disclosed on the DPF program website.

\* Annual Revenue

Although your organization is not required to do so for purposes of its self-certification, please indicate the number of employees in your organization.

**Note:** This information will not be publicly disclosed on the DPF program website.

Number of Employees

Although your organization is not required to do so for purposes of its self-certification, please select the industry sector(s) applicable to your organization.

**Note:** This information will be publicly disclosed on the DPF program website.

Select Industry

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## Other Covered U.S. Entities and U.S. Subsidiaries

List all U.S. entities or U.S. subsidiaries of your organization that are also adhering to the EU-U.S. DPF Principles, including as applicable under the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF Principles and are covered under your organization's self-certification.

**Note:** The references to an organization in this form, as well as in the EU-U.S. DPF Principles and the Swiss-U.S. DPF Principles, include all covered U.S. entities and U.S. subsidiaries listed herein. Neither non-U.S. entities nor non-U.S. subsidiaries should be listed in this section of the form. In addition, the self-certifying organization itself should not be listed in this section of the form (i.e., as this section concerns other covered U.S. entities and U.S. subsidiaries).

- There are no U.S. entities or U.S. subsidiaries of my organization that are also adhering to the EU-U.S. DPF Principles and/or the Swiss-U.S. DPF Principles and are covered under my organization's self-certification.

\* Covered U.S. Entities or U.S. Subsidiaries

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## Covered Data and Dispute Resolution

### EU-U.S. Data Privacy Framework (EU-U.S. DPF)

Which types of [personal data](#) do your organization's commitments cover under the EU-U.S. DPF?

#### Personal Data other than Human Resources Data

**Note:** For purposes of this form the term human resources data (human resources sometimes being abbreviated in this form and on the DPF program website as "HR") refers generally to personal data about current or former employees collected in the context of the employment relationship; however, please be aware that under the DPF program human resources data collected in the context of the employment relationship is subject to different requirements depending on whether it is transferred: (1) for use in the context of the employment relationship; or (2) for use outside of the context of the employment relationship. Under the DPF program, use by your organization of the relevant European data protection authorities as the independent recourse mechanism would be required in scenario (1), but would be optional in scenario (2).

- Personal data other than human resources data

**Note regarding the independent recourse mechanism available to investigate unresolved complaints:** If your organization wishes its commitments under the EU-U.S. DPF to cover personal data transferred from the European Union other than human resources data transferred for use in the

context of the employment relationship, on an annual basis your organization must designate an independent recourse mechanism provided by a private-sector alternative dispute resolution body or choose to cooperate with the EU data protection authorities (DPAs) and have a DPA panel serve as your organization's independent recourse mechanism. Your organization's annual selection will apply to all information received by your organization under the EU-U.S. DPF other than human resources data transferred for use in the context of the employment relationship.

## Designate a Recourse Mechanism

### Recourse Mechanisms

- ANA DPF Dispute Resolution
- BBB National Programs DPF Services
- EU Data Protection Authorities (DPAs)
- ICDR/AAA Data Privacy Framework Program
- Insights Association DPF Services Program
- JAMS
- PrivacyTrust DPF Services
- TRUSTe
- VeraSafe DPF Dispute Resolution Program
- New Dispute Resolution

### ADD NEW RECOURSE MECHANISM

Please provide the name and website for the new recourse mechanism

\*Name

\*Website

## Human Resources Data

- **Human resources data**

**Note regarding the independent recourse mechanism available to investigate unresolved complaints:** If your organization wishes its commitments under the EU-U.S. DPF to cover human resources data transferred from the European Union for use in the context of the employment relationship, your organization must declare its commitment to cooperate with the EU authority or authorities concerned in conformity with the EU-U.S. DPF Supplemental Principles on Human Resources Data and the Role of the Data Protection Authorities and that your organization will comply with the advice given by such authorities.

- My organization receives or processes human resources data transferred from the European Union for use in the context of the employment relationship under the EU-U.S. DPF and agrees to

cooperate with the EU data protection authorities (DPAs) and comply with the advice given by such authorities with respect to this data.

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### **Purpose of Collecting Data**

Briefly describe the purposes for which your organization [processes](#) personal data in reliance on the Data Privacy Framework(s), including the types of personal data processed by your organization (e.g., organization, customer, client, visitor, and clinical trial data) and, if applicable, the type of third parties to which it discloses such personal information.

\* Purpose(s) of Collecting Data

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## **Covered Data and Dispute Resolution**

### **UK Extension to the EU-U.S. Data Privacy Framework (UK Extension to the EU-U.S. DPF)**

Which types of [personal data](#) do your organization's commitments cover under the UK Extension to the EU-U.S. DPF?

