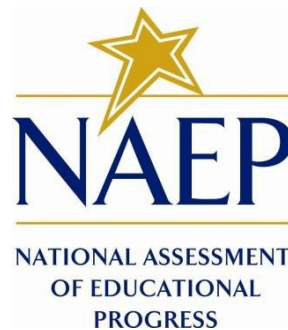


*NATIONAL CENTER FOR EDUCATION STATISTICS  
NATIONAL ASSESSMENT OF EDUCATIONAL PROGRESS*

*National Assessment of Educational Progress (NAEP) 2024*

*Appendix H  
Instructions for Entering Student Information*

OMB# 1850-0928 v.29



March 2023  
No updates since v.28

**This appendix will be updated for 2024 in amendment #2, to be submitted in May 2023. Appendix H provides 3 different versions of Instructions for Entering Student Information – these are differentiated by, number of race/ethnicity columns. The NAEP State Coordinator (NSC) is instructed to decide how many race/ethnicity columns they want the schools to use based on how they collect race data in their state. To avoid schools having to manipulate and collapse any data, we are asking them to submit the data as they are used when they submit these data to the state. Some states collect race/ethnicity data collapsed into one column; some use two columns with ethnicity separated from race; and some have all six race and ethnicity categories separated into six columns.**

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### Information on Log-in Screen:

#### Paperwork Burden Statement, OMB Information

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0928. The time required to complete this information collection is estimated to be average 120 minutes, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this collection, or any comments or concerns regarding the status of your individual submission of form, please write to: *National Assessment of Educational Progress (NAEP), National Center for Education Statistics (NCES), Potomac Center Plaza, 550 12<sup>th</sup> St., SW, 4th floor, Washington, DC 20202.*

**OMB No. 1850-0928 APPROVAL EXPIRES 5/31/2024**

#### Authorization and Confidentiality Assurance

National Center for Education Statistics (NCES) is authorized to conduct NAEP by the National Assessment of Educational Progress Authorization Act (20 U.S.C. §9622) and to collect students' education records from education agencies or institutions for the purposes of evaluating federally supported education programs under the Family Educational Rights and Privacy Act (FERPA, 34 CFR §§ 99.31(a)(3)(iii) and 99.35). All of the information provided by participants may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151). By law, every NCES employee as well as every NCES agent, such as contractors and NAEP coordinators, has taken an oath and is subject to a jail term of up to 5 years, a fine of \$250,000, or both if he or she willfully discloses ANY identifiable information about students. Electronic submission of student information will be monitored for viruses, malware, and other threats by Federal employees and contractors in accordance with the Cybersecurity Enhancement Act of 2015. The collected information will be combined across respondents to produce statistical reports.

When you have finished or if you need to stop before finishing, please LOG OUT of the survey system by clicking "Save and exit" and CLOSE ALL browser windows or screens to keep your responses secure. For example, if you used Chrome or Safari to

open the survey, make sure no Chrome or Safari windows or screens are open after you end the survey. Not closing all browsers may allow someone else to see your responses.

## **Race/Ethnicity--one column**

# NAEP 2022

## Instructions for Preparing and Submitting an Electronic File of Students (One Column Race/Ethnicity)



NAEP needs a complete list of students in the selected grade(s) at your school in order to draw a random sample of students to participate in the assessment. Your student data electronic file (E-File) must be submitted as a Microsoft Excel file.

**We recommend that you create your student file using the NAEP E-File Excel Template**, which is available on the MyNAEP website’s “Submit Student List” page. If necessary, you may alternatively provide an Excel file with the same column headers and data in a different order. If you cannot submit your student data in an Excel file, call the NAEP help desk at **1-800-283-6237**.

### Step 1 – The E-Filing Template

Download and review the E-Filing Template. Note the column headers (fields) that must be included in your student list. If you do not have data for a particular field, you may leave that column blank.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
State Unique Student ID	Student First Name	Student Middle Name	Student Last Name	Grade	Homeroom or Other Locator	Month of Birth	Year of Birth	Sex	Student with a Disability	English Learner	Race/Ethnicity	School Lunch	On-Break Indicator	Student ZIP code

### Step 2 – Compile Data for the Template

Using the most current enrollment data available, prepare a separate Excel file of **all students enrolled during the 2021-2022 school year for each grade** selected to participate in the NAEP assessment.

