

**SUPPORTING STATEMENT
U.S. DEPARTMENT OF COMMERCE
INTERNATIONAL TRADE ADMINISTRATION
SELF-CERTIFICATIONS UNDER THE DATA PRIVACY FRAMEWORK PROGRAM
OMB CONTROL NO. 0625-0280**

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

The purpose of this request of Paperwork Reduction Act (PRA) clearance is to allow the Department of Commerce (DOC), as represented by the International Trade Administration (ITA), to collect information from organizations in the United States to enable such organizations to self-certify their commitment to comply with the EU-U.S. Data Privacy Framework (EU-U.S. DPF) Principles and, as applicable, the UK Extension to the EU-U.S. Data Privacy Framework (UK Extension to the EU-U.S. DPF), and/or the Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF) Principles. A related purpose of this request is to allow the DOC, as represented by ITA, to monitor those organizations' compliance with the EU-U.S. DPF Principles and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF Principles. The DOC previously requested and obtained standard approval of this information collection (OMB Control No. 0625-0280), which expires on July 31, 2026. The DOC currently requests standard renewal of this information collection, which concerns critical components of the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF and thus critical components of the Data Privacy Framework program.

The United States, the European Union (EU), the United Kingdom (UK), and Switzerland share a commitment to enhancing privacy protection, the rule of law, and a recognition of the importance of transatlantic data flows to our respective citizens, economies, and societies, but take different approaches to doing so. Given those differences, the DOC developed the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF in consultation with the European Commission, the UK Government, the Swiss Federal Administration, industry, and other stakeholders. These arrangements were respectively developed to provide U.S. organizations reliable mechanisms for personal data transfers to the United States from the European Union, the United Kingdom (and, as applicable, Gibraltar), and Switzerland while ensuring data protection that is consistent with EU, UK, and Swiss law.

The DOC issued the EU-U.S. DPF Principles and the Swiss-U.S. DPF Principles, including the respective sets of Supplemental Principles (collectively the Principles) and Annex I of the Principles, as well as the UK Extension to the EU-U.S. DPF under its statutory authority to foster, promote, and develop international commerce (15 U.S.C. § 1512). ITA administers and supervises the Data Privacy Framework program, including by maintaining and making publicly available the Data Privacy Framework List, an authoritative list of U.S. organizations that have self-certified to the DOC and declared their commitment to adhere to the Principles pursuant to the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF. Such organizations must respond promptly to inquiries and requests from ITA for information relating to their adherence to the Principles. On the basis of the Principles,

Executive Order 14086, 28 CFR part 201, and accompanying letters and materials, including ITA’s commitments regarding the administration and supervision of the Data Privacy Framework program, the European Commission, the UK Government, and the Swiss Federal Administration have respectively recognized the adequacy of the protection provided by the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF¹, and the Swiss-U.S. DPF thereby enabling personal data transfers from each respective jurisdiction to U.S. organizations participating in the relevant part of the Data Privacy Framework program.

Organizations participating in the EU-U.S. DPF may receive personal data from the European Union / European Economic Area in reliance on the EU-U.S. DPF effective July 10, 2023. July 10, 2023, is the date of entry into force of the European Commission’s adequacy decision for the EU-U.S. DPF and the effective date of the EU-U.S. DPF Principles, including the Supplemental Principles and Annex I of the Principles. The adequacy decision enables the transfer of EU personal data to participating organizations consistent with EU law.

Organizations participating in the UK Extension to the EU-U.S. DPF may receive personal data from the United Kingdom and Gibraltar in reliance on the UK Extension to the EU-U.S. DPF effective October 12, 2023, which is the date of entry into force of the adequacy regulations implementing the data bridge for the UK Extension to the EU-U.S. DPF. The data bridge for the UK Extension to the EU-U.S. DPF enables the transfer of UK and Gibraltar personal data to participating organizations consistent with UK law.

Organizations participating in the Swiss-U.S. DPF may receive personal data from Switzerland in reliance on the Swiss-U.S. DPF effective September 15, 2024, which is the date of entry into force of Switzerland’s recognition of adequacy for the Swiss-U.S. DPF. While July 17, 2023, was the effective date of the Swiss-U.S. DPF Principles, including the Supplemental Principles and Annex I of the Principles personal data could not have been received from Switzerland in reliance on the Swiss-U.S. DPF until the date of entry into force of Switzerland’s recognition of adequacy for the Swiss-U.S. DPF. The recognition of adequacy enables the transfer of Swiss personal data to participating organizations consistent with Swiss law.

The DOC previously requested and obtained approval of analogous information collection that allowed the DOC, as represented by ITA, to collect information from organizations in the United States to enable them to self-certify their commitment to comply with the EU-U.S. Privacy Shield Framework Principles and/or the Swiss-U.S. Privacy Shield Framework Principles (OMB Control No. 0625-0276). Pursuant to the EU-U.S. DPF, the EU-U.S. Privacy Shield Framework Principles were amended as the “EU-U.S. Data Privacy Framework Principles”; and pursuant to the Swiss-U.S. DPF, the Swiss-U.S. Privacy Shield Framework Principles were amended as the “Swiss-U.S. Data Privacy Framework Principles”. Organizations that self-certified their commitment to comply with the EU-U.S. Privacy Shield Framework Principles and/or the Swiss-U.S. Privacy Shield Framework Principles that wish to enjoy the benefits of participating in the EU-U.S. DPF and/or the Swiss-U.S. DPF (as applicable) must comply with the amended Principles.

¹ Under the UK Extension to the EU-U.S. DPF the safeguards, protections, and administration and supervision of the EU-U.S. DPF will extend to personal data transfers from the United Kingdom and, as applicable, Gibraltar to U.S. organizations that elect to participate in the UK Extension to the EU-U.S. DPF. Such safeguards, protections, and administration and supervision, including relevant enforcement will apply to those personal data transfers from the United Kingdom and, as applicable, Gibraltar in a manner that is consistent with their application to personal data transfers from the European Union to U.S. organizations that participate in the EU-U.S. DPF.