#### **Personal Data other than Human Resources Data**

**Note:** For purposes of this form the term human resources data (human resources sometimes being abbreviated in this form and on the DPF program website as "HR") refers generally to personal data about current or former employees collected in the context of the employment relationship; however, please be aware that under the DPF program human resources data collected in the context of the employment relationship is subject to different requirements depending on whether it is transferred: (1) for use in the context of the employment relationship; or (2) for use outside of the context of the employment relationship. Under the DPF program, use by your organization of the relevant European data protection authorities as the independent recourse mechanism would be required in scenario (1), but would be optional in scenario (2).

- **Personal data other than human resources data**

**Note regarding the independent recourse mechanism available to investigate unresolved complaints:**

If your organization wishes its commitments under the UK Extension to the EU-U.S. DPF to cover personal data transferred from the United Kingdom other than human resources data transferred for use in the context of the employment relationship, on an annual basis your organization must designate

an independent recourse mechanism provided by a private-sector alternative dispute resolution body or choose to cooperate with the UK Information Commissioner's Office (UK ICO) for such data transferred from the United Kingdom. Your organization's annual selection will apply to all information received by your organization under the UK Extension to the EU-U.S. DPF other than human resources data transferred for use in the context of the employment relationship.

## Designate a Recourse Mechanism

### Recourse Mechanisms

- ANA DPF Dispute Resolution
- BBB National Programs DPF Services
- ICDR/AAA Data Privacy Framework Program
- Insights Association DPF Services Program
- JAMS
- PrivacyTrust DPF Services
- TRUSTe
- UK Information Commissioner's Office (ICO)
- VeraSafe DPF Dispute Resolution Program
- New Dispute Resolution

### ADD NEW RECOURSE MECHANISM

Please provide the name and website for the new recourse mechanism

\*Name

\*Website

## Human Resources Data

- **Human resources data**

**Note regarding the independent recourse mechanism available to investigate unresolved complaints:** If your organization wishes its commitments under the UK Extension to the EU-U.S. DPF to cover human resources data transferred from the United Kingdom for use in the context of the employment relationship, your organization must declare its commitment to cooperate with the UK authority concerned in conformity with the EU-U.S. DPF Supplemental Principles on Human Resources Data and the Role of the Data Protection Authorities, as applied under the UK Extension to the EU-U.S. DPF, and that your organization will comply with the advice given by the UK Information Commissioner's Office (UK ICO).

- My organization receives or processes human resources data transferred from the UK for use in the context of the employment relationship under the UK Extension to the EU-U.S. DPF and

agrees to cooperate with the UK Information Commissioner's Office (UK ICO) and comply with the advice given by the UK ICO with respect to this data.

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## Covered Data and Dispute Resolution

### Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF)

Which types of [personal data](#) do your organization's commitments cover under the Swiss-U.S. DPF?

#### Personal Data other than Human Resources Data

**Note:** For purposes of this form the term human resources data (human resources sometimes being abbreviated in this form and on the DPF program website as "HR") refers generally to personal data about current or former employees collected in the context of the employment relationship; however, please be aware that under the DPF program human resources data collected in the context of the employment relationship is subject to different requirements depending on whether it is transferred: (1) for use in the context of the employment relationship; or (2) for use outside of the context of the employment relationship. Under the DPF program, use by your organization of the relevant European data protection authorities as the independent recourse mechanism would be required in scenario (1), but would be optional in scenario (2).

- **Personal data other than human resources data**

**Note regarding the independent recourse mechanism available to investigate unresolved complaints:** If your organization wishes its commitments under the Swiss-U.S. DPF to cover personal data transferred from Switzerland other than human resources data transferred for use in the context of the employment relationship, on an annual basis your organization must designate an independent recourse mechanism provided by a private-sector alternative dispute resolution body or choose to cooperate with the Swiss Federal Data Protection and Information Commissioner (Swiss FDPIC) for such data transferred from Switzerland. Your organization's annual selection will apply to all information received by your organization under the Swiss-U.S. DPF other than human resources data transferred for use in the context of the employment relationship.

#### Designate a Recourse Mechanism

Recourse Mechanisms

- ANA DPF Dispute Resolution
- BBB National Programs DPF Services

- ICDR/AAA Data Privacy Framework Program
- Insights Association DPF Services Program
- JAMS
- PrivacyTrust DPF Services
- Swiss Federal Data Protection and Information Commissioner (FDPIC)
- TRUSTe
- VeraSafe DPF Dispute Resolution Program
- New Dispute Resolution

#### ADD NEW RECOURSE MECHANISM

Please provide the name and website for the new recourse mechanism

\*Name

\*Website

### Human Resources Data

- **Human resources data**

#### **Note regarding the independent recourse mechanism available to investigate unresolved**

**complaints:** If your organization wishes its commitments under the Swiss-U.S. DPF to cover human resources data transferred from Switzerland for use in the context of the employment relationship, your organization must declare its commitment to cooperate with the Swiss Federal Data Protection and Information Commissioner (Swiss FDPIC) in conformity with the Swiss-U.S. DPF Supplemental Principles on Human Resources Data and the Role of the Federal Data Protection and Information Commissioner and that your organization will comply with the advice given by the Swiss FDPIC.