- Include in the file data for **all students in the grade**, even those students who typically may be excluded from other testing programs, such as students with IEPs (SD) and English Learners (EL). Make sure there are no duplicate students in your file.
- Make sure you provide information for all students in the sampled grade, including students participating in virtual/remote learning due to COVID-19.

- Also, include students in the sampled grade who were displaced from other locations due to hurricanes and other disasters.
- If this is a year-round school,<sup>1</sup> include students who will be on break/on vacation on the scheduled assessment day along with all of the other students enrolled in the sampled grade. The NAEP E-File Excel Template contains an “On Break” column you will use to identify those students who will be on break/on vacation by filling in “Yes.” (If you are not using the template, be sure to create and provide data for an “On Break” column in your file.)

Your Excel file must contain the following data:

Excel Header/ Field Name	NAEP Values	Comments
<b>State unique Student ID</b>	N/A	If available; do not use the student’s Social Security number
<b>Student First Name</b>	N/A	Text; must be in its own column
<b>Student Middle Name</b>	N/A	Text; Middle Name is optional, but the column must be included in the Excel file
<b>Student Last Name</b>	N/A	Text; must be in its own column
<b>Grade in School</b>	4, 8	Use current year data; don’t use previous year’s grade 3 enrollment for grade 4
<b>Homeroom or other Locator</b>	N/A	If available; locator information is helpful in finding students and notifying them of the assessment
<b>Month of Birth</b>	M or MM (numeric format)	Numeric format; must be in its own column
<b>Year of Birth</b>	YYYY (numeric format)  The expected ranges for Year of Birth are listed:  Grade 4—2009–2013 Grade 8—2005–2009	Numeric format; must be in its own column
<b>Sex</b>	School-defined codes:	Codes (numeric or text) for Male/Female
<b>Race/Ethnicity – one column</b>	School-defined codes for <ul style="list-style-type: none"> <li>• <b>Hispanic, of any race:</b> A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish (but not Portuguese) culture of origin, regardless of race</li> <li>• <b>White, not Hispanic:</b> A person having origins in any of</li> </ul>	Use <b>ONE</b> code per student, text or numeric

<sup>1</sup> In year-round schools, students attend classes throughout the 12 calendar months, unlike traditional schools that follow the 9-month calendar. However, at any one time during the year a percentage (e.g., 25%) of the students will be on break or on vacation.

Excel Header/ Field Name	NAEP Values	Comments
	<p>the original peoples of Europe (except Spain), North Africa, or the Middle East</p> <ul style="list-style-type: none"> <li>• <b>Black or African American, not Hispanic:</b> A person having origins in any of the Black peoples of Africa</li> <li>• <b>Asian, not Hispanic:</b> A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, including, for example, Cambodia, China, Japan, India, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam</li> <li>• <b>American Indian or Alaska Native, not Hispanic:</b> A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment</li> <li>• <b>Native Hawaiian or Pacific Islander, not Hispanic:</b> A person having origins in any of the original people of Hawaii, Guam, Samoa, or other Pacific Islands</li> <li>• <b>Two or More Races, not Hispanic:</b> A person who identifies with two or more of the non-Hispanic categories above</li> <li>• <b>School does not collect this information:</b> Available to nonpublic schools only. When used, this code must be applied to all students.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	
<b>National School Lunch Program (NSLP)</b>	<p>School defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Student not eligible to participate:</b> Student is not eligible for free or reduced-price lunch.</li> <li>• <b>Free lunch:</b> Student is eligible for free lunch. Schools participating in Provisions 2 or 3 of the NSLP should code all students as "free lunch."<sup>2</sup></li> <li>• <b>Reduced-price lunch:</b> Student is eligible for reduced-price lunch.</li> <li>• <b>School not participating:</b> School does not participate in the NSLP. When used, this code must be applied to all students.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul> <p><i>SPECIAL INSTRUCTIONS FOR CEP SCHOOLS: If your school participates in the Community Eligibility Provision (CEP), note</i></p>	Use <b>ONE</b> code per student, text or numeric

<sup>2</sup> If it is not possible to distinguish between "free" and "reduced-price" for some students, code these students as eligible for free lunch.