More information on self-certification, including annual re-certification under the Data Privacy Framework program is available on the DOC's Data Privacy Framework program website (<https://www.dataprivacyframework.gov/>).

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

In order to participate in the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF an organization must (a) be subject to the investigatory and enforcement powers of the Federal Trade Commission (FTC), the Department of Transportation (DOT), or another statutory body that will effectively ensure compliance with the Principles; (b) publicly declare its commitment to comply with the Principles; (c) publicly disclose its privacy policies in line with the Principles; and (d) fully implement them.

While the decision by an organization to self-certify its compliance pursuant to the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF and by extension participate in the Data Privacy Framework program is voluntary; effective compliance is compulsory: organizations that self-certify to the DOC and publicly declare their commitment to adhere to the Principles must comply fully with the Principles. Organizations that only wish to self-certify their compliance pursuant to the EU-U.S. DPF and/or the Swiss-U.S. DPF may do so; however, organizations that wish to participate in the UK Extension to the EU-U.S. DPF must participate in the EU-U.S. DPF. Such organizations' commitment to comply with the Principles with regard to transfers of personal data from the European Union and, as applicable, the United Kingdom², and/or Switzerland must be reflected in their self-certification submissions to the DOC, and in their privacy policies. An organization's failure to comply with the Principles after its self-certification is enforceable by the FTC under Section 5 of the Federal Trade Commission (FTC) Act prohibiting unfair or deceptive acts in or affecting commerce (15 U.S.C. § 45); by the DOT under 49 U.S.C. § 41712 prohibiting a carrier or ticket agent from engaging in an unfair or deceptive practice in air transportation or the sale of air transportation; or under other laws or regulations prohibiting such acts.

To initially self-certify or subsequently re-certify for the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF an organization must on each occasion provide to the DOC a submission that contains at least the following information:

- the name of the self-certifying or re-certifying U.S. organization, as well as the name(s) of any of its U.S. entities or U.S. subsidiaries also adhering to the Principles that the organization wishes to cover;
- a description of the activities of the organization with respect to personal information that would be received from the European Union under the EU-U.S. DPF and, as applicable, from the United Kingdom and Gibraltar under the UK Extension to the EU-U.S. DPF,

² Under the UK Extension to the EU-U.S. DPF personal data transfers from the United Kingdom and, as applicable, Gibraltar to the United States shall, as appropriate (i.e., where the organization has elected to cover such transfers), be treated in accordance with the EU-U.S. DPF Principles and Annex I of the Principles. It follows that for the purposes of the UK Extension to the EU-U.S. DPF references in the EU-U.S. DPF Principles and Annex I of the Principles to the European Union and/or the European Commission, EU DPAs, and EU individuals should generally be understood as referring respectively to the United Kingdom and/or the UK Government, the Information Commissioner's Office (ICO) and, as applicable, the Gibraltar Regulatory Authority (GRA), and UK individuals (i.e., as consistent with relevant differences between the United Kingdom and, as applicable, Gibraltar and the European Union).

- and/or from Switzerland under the Swiss-U.S. DPF;
- a description of the organization’s relevant privacy policy/ies for such personal information, including:
 - if the organization has a public website, the relevant web address where the privacy policy is available, or if the organization does not have a public website, where the privacy policy is available for viewing by the public; and
 - its effective date of implementation;
- a contact office within the organization for the handling of complaints, access requests, and any other issues arising under the Principles, including:
 - the name(s), job title(s) (as applicable), e-mail address(es), and telephone number(s) of the relevant individual(s) or relevant contact office(s) within the organization; and
 - the relevant U.S. mailing address for the organization;
- the specific statutory body that has jurisdiction to hear any claims against the organization regarding possible unfair or deceptive practices and violations of laws or regulations governing privacy (and that is listed in the Principles or a future annex to the Principles);
- the name of any privacy program in which the organization is a member;
- the method of verification (i.e., self-assessment; or outside compliance reviews, including the third party that completes such reviews); and
- the relevant independent recourse mechanism(s) available to investigate unresolved Principles-related complaints.

The submission must be made via the DOC’s Data Privacy Framework website by an individual within the organization who is authorized to make representations on behalf of the organization and any of its covered entities regarding its adherence to the Principles. Such an organization must respond promptly to inquiries and other requests for information from the DOC relating to the organization’s adherence to the Principles.

To rely on the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF for transfers of personal data from the European Union and, as applicable, the United Kingdom and Gibraltar, and/or Switzerland an organization must self-certify its adherence to the Principles to the DOC, and both be placed and remain on the Data Privacy Framework List. The DOC will update the Data Privacy Framework List on the basis of annual re-certification submissions made by participating organizations and by removing organizations when they voluntarily withdraw, fail to complete the annual re-certification in accordance with the DOC’s procedures, or are found to persistently fail to comply. The DOC will also maintain and make available to the public an authoritative record of U.S. organizations that have been removed from the Data Privacy Framework List and will identify the reason each organization was removed. The aforementioned authoritative list and record will remain available to the public on the DOC’s Data Privacy Framework website. Any organization removed from the Data Privacy Framework List must cease making claims that it participates in or complies with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF and that it may receive personal information pursuant to same. Such an organization must nevertheless continue to apply the Principles to such personal information that it received while it participated in the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF for as long as it retains such personal information.

EU, UK, and Swiss individuals and organizations, as well as U.S. organizations will use the Data Privacy Framework List to confirm whether a given organization is entitled to the benefits of the

EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF (as applicable). U.S. and European authorities will also use the Data Privacy Framework List in the context of alleged non-compliance with the Principles.