- My organization receives or processes human resources data transferred from Switzerland for use in the context of the employment relationship under the Swiss-U.S. DPF and agrees to cooperate with the Swiss Federal Data Protection and Information Commissioner (Swiss FDPIC) and comply with the advice given by the Swiss FDPIC with respect to this data.

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## Enforcement and Verification

Which appropriate U.S. statutory body has jurisdiction to investigate claims against your organization regarding possible unfair or deceptive practices and violations of laws or regulations covering privacy?

**Note:** To be transferred in reliance on the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF, personal data must be processed in connection with an activity that is subject to the jurisdiction of at least one appropriate statutory body listed below to investigate.

\*U.S. Statutory Body

- Federal Trade Commission
- U.S. Department of Transportation

List any privacy program in which your organization is a member:

What is your organization's [verification method](#)?

**Note:** Your organization must indicate whether the verification performed is through self-assessment or outside compliance reviews in conformity with the Supplemental Principle on Verification. If your organization has chosen an Outside Compliance Review, provide the name and website for the third party that conducts such reviews.

\*My organization's verification method is

- Self-Assessment
- Outside Compliance Review
  - BBB National Programs DPF Services
  - PrivacyTrust DPF Services
  - TRUSTe Data Privacy Framework Verification
  - VeraSafe DPF Verification and Certification Program

ADD CUSTOM VERIFICATION PROVIDER

Please provide name and website for the new verification provider

\*Name

\*Website

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## Privacy Policies

If your organization is self-certifying for the first time, upload a copy of your organization's relevant draft privacy policy. The draft privacy policy must be consistent with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF. Once the U.S. Department of Commerce's International Trade Administration (ITA) has determined that your organization's DPF submission is

otherwise complete, the DPF team will notify you to publish the DPF-consistent privacy policy, which must include a statement that your organization adheres to the EU-U.S. DPF Principles and/or the Swiss-U.S. DPF Principles (as applicable). In addition, if your organization intends to cover under its self-certification personal data transferred from the United Kingdom in reliance on the UK Extension to the EU-U.S. DPF, it must clearly indicate that its adherence to the EU-U.S. DPF Principles extends to such personal data. Your organization may not indicate participation in the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF in its published privacy policy or other public documents until the DPF team notifies your organization that it may do so.

**Note regarding privacy policies applicable to personal data other than human resources data transferred for use in the context of the employment relationship:** An organization that covers personal data other than human resources data transferred for use in the context of the employment relationship under its self-certification is required to make available to the general public the relevant privacy policy that covers such data. If your organization has a public website, provide the relevant web address where the DPF-consistent privacy policy is (or, in the case of first-time certifiers, will be) available. If your organization does not have a public website, provide information regarding where the DPF-consistent privacy policy is (or, in the case of first-time certifiers, will be) available for viewing by the general public and provide a copy of that privacy policy to the ITA by uploading such a copy to its self-certification submission (n.b., an uploaded copy of the policy would be made available on the DPF program website if your organization is placed on the Data Privacy Framework List).

**Note regarding privacy policies applicable to human resources data transferred for use in the context of the employment relationship:** Although an organization that covers human resources data under its self-certification is not required to make available to the general public the relevant privacy policy that exclusively covers human resources data transferred for use in the context of the employment relationship, it must provide information regarding where the DPF-consistent human resources privacy policy is (or, in the case of first-time certifiers, will be) available for viewing by affected employees and provide a copy of that privacy policy to the ITA by uploading such a copy to its self-certification submission (n.b., an uploaded copy of the policy would not be made available on the DPF program website even if your organization is placed on the Data Privacy Framework List).

\* Privacy Policy Name

\* Is this Policy current

\* Covered Data

\* Effective Date

\* Description

Please add a link to a public URL or upload a copy of your privacy policy

- Public URL
- Policy Document

ADD POLICY

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## Review Application

Before submitting the application and applicable processing fee, please review below the information that you provided. If you need to edit the information, select the applicable tabs above.