Excel Header/ Field Name	NAEP Values	Comments																
	<p><i>that</i> for NAEP, only students who are economically disadvantaged students can be classified as eligible for free or reduced-price lunch.</p> <p style="text-align: center;"><b>CEP Direct Only</b></p> <p>In CEP schools in our state, economically disadvantaged students are those who are directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for Needy Families (TANF).</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="415 579 998 947"> <thead> <tr> <th data-bbox="415 579 712 688">Is the student economically disadvantaged?</th> <th data-bbox="712 579 998 688">How should student be coded on NAEP?</th> </tr> </thead> <tbody> <tr> <td data-bbox="415 688 712 800">1. Yes</td> <td data-bbox="712 688 998 800">1. Eligible for free or reduced-price lunch</td> </tr> <tr> <td data-bbox="415 800 712 871">2. No</td> <td data-bbox="712 800 998 871">2. Student not eligible</td> </tr> <tr> <td data-bbox="415 871 712 947">3. Information missing</td> <td data-bbox="712 871 998 947">3. Information unavailable</td> </tr> </tbody> </table> <p style="text-align: center;"><b>CEP Direct Certification Plus</b></p> <p>In CEP schools in our state, economically disadvantaged students are identified in two ways: a) directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for Needy Families (TANF); b) through a household income survey.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="415 1350 998 1717"> <thead> <tr> <th data-bbox="415 1350 712 1459">Is the student economically disadvantaged?</th> <th data-bbox="712 1350 998 1459">How should student be coded on NAEP?</th> </tr> </thead> <tbody> <tr> <td data-bbox="415 1459 712 1570">1. Yes</td> <td data-bbox="712 1459 998 1570">1. Eligible for free or reduced-price lunch</td> </tr> <tr> <td data-bbox="415 1570 712 1642">2. No</td> <td data-bbox="712 1570 998 1642">2. Student not eligible</td> </tr> <tr> <td data-bbox="415 1642 712 1717">3. Information missing</td> <td data-bbox="712 1642 998 1717">3. Information unavailable</td> </tr> </tbody> </table>	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free or reduced-price lunch	2. No	2. Student not eligible	3. Information missing	3. Information unavailable	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free or reduced-price lunch	2. No	2. Student not eligible	3. Information missing	3. Information unavailable	
Is the student economically disadvantaged?	How should student be coded on NAEP?																	
1. Yes	1. Eligible for free or reduced-price lunch																	
2. No	2. Student not eligible																	
3. Information missing	3. Information unavailable																	
Is the student economically disadvantaged?	How should student be coded on NAEP?																	
1. Yes	1. Eligible for free or reduced-price lunch																	
2. No	2. Student not eligible																	
3. Information missing	3. Information unavailable																	

Excel Header/ Field Name	NAEP Values	Comments				
	<p style="text-align: center;"><b>CEP All</b></p> <p>In our state, all students in CEP schools are considered economically disadvantaged.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="415 327 1000 512"> <tr> <td data-bbox="415 327 716 436">Is the student economically disadvantaged?</td> <td data-bbox="716 327 1000 436">How should student be coded on NAEP?</td> </tr> <tr> <td data-bbox="415 436 716 512">1. Yes</td> <td data-bbox="716 436 1000 512">1. Eligible for free lunch</td> </tr> </table>	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free lunch	
Is the student economically disadvantaged?	How should student be coded on NAEP?					
1. Yes	1. Eligible for free lunch					
<b>Students with Disabilities (SD)</b>	<p>School defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Yes, IEP:</b> Student has a formal Individualized Education Plan (IEP), the student’s IEP is in progress, or the student has an equivalent classification for private schools. If some students have both an IEP and a 504 Plan, code these students as “Yes, IEP.”</li> <li>• <b>No, not SD:</b> Student does not have an IEP. If students have a 504 Plan without an IEP, code these students as “No, not SD.”</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric				
<b>English Learner (EL)</b>	<p>School-defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Yes, EL</b></li> <li>• <b>No, Not EL</b></li> <li>• <b>No, Formerly EL:</b> A student who has achieved full English proficiency, is monitored, and is included in EL subgroup for accountability reporting for 2 years (or 4 years depending on state policy) after exiting. Public schools, contact your NAEP State Coordinator if you have any questions about using this code.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric				
<b>On-Break Indicator</b>	School-defined code	Only for year-round schools; column can be left off if school is not year-round				
<b>Student ZIP Code</b>	Numeric only	If available; format can be five digits or five plus four				