ITA has committed to follow up with organizations that have been or wish to be removed from the Data Privacy Framework List. ITA will direct organizations that allow their self-certifications to lapse to verify whether they intend to re-certify or instead intend to withdraw. An organization that intends to re-certify will be required to further verify to the DOC that during the lapse of its certification status it applied the Principles to relevant personal data received in reliance on its participation in the Data Privacy Framework program and clarify what steps it will take to address the outstanding issues that have delayed its re-certification. An organization that intends to withdraw will be required to further verify to the DOC what it will do and/or has done (as applicable) with the relevant personal data that it received in reliance on its participation in the Data Privacy Framework program (i.e., (a) retain such data, continue to apply the Principles to such data, and affirm to the DOC on an annual basis its commitment to apply the Principles to such data; (b) retain such data and provide “adequate” protection for such data by another authorized means; or (c) return or delete all such data by a specified date) and who within the organization will serve as an ongoing point of contact for Principles-related questions. Organizations will be required to provide such verification to the DOC by completing and submitting appropriate questionnaires to the DOC.

ITA has also committed to conduct compliance reviews on an ongoing basis, including, as appropriate, through sending detailed questionnaires to participating organizations. The DOC will require that a participating organization complete and submit to the DOC such a questionnaire when: (a) the DOC has received any specific, non-frivolous complaints about the organization’s compliance with the Principles; (b) the organization does not respond satisfactorily to inquiries by the DOC for information relating to the organization’s adherence to the Principles; or (c) there is credible evidence that the organization does not comply with its commitments under the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also, describe any consideration of using information technology to reduce burden.

Organizations interested in participating would make their initial self-certification, as well as annual re-certification submissions under the Data Privacy Framework program (i.e., the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF), including payment of the relevant processing fee to the DOC online via the DOC’s Data Privacy Framework program website (<https://www.dataprivacyframework.gov/>). The DOC’s Data Privacy Framework program website will also provide organizations already in the program with direct access to their record, thereby enabling them to update information provided therein throughout the year. This electronic method will be employed, as it is expressly designed to process submissions in a timely and accurate manner. An organization will not be able to make an initial self-certification, annual re-certification submissions, or other updates to an existing submission via the DOC’s Data Privacy Framework program website unless it has registered a username and password. Additional communication, if any, between the DOC and organizations

concerning such matters would primarily be via e-mail.

Organizations would complete and submit Data Privacy Framework program questionnaires to the DOC online via the DOC's Data Privacy Framework program website or via e-mail at dpf.program@trade.gov (as applicable) in accordance with Data Privacy Framework program requirements. Additional communication, if any, between the DOC and organizations concerning such matters would primarily be via e-mail.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Question 2.

There is no duplication. The EU-U.S. DPF, and UK Extension to the EU-U.S. DPF, and Swiss-U.S. DPF respectively provide a unique method for handling personal data flows between the European Union, the United Kingdom (and, as applicable, Gibraltar), Switzerland, and the United States. Under the terms of the DOC's respective arrangements with the European Commission, the UK Government, and the Swiss Federal Administration the DOC has the sole responsibility for collecting and making publicly available the list of organizations that self-certify their adherence to the Principles.

5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

There are small businesses amongst the organizations seeking to self-certify under the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF. The burden associated with the information collection is not considered to be significant, because the estimated time to complete the self-certification form (i.e., the form used for both initial self-certifications, as well as annual re-certifications) is 40 minutes. The estimated completion time for three of the Data Privacy Framework program questionnaires is under 40 minutes per questionnaire, and the estimated completion time for the remaining questionnaire is 75 minutes. The burden is being minimized by keeping the information request as simple as possible and limiting areas of inquiry to those essential to meeting the requirements set forth in the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF.

The EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF provide a number of important benefits, especially predictability and continuity, to U.S. organizations of all sizes that receive personal data for processing from the European Union and, as applicable, the United Kingdom (and, as applicable, Gibraltar), and/or Switzerland. For example, all EU Member States are bound by a European Commission finding of "adequacy" with regard to the EU-U.S. DPF. The EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF arguably offer simpler and more cost-effective means of complying with the relevant requirements of EU, UK, and Swiss law which particularly benefit small and medium-sized enterprises.

6. Describe the consequence to the Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

Preventing or limiting the collection of information associated with self-certification, including annual re-certification and the questionnaires under the Data Privacy Framework program would prevent the U.S. Government from implementing the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF. As a result, the flow of personal data from the European Union, the United Kingdom (and, as applicable, Gibraltar), and Switzerland to the United States could be disrupted, negatively impacting trade and investment. Existing alternatives to the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF are arguably more time-consuming, costly, and particularly burdensome to small- and medium-sized enterprises.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

- **requiring respondents to report information to the agency more often than quarterly;**
 - The DOC does not anticipate requiring respondents to routinely report information to the DOC more often than quarterly. To rely on the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF for transfers of personal data from the European Union and, as applicable, the United Kingdom and Gibraltar, and/or the Swiss-U.S. DPF an organization must self-certify its adherence to the Principles to the DOC, and both be placed and remain on the Data Privacy Framework List. The DOC will update the Data Privacy Framework List on the basis of annual re-certification submissions made by participating organizations and by removing organizations when they voluntarily withdraw, fail to complete the annual re-certification in accordance with the DOC's procedures, or are found to persistently fail to comply. The Principles provide that organizations must respond promptly to inquiries and other requests for information from the DOC relating to the organization's adherence to the Principles.
- **requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**
 - The Principles provide that the DOC will remove an organization from the Data Privacy Framework List for persistent failure to comply, but only after first providing the organization with 30 days' notice and an opportunity to respond. The DOC will indicate within the notice the amount of time, which will necessarily be less than 30 days, the organization has to respond to the notice.
- **requiring respondents to submit more than an original and two copies of any document;**
 - The DOC does not anticipate requiring respondents to submit more than an original and two copies of any document.
- **requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;**
 - The Principles provide that organizations must retain their records on the implementation of their EU-U.S. DPF and, as applicable, UK Extension to the EU-U.S. DPF, and/or Swiss-U.S. DPF privacy practices and make them available upon request in the context of an investigation or a complaint about non-compliance to the independent dispute resolution body responsible for investigating complaints or to the agency with relevant jurisdiction concerning unfair and deceptive acts or practices. The Principles provide that organizations must respond promptly to inquiries and other requests for information from the

DOC relating to the organization's adherence to the Principles.