### Organization Information

Selected Organization:

Address                      City                      State

Postal Code

### Organization Contacts

First Name                      Last Name

Title                              Email

Phone                              Office

### Organization Corporate Officers

First Name                      Last Name

Title                              Email

Phone                              Office

### Organization Characteristics

Annual Revenue                      Number of Employees

Selected Industry Sectors

### Other Covered U.S. Entities and U.S. Subsidiaries

Annual Revenue                      Number of Employees

Selected Industry Sectors

## Covered Data and Dispute Resolution

EU-US Certification

Non-HR Data Recourse Mechanism

HR Data Recourse Mechanism

SW-US Certification

Non-HR Data Recourse Mechanism

HR Data Recourse Mechanism

UK Extension Certification

Non-HR Data Recourse Mechanism

HR Data Recourse Mechanism

## Purpose of Collecting Data

## Enforcement and Verification

Selected Statutory Body

Privacy Programs

Selected Verification Method

Selected Verification Providers

## Privacy Policies

Policy Name	Policy Current	Covered Data
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Effective Date	Description
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Public URL

## Notice

Please ensure that the below items are completed outside of this form before you submit the application.

**Note regarding the selection of the data protection authorities as an independent recourse mechanism:** If an organization wishes its commitments under the EU-U.S. Data Privacy Framework (EU-U.S. DPF) and, as applicable the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF) to cover human resources data transferred from the European Union / European Economic Area and, as applicable the United Kingdom (and Gibraltar), and/or Switzerland for use in the context of the employment relationship, it must commit to respectively cooperate with the EU/EEA data protection authorities (DPAs) and, as applicable, the UK Information Commissioner's Office (ICO) and the Gibraltar Regulatory Authority (GRA), and/or the Swiss Federal Data Protection and Information Commissioner (FDPIC) with regard to such data. Such an organization must therefore choose the EU DPAs and, as applicable, the UK ICO, and/or the Swiss FDPIC as the independent recourse mechanism for such data.

To utilize the EU DPAs as an independent recourse mechanism, an organization must pay an annual fee of U.S. \$50 in order to cover the operating costs of the EU DPA panel. The EU DPA panel fee is payable to the United States Council for International Business (USCIB), which has agreed to act as the trusted third party for this purpose (i.e., USCIB serves as the custodian of the funds collected through the EU DPA panel fee, but does not itself serve as an independent recourse mechanism). The EU DPA panel fee can be paid online [here](#).

No such fee is associated with the commitment to cooperate and comply with the UK ICO or the GRA under the UK Extension to the EU-U.S. DPF or the Swiss FDPIC under the Swiss-U.S. DPF.

**Note regarding the Annex I Binding Arbitration Mechanism:** As described in Annex I of the EU-U.S. DPF Principles, the Letter from the U.S. Department of Commerce's International Trade Administration (ITA) regarding the UK Extension to the EU-U.S. DPF, and Annex I of the Swiss-U.S. DPF Principles, an EU, UK, or Swiss individual has the option to invoke binding arbitration to determine whether a participating organization has violated its obligations under the DPF Principles as to that individual and whether any such violation remains fully or partially unremedied ("residual claims"). All organizations self-certifying to the EU-U.S. DPF and, as applicable the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF are required to contribute to a fund established to cover the arbitral costs associated with arbitration under Annex I, including arbitrator fees.

This requirement is separate from participating organizations' obligation to provide a readily available independent recourse mechanism, and to pay the annual fee to ITA to participate in the DPF program. The International Centre for Dispute Resolution-American Arbitration Association (ICDR-AAA) was selected to administer the arbitrations under Annex I and manage the arbitral fund. Please visit ICDR-AAA's [website](#) to make the required contribution

## Supporting Documents

You can upload up to 3 supporting documents along with your application.

Drag files here or choose from folder

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## Submit Payment & Application

- **Please confirm that:**
  - i. you are authorized to make representations on behalf of your organization and its covered U.S. entities and U.S. subsidiaries regarding its adherence to the DPF Principles;
  - ii. the information submitted to the U.S. Department of Commerce for purposes of self-certification, including with regard to personal data received in reliance on the relevant part(s) of the DPF program, is accurate and correct;
  - iii. you understand that misrepresentations in any information provided to the U.S. Department of Commerce may be actionable under the False Statements Act, 18 U.S.C. § 1001; and
  - iv. you understand that failure to adhere to the DPF Principles with regard to such personal data may lead to enforcement actions by the relevant enforcement authority.

### Application Processing Fee:

\$

The U.S. Department of Commerce's International Trade Administration (ITA) has implemented a cost recovery program to support the operation of the DPF program, which requires U.S. organizations to pay an annual fee to the ITA in order to participate in the DPF program. The cost recovery program will support the administration and supervision of the DPF program and support the provision of DPF-related services, including education and outreach. The fee a given organization is charged is based on the organization's annual revenue.

By clicking the Pay button on this page you will be redirected to the Pay.gov payment site where you will submit your payment information. Once you have submitted your payment information you will be redirected back to this site, so that you can complete your payment and submit your organization's self-certification application for review.

PAY & SUBMIT APPLICATION