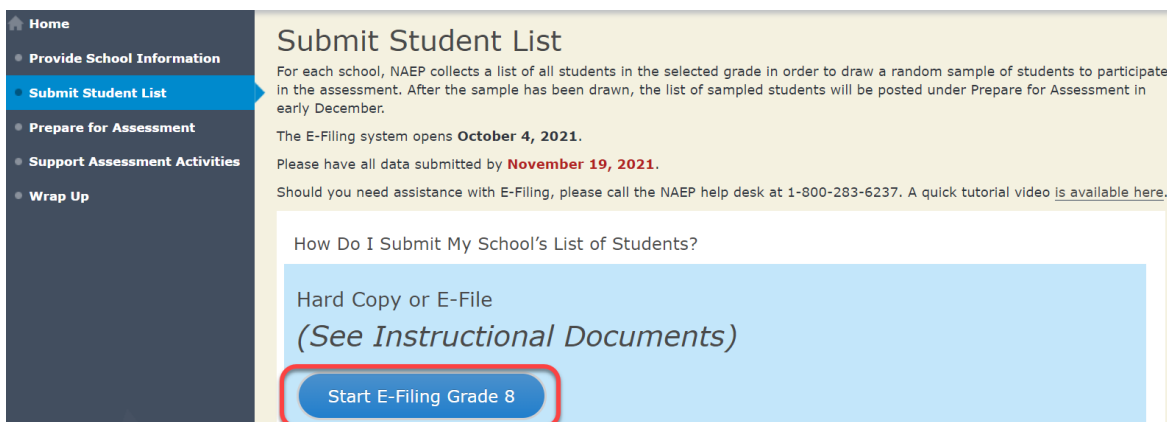
## Tips for ensuring the E-File process goes smoothly

- The NAEP E-File template should be used, if possible. **Please use it as is.** Do not change the column headers. Student information must begin on the second row. There should be no empty rows between the headings and the student data or within the student data, and no headings within the data.
- If you are not able to use the NAEP E-File Excel Template (for example, because of the way your data system works), the columns in your Excel E-File should have the same, or similar, names but do not have to be in any particular order. You will be able to identify the contents of each column after you have submitted your file. (For example, you will be able to indicate that your column labeled “Gender” is what provides the NAEP “Sex” information, and your value of “1” is “Male” and a “2” is “Female,” or whatever the correct interpretation.)
- Be sure to give your file a unique, descriptive name. Select “File,” then “Save As,” and give it a name such as “Your School Name Grade 8.xls.” Should you need to reference your file again, this will help you locate it after E-Filing.
- The first row of data in your file will be read as the column header unless you indicate otherwise on the E-Filing “Welcome” page. Each succeeding row will be considered a student record.
- If you merge or cut and paste data from another Excel file into the template, review the file afterward to be sure there are properly aligned student data in every row and there are no column headers or blank rows in the middle of the data.
- Be sure that your file contains only a heading row and student data, not notes or text in additional columns, or they will be read as data resulting in a warning or error message.
- There should be only one worksheet with data in the Excel file. If there are other worksheets, they must not contain any data.

### Step 3 – E-File

Once your file is prepared and checked for accuracy and completeness, log in to the MyNAEP website and select “Submit Student List” from the left-hand menu.

- Select the “Start E-Filing Grade X” button.



- You will see a checklist on the screen; review it carefully to be sure your file is set up correctly and is ready to be submitted. Then select “Continue.”