- **in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;**
 - The DOC does not anticipate collecting information from respondents in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study.
- **requiring the use of a statistical data classification that has not been reviewed and approved by OMB;**
 - The DOC does not anticipate collecting information from respondents in a manner requiring the use of a statistical data classification that has not been reviewed and approved by OMB.
- **that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or**
 - The DOC does not anticipate collecting information from respondents in a manner that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use.
- **requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.**
 - The DOC does not anticipate requiring respondents to submit proprietary trade secret, or other confidential information absent a demonstration by the DOC that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

8. If applicable, provide a copy and identify the date and page number of publications in the Federal Register of the agency's notice, required by 5 CFR 1320.8 (d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

A Federal Register Notice requesting public comments concerning the information collection (OMB Control No. 0625-0280) was published on February 23, 2026 (Volume 91, Number 2026-03469, pages 8438-8440). No public comments were received in response to that notice.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

Not Applicable. Gifts or payments will not be provided to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy. If the collection requires a systems of

records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.

Self-certification, including annual re-certification submissions, as well as responses to questionnaires under the Data Privacy Framework program will be covered by the DOC's Privacy Act System of Records Notice 23. Information collected through such submissions and responses will be made publicly available for the purposes and under the conditions described below.

ITA administers and supervises the Data Privacy Framework program, including by maintaining and making publicly available the Data Privacy Framework List, an authoritative list of U.S. organizations that have self-certified to the DOC and declared their commitment to adhere to the Principles pursuant to the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF. The DOC will update the Data Privacy Framework List on the basis of annual re-certification submissions made by participating organizations and by removing organizations when they voluntarily withdraw, fail to complete the annual re-certification in accordance with the DOC's procedures, or are found to persistently fail to comply. ITA has committed to follow up, including, as appropriate, through the use of questionnaires with organizations that have been or wish to be removed from the Data Privacy Framework List. ITA has also committed to conduct compliance reviews on an ongoing basis, including, as appropriate, through sending detailed questionnaires to participating organizations. The DOC will also maintain and make available to the public an authoritative record of U.S. organizations that have been removed from the Data Privacy Framework List and will identify the reason each organization was removed. The aforementioned authoritative list and record will remain available to the public on the DOC's Data Privacy Framework program website.

Self-certification, including annual re-certification under the Data Privacy Framework program would be submitted electronically by organizations to the DOC through the DOC's Data Privacy Framework program website (<https://www.dataprivacyframework.gov/>). The respondents who provide information in such submissions made to the DOC would be informed in advance that, with the exception of information concerning annual revenue and number of employees, the information could, as appropriate, be made publicly available on the DOC's Data Privacy Framework program website consistent with DOC guidelines and program instructions.

The Data Privacy Framework program questionnaires and the corresponding responses provided by organizations would be conveyed electronically by organizations to the DOC through the DOC's Data Privacy Framework program website or via e-mail at dpf.program@trade.gov (as applicable) in accordance with program requirements. The respondents who provide information in such responses to the DOC would be informed in advance that the information could, as appropriate, be made publicly available on the DOC's Data Privacy Framework program website consistent with DOC guidelines and program instructions.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior or attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

No questions of a sensitive nature are included in this information collection.

12. Provide estimates of the hour burden of the collection of information.

- **Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.**
- **If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens.**
- **Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included under ‘Annual Cost to Federal Government’**

The DOC estimates that it would ultimately receive approximately 4,000 self-certification form submissions (i.e., self-certification applications), including both initial self-certification submissions and annual re-certification submissions from individual respondents each year of the Data Privacy Framework program (n.b., the self-certification form is used for both initial self-certification submissions and annual re-certification submissions). The DOC also estimates that it would ultimately receive approximately 575 questionnaire submissions, including Failure to Re-certify Questionnaire submissions; Withdrawal Questionnaire submissions; Post-withdrawal, Annual Affirmation Questionnaire submissions; and Compliance Review Questionnaire submissions from individual respondents each year of the Data Privacy Framework program. The self-certification form submissions and questionnaire submissions would be submitted by individual respondents on behalf of the respective businesses or other for-profit organizations that they represent.

The estimated total annual burden in hours is 2,977.

**Self-certification Form:
Estimated Respondent Burden Hours**

Information Collection Instrument (i.e., Type of Response)	Type of Respondent / Occupational Title	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)

Self-certification Form	Lawyers & Management Occupations	4,000	1	4,000	0.67 hours (i.e., 40 minutes)	2,680 per year
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Self-Certification Form:

Estimated Respondent Costs (Theoretical Cost of Respondent Time)

Type of Respondent/ Occupational Title	Number of Respondents (a)	Number of Responses per Respondent (b)	Burden Hours per Response (c)	Average Respondent Hourly Wage Rate* (d)	Total Cost to Respondents (e) = (a) x (b) x (c) x (d)
Lawyers & Management Occupations	4,000	1	0.67 hours (i.e., 40 minutes)	\$78.01	\$209,066.80

*The estimate of the average respondent hourly wage rate is based on the U.S. Bureau of Labor Statistics *May 2024 National Occupational Employment and Wage Estimates* mean hourly wage for ‘Lawyers’ (occupation code 23-1011) <https://data.bls.gov/oes/#/industry/000000> and the mean hourly wage for ‘Management Occupations’ (occupation code 11-0000) <https://data.bls.gov/oes/#/industry/000000> (i.e., estimate of the average respondent hourly wage rate is based on the average of those two mean hourly wage rates [$\$87.86 + \$68.15 / 2 = \$78.01$])

Failure to Re-certify Questionnaire:

Estimated Respondent Burden Hours

Information Collection Instrument (i.e., Type of Response)	Type of Respondent / Occupational Title	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)
Failure to Re-certify Questionnaire	Lawyers & Management Occupations	300	1	300	0.42 hours (i.e., 25 minutes)	126 per year

Failure to Re-certify Questionnaire:

Estimated Respondent Costs (Theoretical Cost of Respondent Time)

Type of Respondent/ Occupational Title	Number of Respondents (a)	Number of Responses per Respondent (b)	Burden Hours per Response (c)	Average Respondent Hourly Wage Rate* (d)	Total Cost to Respondents (e) = (a) x (b) x (c) x (d)
Lawyers & Management Occupations	300	1	0.42 hours (i.e., 25 minutes)	\$78.01	\$9,829.26

*The estimate of the average respondent hourly wage rate is based on the U.S. Bureau of Labor Statistics *May 2024 National Occupational Employment and Wage Estimates* mean hourly wage for ‘Lawyers’ (occupation code 23-1011) <https://data.bls.gov/oes/#/industry/000000> and the mean hourly wage for ‘Management Occupations’ (occupation code 11-0000) <https://data.bls.gov/oes/#/industry/000000> (i.e., estimate of the average respondent hourly wage rate is based on the average of those two mean hourly wage rates [$\$87.86 + \$68.15 / 2 = \$78.01$])

**Withdrawal Questionnaire:
Estimated Respondent Burden Hours**

Information Collection Instrument (i.e., Type of Response)	Type of Respondent / Occupational Title	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)
Withdrawal Questionnaire	Lawyers & Management Occupations	75	1	75	0.33 hours (i.e., 20 minutes)	24.75 per year

**Withdrawal Questionnaire:
Estimated Respondent Costs (Theoretical Cost of Respondent Time)**

Type of Respondent/ Occupational Title	Number of Respondents (a)	Number of Responses per Respondent (b)	Burden Hours per Response (c)	Average Respondent Hourly Wage Rate* (d)	Total Cost to Respondents (e) = (a) x (b) x (c) x (d)
Lawyers & Management Occupations	75	1	0.33 hours (i.e., 20 minutes)	\$78.01	\$1,930.75

*The estimate of the average respondent hourly wage rate is based on the U.S. Bureau of Labor Statistics *May 2024 National Occupational Employment and Wage Estimates* mean hourly wage for ‘Lawyers’ (occupation code 23-1011) <https://data.bls.gov/oes/#/industry/000000> and the mean hourly wage for ‘Management Occupations’ (occupation code 11-0000) <https://data.bls.gov/oes/#/industry/000000> (i.e., estimate of the average respondent hourly wage rate is based on the average of those two mean hourly wage rates [$\$87.86 + \$68.15 / 2 = \$78.01$])

**Post-withdrawal, Annual Affirmation Questionnaire:
Estimated Respondent Burden Hours**

Information Collection Instrument (i.e., Type of Response)	Type of Respondent / Occupational Title	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)
Post-withdrawal, Annual Affirmation Questionnaire	Lawyers & Management Occupations	125	1	125	0.42 hours (i.e., 25 minutes)	52.5 per year

**Post-withdrawal, Annual Affirmation Questionnaire:
Estimated Respondent Costs (Theoretical Cost of Respondent Time)**

Type of Respondent/ Occupational Title	Number of Respondents (a)	Number of Responses per Respondent (b)	Burden Hours per Response (c)	Average Respondent Hourly Wage Rate* (d)	Total Cost to Respondents (e) = (a) x (b) x (c) x (d)
Lawyers & Management Occupations	125	1	0.42 hours (i.e., 25 minutes)	\$78.01	\$4,095.53

*The estimate of the average respondent hourly wage rate is based on the U.S. Bureau of Labor Statistics *May 2024 National Occupational Employment and Wage Estimates* mean hourly wage for ‘Lawyers’ (occupation code 23-1011) <https://data.bls.gov/oes/#/industry/000000> and the mean hourly wage for ‘Management Occupations’ (occupation code 11-0000) <https://data.bls.gov/oes/#/industry/000000> (i.e., estimate of the average respondent hourly wage rate is based on the average of those two mean hourly wage rates [$\$87.86 + \$68.15 / 2 = \$78.01$])

**Compliance Review Questionnaire:
Estimated Respondent Burden Hours**

Information Collection Instrument (i.e., Type of Response)	Type of Respondent / Occupational Title	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)
Compliance Review Questionnaire	Lawyers & Management Occupations	75	1	75	1.25 hours (i.e., 75 minutes)	93.75 per year

**Compliance Review Questionnaire:
Estimated Respondent Costs (Theoretical Cost of Respondent Time)**

Type of Respondent/ Occupational Title	Number of Respondents (a)	Number of Responses per Respondent (b)	Burden Hours per Response (c)	Average Respondent Hourly Wage Rate* (d)	Total Cost to Respondents (e) = (a) x (b) x (c) x (d)
Lawyers & Management Occupations	75	1	1.25 hours (i.e., 75 minutes)	\$78.01	\$7,313.44