## Submit Student List

### Are You Ready to E-File?

Complete the following steps before starting the E-File process:

- Read the **NAEP 2022 Instructions for Preparing an Electronic File of Students**, provided on the MyNAEP "Submit Student List" page;
- Create a student data file in Excel format using the **NAEP 2022 E-File Excel Template**, provided on the MyNAEP "Submit Student List" page, or acquire a student data file in Excel format in which the first row contains the appropriate variable names, including the correct number of race/ethnicity columns;
- Ensure that your student data file contains one column for each of the variables detailed in the **NAEP 2022 Instructions for Preparing an Electronic File of Students**.
  - State Unique Student ID (do not use the student's Social Security number)
  - Student first name
  - Student last name
  - Grade in school (PK-12)
  - Homeroom or other locator information
  - Month of birth (in M or MM number format, not spelled out as in "July") .
  - Year of birth (in YYYY format).
  - Sex
  - SD indicator - to identify Students with a Disability, defined as a student with an Individualized Education Plan (IEP) for reasons other than being gifted and talented
  - EL indicator - to identify English learners, as defined by your school
  - Race/Ethnicity - use **ONE** column per student
  - On-break indicator
    - If you are submitting an E-File for a *year-round school*: this indicator identifies students who will be on-break/on vacation on your school's assessment date. (Please see your MyNAEP home page for assessment date).
    - If you are submitting an E-File for a *non year-round school*: do not provide values for this variable
  - Student Zip Code - include the student zip code, if available

\* If the data for this variable are not available at the time of e-Filing, you must still include the empty column in the template.

Print

Continue

Exit

- Enter the required fields and then browse for the name of your file and select "Upload."

## Submit Student List

### E-File Step 1: Upload Your File

Welcome, **State**.

The Four Basic Steps to E-Filing:

1. **Upload** - Submit your list to the E-Filing automated system.
2. **Identify Columns** - Matching the column headings in your list to those in a standard list provided by the system.
3. **Identify Format/Codes** - Matching the codes used in your list for student characteristics such as "sex" and "race" to codes used in NAEP.
4. **Verify** that this matching of codes has been done accurately by reviewing a summary of the data you have matched.

Before uploading a copy of your Excel student data file, please provide us with the following information: [Why we need this.](#)

- Date list is accurate as of:  (mm/dd/yyyy)
- Does your student data file contain **column headers**: Yes ▾

To upload your student data file, you will need to locate the file on your computer.

1. Click on the **"Choose File"** or **"Browse..."** button below. It will open a window in the browser on your computer that will allow you to navigate to where the student list file is located on your computer. Note: You may need to change the "Files of type" to "All files (\*.\*)".
2. Double click on the file (or select it and then click on the Open button). The name of the file (and the path) will appear in the File Name box below.
3. Click on the **Upload** button and proceed.

File Name:  No file chosen

Upload

### Step 4 – Map Your Columns and Rows to NAEP Values

The field names used by your database system may not have the same names as the NAEP values. You will need to match the names of your columns to the names of the NAEP columns, and then, match row values to NAEP values.

## Submit Student List

### E-File Step 2: Identify Your Column Contents

We need to know what information is in each column of your student data file. Click on the down arrow next to the words in the table that read "Column Contains ...". If there is no appropriate description in the drop-down list, please select N/A. It is important for us to have as much information about each student as possible. However, the essential information that must be reflected in the columns on the student list is: grade, student first name, student last name, month of birth, year of birth, sex, student with a disability (SD) indicator, and English Learner (EL) indicator. If you are E-Filing for a year-round multi-track school, include a column for on-break indicator.

**Note:** Click on the links below in the "Column Heading Is" to view the student data contained in that column. If you wish to correct or change your selections for column content descriptions, click the "Clear" button. Clicking the "Clear" button will delete all of the selections you have made in each of the rows entitled "Columns Contains".

Click here to see a worksheet view of your student data. If you find you have errors in your data, you will need to exit this program, correct the problem in your Excel file, and upload the file again.

Column Heading Is	Your Column Contains
GRADE	N/A
FIRSTNAME	
LASTNAME	
MOB	
YOB	
SEX	
SD	
ELL	
RACE	
SCHOOOLLUNCH	

When you have identified all of the columns on your list, click the "Next" button to proceed. The following screens will be determined by the order of the columns in your Excel file.

Exit Back

Shown below is an example of row mapping. You need to match the values in each of your columns to the NAEP codes (in drop-down). Once you map a value, select "Next" to move to the next value.

## Submit Student List

### E-File Step 3-1: Match Your Values to NAEP codes

For each of your values below, click on the down arrow next to "Select Code" and click on the NAEP code that best matches your value.

To view the student records that have the selected value in the "Student with a Disability" column, click on the view icon below. To view the worksheet containing all of your student data, [click here](#).