*The estimate of the average respondent hourly wage rate is based on the U.S. Bureau of Labor Statistics *May 2024 National Occupational Employment and Wage Estimates* mean hourly wage for ‘Lawyers’ (occupation code 23-1011) <https://data.bls.gov/oes/#/industry/000000> and the mean hourly wage for ‘Management Occupations’ (occupation code 11-0000) <https://data.bls.gov/oes/#/industry/000000> (i.e., estimate of the average respondent hourly wage rate is based on the average of those two mean hourly wage rates [$\$87.86 + \$68.15 / 2 = \$78.01$])

Instrument	Burden Hours
Self-Certification Form	2,680
Failure to Re-certify Questionnaire	126
Withdrawal Questionnaire	24.75
Post-withdrawal, Annual Affirmation Questionnaire	52.5
Compliance Review Questionnaire	93.75
Total	2,977

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

- The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and (b) a total operation and maintenance and purchase of services component. The estimates should consider costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.
- If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collections services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.
- Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory

compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

The estimated annual cost burden to respondents, excluding the value of the burden hours in Question 12, is \$7,783,710.00.

Note: ITA initially implemented a cost recovery program to support the operation of the EU-U.S. Privacy Shield Framework and the Swiss-U.S. Privacy Shield Framework (collectively, the Privacy Shield program), which required that U.S. organizations pay an annual fee to the DOC in order to self-certify under the Privacy Shield program. The cost recovery program supported the administration and supervision of the Privacy Shield program and the provision of Privacy Shield program-related services, including education and outreach. This cost recovery program has in turn supported the administration and supervision of the Data Privacy Framework program and the provision of related services, including education and outreach as a result of the transition from the Privacy Shield program to the Data Privacy Framework program. The annual fee a given organization is charged under the Data Privacy Framework program is determined according to a sliding scale based on the organization’s annual revenue and whether the organization has elected to self-certify for a single framework (i.e., the EU-U.S. DPF or the Swiss-U.S. DPF) or both frameworks (i.e., the EU-U.S. DPF and the Swiss-U.S. DPF). As organizations that elect to self-certify for the UK Extension to the EU-U.S. DPF must also self-certify for the EU-U.S. DPF, the annual fee such organizations are charged to self-certify for the EU-U.S. DPF covers both the EU-U.S. DPF and the UK Extension to the EU-U.S. DPF. The annual fee schedule was revised on October 1, 2024, to reflect the change in the name of the program from the “Privacy Shield” program to the “Data Privacy Framework” program and to amend the fees.

The specific, annual fee schedule described below applies under the Data Privacy Framework program.

Annual Fee Schedule for the EU-U.S. DPF and the Swiss-U.S. DPF:

Organization’s Annual Revenue	Single Framework	Both Frameworks
\$0 to \$5 million	\$260.00	\$390.00
Over \$5 million to \$25 million	\$750.00	\$1,125.00
Over \$25 million to \$500 million	\$1,600.00	\$2,400.00
Over \$500 million to \$5 billion	\$4,130.00	\$6,195.00
Over \$5 billion	\$5,530.00	\$8,295.00

For purposes of the annual fee schedule described above:

“Single framework” could refer to any of the following: only the EU-U.S. DPF; only the EU-U.S. DPF and the UK Extension to the EU-U.S. DPF; or only the Swiss-U.S. DPF.

“Both frameworks” could refer to any of the following: the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF; or only the EU-U.S. DPF and the Swiss-U.S. DPF.

As was noted in the answer to Question 12, the DOC estimates that it would ultimately receive

approximately 4,000 self-certification form submissions (i.e., self-certification applications), including both initial self-certification submissions and annual re-certification submissions from individual respondents each year of the Data Privacy Framework program and that the self-certification form is used for both initial self-certification submissions and annual re-certification submissions.

Organization's Annual Revenue	Estimated Number of Data Privacy Framework Program Self-Certification Applications Received Per Year	Annual Fee for Data Privacy Framework Program Self-Certification Applications That Cover a Single Framework	Estimated Number of Data Privacy Framework Program Self-Certification Applications Received Per Year That Cover a Single Framework	Annual Fee for Data Privacy Framework Program Self-Certification Applications That Cover Both Frameworks	Estimated Number of Data Privacy Framework Program Self-Certification Applications Received Per Year That Cover Both Frameworks	Cost Burden to Respondents
\$0 to \$5 million	1,200 (i.e., 30% of 4,000)	\$260.00	360 (i.e., 30% of 1,200)	\$390.00	840 (i.e., 70% of 1,200)	\$421,200.00
Over \$5 million to \$25 million	920 (i.e., 23% of 4,000)	\$750.00	184 (i.e., 20% of 920)	\$1,125.00	736 (i.e., 80% of 920)	\$966,00.00
Over \$25 million to \$500 million	1,360 (i.e., 34% of 4,000)	\$1,600.00	272 (i.e., 20% of 1,360)	\$2,400.00	1,088 (i.e., 80% of 1,360)	\$3,046,400.00
Over \$500 million to \$5 billion	400 (i.e., 10% of 4,000)	\$4,130.00	80 (i.e., 20% of 400)	\$6,195.00	320 (i.e., 80% of 400)	\$2,312,800.00
Over \$5 billion	120 (i.e., 3% of 4,000)	\$5,530.00	6 (i.e., 5% of 120)	\$8,295.00	114 (i.e., 95% of 120)	\$978,810.00
						Total = \$7,725,210.00

$$[(\$260.00 \times 360) + (\$390.00 \times 840)] + [(\$750.00 \times 184) + (\$1,125.00 \times 746)] + [(\$1,600.00 \times 272) + (\$2,400.00 \times 1,088)] + [(\$4,130.00 \times 80) + (\$6,195.00 \times 320)] + [(\$5,530.00 \times 6) + (\$8,295.00 \times 114)] = \$7,725,210.00$$

Note: If an organization elects at the time of its withdrawal to

- retain the personal data received pursuant to its self-certification of adherence to the Principles, continue to apply the Principles to such data, and
- affirm to the DOC on an annual basis that it continues to apply the Principles to such data,

it must submit both

- the Post-withdrawal, Annual Affirmation Questionnaire, and
- the relevant post-withdrawal, annual affirmation fee

each year after its withdrawal unless it subsequently provides “adequate” protection for such data

by another authorized means or returns or deletes all such data, and notifies the DOC of this action. The aforementioned post-withdrawal, annual affirmation fee would be \$260 per framework (e.g., such an organization would be charged \$260 if it had withdrawn only from the EU-U.S. DPF, but \$520 if it had withdrawn from both EU-U.S. DPF and Swiss-U.S. DPF).

As was noted in the answer to Question 12, the DOC also estimates that it would ultimately receive approximately 125 Post-withdrawal, Annual Affirmation Questionnaire submissions from individual respondents each year of the Data Privacy Framework program.

Estimated Number of Data Privacy Framework Program Post-withdrawal, Annual Affirmation Questionnaires Received Per Year	Annual Fee for Data Privacy Framework Program Post-withdrawal, Annual Affirmation Questionnaires That Cover a Single Framework	Estimated Number of Data Privacy Framework Program Post-withdrawal, Annual Affirmation Questionnaires Received Per Year That Cover a Single Framework	Annual Fee for Data Privacy Framework Program Post-withdrawal, Annual Affirmation Questionnaires That Cover Both Frameworks	Estimated Number of Data Privacy Framework Program Post-withdrawal, Annual Affirmation Questionnaires Received Per Year That Cover Both Frameworks	Cost Burden to Respondents
125	\$260.00	25 (i.e., 20% of 125)	\$520.00	100 (i.e., 80% of 125)	\$58,500.00
					Total = \$58,500.00

$$(\$260.00 \times 25) + (\$520.00 \times 100) = \$58,500.00$$

$$(\text{Self-Certification Form/Application total: } \$7,725,210.00) + (\text{Post-withdrawal, Annual Affirmation Questionnaire total: } \$58,500.00) = \$7,783,710.00$$

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.

\$615,645.48 is the total estimated annualized cost to the federal government according to the methodology described below.

Note: This estimate is calculated by first determining the relevant hourly rate, and the estimated time that it takes to process the form or questionnaire.

- The hourly rate that is presently most relevant to the immediate review and processing of the self-certification form (i.e., the form used for initial self-certifications, as well as annual re-certifications) is calculated by taking the hourly rate for the average type of individual performing the relevant tasks and adding 30% to that rate to account for overhead and other basic costs. For purposes of this calculation \$67.73/hour is assumed to be the approximate hourly rate of the program administration specialist (i.e.,

contractor) performing the relevant tasks; therefore, the rate used is \$88.05 (\$67.73 + \$20.32).

- The hourly rate relevant to the review and processing of the questionnaires is calculated by taking the approximate GS rating/step for the average type of individual performing the relevant tasks and adding 30% to that rate to account for overhead and other basic costs. For purposes of this calculation \$57.78/hour is assumed to be the approximate hourly rate of the GS rating/step of the type of DOC employee performing the relevant tasks; therefore, the rate used is \$75.11 (\$57.78 + \$17.33).

Note: This estimate does not reflect significant website development costs associated with the DOC’s Data Privacy Framework program website. The DOC’s Data Privacy Framework program website, which will perform multiple functions essential to the program, has required and continues to require significant investment in terms of time and resources. In addition, this figure does not reflect costs associated with the performance of a variety of other important administrative and oversight tasks and outreach to stakeholders, which do not involve information collection instruments. The aforementioned costs, including the ‘total estimated annualized cost to the federal government’ would be paid for from funds collected through the cost recovery program originally implemented by ITA to support the operation of the Privacy Shield program, but which would as part of the transition from the Privacy Shield program to the Data Privacy Framework program support the operation of the latter program.

Self-certification Form

Information Collection Instrument (i.e., Type of Response) Being Reviewed and Processed	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)	Contractor Hourly Rate (f)	Total Cost to Federal Government (g) = (e) x (f)
Self-certification Form	4,000	1	4,000	1.67	6,680	\$88.05	\$588,174.00

Cost to federal government per response:

Response Time (1.67 hours [i.e., 100 minutes]) x Hourly Rate (\$88.05/hour) = \$147.04

The hourly rate relevant to the review and processing of the questionnaires is calculated by taking the approximate GS rating/step for the average type of individual performing the relevant tasks and adding 30% to that rate to account for overhead and other basic costs. For purposes of this calculation \$57.78/hour is assumed to be the approximate hourly rate of the GS rating/step of the type of DOC employee performing the relevant tasks; therefore, the rate used is \$75.11 (\$57.78 + \$17.33).

Failure to Re-certify Questionnaire

Information Collection Instrument (i.e., Type of Response) Being Reviewed and Processed by DOC Staff	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)	Average DOC Staff Hourly Wage Rate* (f)	Total Cost to Federal Government (g) = (e) x (f)
Failure to Re-certify Questionnaire	300	1	300	0.42	126	\$75.11	\$9,463.86

*The estimate of the hourly wage rate is based on the U.S. Office of Personnel Management *SALARY TABLE 2025-DCB* hourly basic rate for ‘GS-13’ https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/25Tables/html/DCB_h.aspx(plus 30% to account for overhead and other basic costs). Cost to federal government per response: Response Time (0.42 hours [i.e., 25 minutes]) x Hourly Rate (\$75.11/hour) = \$31.55

Withdrawal Questionnaire

Information Collection Instrument (i.e., Type of Response) Being Reviewed and Processed by DOC Staff	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)	Average DOC Staff Hourly Wage Rate* (f)	Total Cost to Federal Government (g) = (e) x (f)
Withdrawal Questionnaire	75	1	75	0.83	62.25	\$75.11	\$4,675.60

*The estimate of the hourly wage rate is based on the U.S. Office of Personnel Management *SALARY TABLE 2025-DCB* hourly basic rate for ‘GS-13’ https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/25Tables/html/DCB_h.aspx(plus 30% to account for overhead and other basic costs).