**If there is an error in your data file, click "Back" until you get to the Upload page. Then click "Exit", correct the problem in your Excel file, and upload the file again.**

If your school/state does not distinguish between students who have IEP or 504 plans, please code all those students as "Information unavailable at this time".

#### Student with a Disability

Your File Contains 2 Value(s)

Grade 8					
#	View	Your Values	NAEP Codes	Number	Percentage
1.		1	Yes, IEP	12	9.8%
2.		3	Select Code...	110	90.2%

When you have identified all of the codes on your list, click the "Next" button to proceed.

Exit

## Step 5 – Review Warnings and Verify Data

When you finish row mapping, the E-Filing process will continue and a series of E-File checks will be run. These checks may produce warnings that you will need to review. If you find there are problems with your data, you can return to any mapping page to make any

necessary changes. If your data are incorrect, you can choose “Start Over” and resubmit a new corrected file. If your data are correct, select “Continue” to move to the next screen.

**Submit Student List**

**E-File Data Checks**

The following data you submitted have been checked again against the 2019-2020 Common Core of Data (CCD) for your school. The CCD, a program of the National Center for Education Statistics, is a comprehensive annual, national statistical database of information concerning all public elementary and secondary schools and school districts. The following data you submitted have also been checked against your historical data (if available) for your school.

Below are discrepancies found between the information in your data file, and the information in the CCD and historical records for your school. Please review each warning message and do one of the following:

- Review and modify the data in question. This could mean either correcting the mapping of your values to NAEP's values, or correcting your Excel file and uploading the file again.
- If the data from your file are correct as is, continue with the data checks process.

**Enrollment and Date of Birth**

- A majority of your students are younger than expected for their grade.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

**School Lunch**

- The number of students receiving reduced price lunch on the file you submitted is greater than the number of students receiving free lunch.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If you would like to change how you matched your school lunch codes to NAEP values, click [here to return to the linking page](#).

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

The next screen will show you a table that summarizes the data in your file. If you verify your data as correct, you need to select “Information is Correct” and then “Submit.”

If your data are incorrect, you should select “Information is Incorrect” or select “Back” and then “Start Over” to submit a new list.

## Submit Student List

### E-File Step 4: Verify Your E-File

The table below summarizes the information you have provided on your student list. Please review this summary and verify that the information is correct and that the total enrollment figure at the bottom of the table accurately represents the number of students on your student list.

Record whether the information is correct or incorrect by clicking the appropriate button at the bottom of the page. Then click the "Submit" button.

1. Students who are not Hispanic and identify with more than one race category are classified as **Two or more races, not Hispanic**.
2. Students whose ethnicity is Hispanic, regardless of race, are classified as **Hispanic, of any race**.

	Grade 8 Students Number	Percentage
<b>Grade</b>		
Grade 8	122	100%
<b>Sex</b>		
Male	65	53.28%
Female	57	46.72%
<b>Student with a Disability</b>		
Yes, IEP	12	9.84%
No, not SD	110	90.16%
<b>NAEP Race/Ethnicity</b>		
White, not Hispanic	107	87.7%
Black or African American, not Hispanic	4	3.28%
Hispanic, of any race	8	6.56%
Asian, not Hispanic	2	1.64%
Two or More Races (not Hispanic)	1	0.82%
<b>NAEP English Learner</b>		
No, not EL	122	100%
<b>School Lunch</b>		
Student not eligible	62	50.82%
Free lunch	10	8.2%
Reduced price lunch	50	40.98%
<b>Total Enrollment:</b>	<b>122</b>	

- Information is **CORRECT**. To the best of my knowledge and understanding, the data are **Current** (based on 2021 - 2022 enrollment), **Complete** (includes all enrolled students), and **Accurate**.
- Information is **INCORRECT**.

Exit

Back

Print

Submit

If you need assistance, call the NAEP help desk at 1-800-283-6237.

## Race/Ethnicity--two columns

# NAEP 2022

## Instructions for Preparing and Submitting an Electronic File of Students (Two Columns Race/Ethnicity)



NAEP needs a complete list of students in the selected grade(s) at your school in order to draw a random sample of students to participate in the assessment. Your student data electronic file (E-File) must be submitted as a Microsoft Excel file.