Cost to federal government per response: Response Time (0.83 hours [i.e., 50 minutes]) x Hourly Rate (\$75.11/hour) = \$62.34

Post-withdrawal, Annual Affirmation Questionnaire

Information Collection Instrument (i.e., Type of Response) Being Reviewed and Processed by DOC Staff	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)	Average DOC Staff Hourly Wage Rate* (f)	Total Cost to Federal Government (g) = (e) x (f)
Post-withdrawal, Annual Affirmation Questionnaire	125	1	125	0.67	83.75	\$75.11	\$6,290.46

*The estimate of the hourly wage rate is based on the U.S. Office of Personnel Management *SALARY TABLE 2025-DCB* hourly basic rate for ‘GS-13’ https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/25Tables/html/DCB_h.aspx (plus 30% to account for overhead and other basic costs).

Cost to federal government per response: Response Time (0.67 hours [i.e., 40 minutes]) x Hourly Rate (\$75.11/hour) = \$50.32

Compliance Review Questionnaire

Information Collection Instrument (i.e., Type of Response) Being Reviewed and Processed by DOC Staff	Number of Respondents (a)	Number of Responses Per Respondent (b)	Total Number of Responses (c) = (a) x (b)	Burden Hours Per Response (d)	Total Burden Hours (e) = (c) x (d)	Average DOC Staff Hourly Wage Rate* (f)	Total Cost to Federal Government (g) = (e) x (f)
Compliance Review Questionnaire	75	1	75	1.25	93.75	\$75.11	\$7,041.56

*The estimate of the hourly wage rate is based on the U.S. Office of Personnel Management *SALARY TABLE 2025-DCB* hourly basic rate for ‘GS-13’ https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/25Tables/html/DCB_h.aspx (plus 30% to account for overhead and other basic costs).

Cost to federal government per response: Response Time (1.25 hours [i.e., 75 minutes]) x Hourly Rate (\$75.11/hour) = \$93.89

Instrument	Total Cost
Self-Certification Form	\$588,174.00
Failure to Re-certify Questionnaire	\$9,463.86
Withdrawal Questionnaire	\$4,675.60

Post-withdrawal, Annual Affirmation Questionnaire	\$6,290.46
Compliance Review Questionnaire	\$7,041.56
Total	\$615,645.48

15. Explain the reasons for any program changes or adjustments.

The DOC currently requests standard renewal of this information collection concerning critical components of the EU-U.S. DPF, the UK Extension to the EU-U.S. DPF, and the Swiss-U.S. DPF and thus critical components of the Data Privacy Framework program. The purpose of this request of PRA clearance is to allow DOC, as represented by ITA, to collect information from organizations in the United States to enable such organizations to self-certify their commitment to comply with the EU-U.S. DPF Principles and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF Principles. A related purpose of this request is to allow the DOC, as represented by ITA, to monitor those organizations' compliance with the EU-U.S. DPF Principles and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF Principles.

The adjusted figures and by extension the estimates provided in the respective answers to Questions 12, 13, and 14 are projections that take into account the number of “responses” (i.e., the self-certification form-based initial self-certification and re-certification applications, and the four different types of questionnaires) that were received, reviewed, and processed in the preceding twelve-month period or calendar year. The adjusted figures and by extension the estimates provided in the answer to Question 13 are projections that also take into account the revisions to the applicable fee schedule that entered into effect on October 1, 2024, and amended the respective amounts of the applicable fees. The adjusted figures and by extension the estimates provided in the respective answers to Questions 12 and 14 are projections that also take into account increases in the respective, average hourly rates of the relevant types of individuals (i.e., lawyer and manager respondents with regard to Question 12, and DOC employees and contractors with regard to Question 14) performing the tasks directly associated with the “responses” that were received, reviewed, and processed in the preceding twelve-month period or calendar year.

Note: A Federal Register Notice requesting public comments concerning the ‘Revisions to the Fee Schedule for the Data Privacy Framework Program’ was published on July 9, 2024 (Volume 89, Number 2024-14983, pages 56289-56292). A final Federal Register Notice requesting public comments concerning the ‘Revisions to the Fee Schedule for the Data Privacy Framework Program’ was published on August 30, 2024 (Volume 89, Number 2024-19541, pages 70597-70600). The DOC published those notices concerning the ‘Revisions to the Fee Schedule for the Data Privacy Framework Program’ to give interested parties an opportunity to comment on the revisions to the annual fee schedule. Those notices explained that ITA was revising the annual fee schedule to reflect the change in the name of the program from the “Privacy Shield” program to the “Data Privacy Framework” program and to amend the fees. No public comments were received in response to those notices; therefore, the revised fee schedule is considered the final fee schedule subject to future review in accordance with OMB Circular A-25 and entered into effect on October 1, 2024.

16. For collections whose results will be published, outline the plans for tabulation and

publication.

Much of the information collected from respondents will ultimately be made public in relevant records that appear on the public Data Privacy Framework List, which the DOC will maintain on its Data Privacy Framework program website.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The agency plans to display the expiration date for OMB approval of the information collection on all instruments.

18. Explain each exception to the certification statement.

The agency certifies compliance with 5 CFR 1320.9 and the related provisions of 5 CFR 1320.8(b)(3).

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.