**We recommend that you create your student file using the NAEP E-File Excel Template**, which is available on the MyNAEP website’s “Submit Student List” page. If necessary, you may alternatively provide an Excel file with the same column headers and data in a different order. If you cannot submit your student data in an Excel file, call the NAEP help desk at **1-800-283-6237**.

### Step 1 – The E-Filing Template

Download and review the E-Filing Template. Note the column headers (fields) that must be included in your student list. If you do not have data for a particular field, you may leave that column blank.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
State Unique Student ID	Student First Name	Student Middle Name	Student Last Name	Grade	Homeroom or Other Locator	Month of Birth	Year of Birth	Sex	Student with a Disability	English Learner	Ethnicity (Hispanic or Non-Hispanic)	Race	School Lunch	On-Break Indicator	Student ZIP code

### Step 2 – Compile Data for the Template

Using the most current enrollment data available, prepare a separate Excel file of **all students enrolled during the 2021-2022 school year for each grade selected to participate in the NAEP assessment.**

- Include in the file data for **all students in the grade**, even those students who typically may be excluded from other testing programs, such as students with IEPs (SD) and English Learners (EL). Make sure there are no duplicate students in your file.

- Make sure you provide information for all students in the sampled grade, including students participating in virtual/remote learning due to COVID-19.
- Also include students in the sampled grade who were displaced from other locations due to hurricanes and other disasters.
- If this is a year-round school,<sup>3</sup> include students who will be on break/on vacation on the scheduled assessment day along with all of the other students enrolled in the sampled grade. The NAEP E-File Excel Template contains an “On Break” column you will use to identify those students who will be on break/on vacation by filling in “Yes.” (If you are not using the template, be sure to create and provide data for an “On Break” column in your file.)

Your Excel file must contain the following data:

Excel Header/ Field Name	NAEP Values	Comments
<b>State unique Student ID</b>	N/A	If available; do not use the student’s Social Security number
<b>Student First Name</b>	N/A	Text; must be in its own column
<b>Student Middle Name</b>	N/A	Text; Middle Name is optional, but the column must be included in the Excel file
<b>Student Last Name</b>	N/A	Text; must be in its own column
<b>Grade in School</b>	4, 8	Use current year data; don’t use previous year’s grade 3 enrollment for grade 4
<b>Homeroom or other Locator</b>	N/A	If available; locator information is helpful in finding students and notifying them of the assessment
<b>Month of Birth</b>	M or MM (numeric format)	Numeric format; must be in its own column
<b>Year of Birth</b>	YYYY (numeric format)  The expected ranges for Year of Birth are listed:  Grade 4—2009–2013 Grade 8—2005–2009	Numeric format; must be in its own column
<b>Sex</b>	School-defined codes	Codes (numeric or text) for Male/Female
<b>Ethnicity (Hispanic or not)</b>	School-defined codes for <ul style="list-style-type: none"> <li>• <b>Yes, Hispanic:</b> A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish (but not Portuguese) culture of origin, regardless of race</li> <li>• <b>No, Not Hispanic</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric
<b>Race</b>	School-defined codes for <ul style="list-style-type: none"> <li>• <b>White:</b> A person having origins in any of the</li> </ul>	Use <b>ONE</b> code per student, text or numeric

Excel Header/ Field Name	NAEP Values	Comments
	<p>original peoples of Europe, North Africa, or the Middle East</p> <ul style="list-style-type: none"> <li>• <b>Black or African American:</b> A person having origins in any of the Black peoples of Africa</li> <li>• <b>Asian:</b> A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, including, for example, Cambodia, China, Japan, India, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam</li> <li>• <b>American Indian or Alaska Native:</b> A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment</li> <li>• <b>Native Hawaiian or Pacific Islander:</b> A person having origins in any of the original people of Hawaii, Guam, Samoa, or other Pacific Islands</li> <li>• <b>Two or More Races:</b> A person who identifies with two or more of the race categories above</li> <li>• <b>School does not collect this information:</b> Available to nonpublic schools only. When used, this code must be applied to all students.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	
<p><b>National School Lunch Program (NSLP)</b></p>	<p>School defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Student not eligible to participate:</b> Student is not eligible for free or reduced-price lunch.</li> <li>• <b>Free lunch:</b> Student is eligible for free lunch. Schools participating in Provisions 2 or 3 of the NSLP should code all students as "free lunch."<sup>4</sup></li> <li>• <b>Reduced-price lunch:</b> Student is eligible for reduced-price lunch.</li> <li>• <b>School not participating:</b> School does not participate in the NSLP. When used, this code must be applied to all students.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul> <p><i>SPECIAL INSTRUCTIONS FOR CEP SCHOOLS: If your school participates in the Community Eligibility Provision (CEP), note that for NAEP, only students who are economically disadvantaged students can be classified as eligible for free or reduced-price lunch.</i></p> <p style="text-align: center;"><b>CEP Direct Only</b></p> <p>In CEP schools in our state, economically disadvantaged students are those who are directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for</p>	<p>Use <b>ONE</b> code per student, text or numeric</p>

<sup>4</sup> If it is not possible to distinguish between "free" and "reduced-price" for some students, code these students as eligible for free lunch.

Excel Header/ Field Name	NAEP Values	Comments																				
	<p>Needy Families (TANF).</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="459 222 1044 520"> <tr> <td>Is the student economically disadvantaged?</td> <td>How should student be coded on NAEP?</td> </tr> <tr> <td>1. Yes</td> <td>1. Eligible for free or reduced-price lunch</td> </tr> <tr> <td>2. No</td> <td>2. Student not eligible</td> </tr> <tr> <td>3. Information missing</td> <td>3. Information unavailable</td> </tr> </table> <p style="text-align: center;"><b>CEP Direct Certification Plus</b></p> <p>In CEP schools in our state, economically disadvantaged students are identified in two ways: a) directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for Needy Families (TANF); b) through a household income survey.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="459 905 1044 1272"> <tr> <td>Is the student economically disadvantaged?</td> <td>How should student be coded on NAEP?</td> </tr> <tr> <td>4. Yes</td> <td>4. Eligible for free or reduced-price lunch</td> </tr> <tr> <td>5. No</td> <td>5. Student not eligible</td> </tr> <tr> <td>6. Information missing</td> <td>6. Information unavailable</td> </tr> </table> <p style="text-align: center;"><b>CEP All</b></p> <p>In our state, all students in CEP schools are considered economically disadvantaged.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="459 1562 1044 1745"> <tr> <td>Is the student economically disadvantaged?</td> <td>How should student be coded on NAEP?</td> </tr> <tr> <td>2. Yes</td> <td>2. Eligible for free lunch</td> </tr> </table>	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free or reduced-price lunch	2. No	2. Student not eligible	3. Information missing	3. Information unavailable	Is the student economically disadvantaged?	How should student be coded on NAEP?	4. Yes	4. Eligible for free or reduced-price lunch	5. No	5. Student not eligible	6. Information missing	6. Information unavailable	Is the student economically disadvantaged?	How should student be coded on NAEP?	2. Yes	2. Eligible for free lunch	
Is the student economically disadvantaged?	How should student be coded on NAEP?																					
1. Yes	1. Eligible for free or reduced-price lunch																					
2. No	2. Student not eligible																					
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6. Information missing	6. Information unavailable																					
Is the student economically disadvantaged?	How should student be coded on NAEP?																					
2. Yes	2. Eligible for free lunch																					
<b>Students with Disabilities (SD)</b>	<p>School-defined codes for</p> <ul style="list-style-type: none"> <li><b>Yes, IEP:</b> Student has a formal Individualized Education Plan (IEP), the student's IEP is in progress, or the student has an equivalent classification for private schools. If some students</li> </ul>	Use <b>ONE</b> code per student, text or numeric																				

Excel Header/ Field Name	NAEP Values	Comments
	<p>have both an IEP and a 504 Plan, code these students as “Yes, IEP.”</p> <ul style="list-style-type: none"> <li>• <b>No, not SD:</b> Student does not have an IEP. If students have a 504 Plan without an IEP, code these students as “No, not SD.”</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this <i>code</i> to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	
<b>English Learner (EL)</b>	<p>School-defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Yes, EL</b></li> <li>• <b>No, Not EL</b></li> <li>• <b>No, Formerly EL:</b> A student who has achieved full English proficiency, is monitored, and is included in EL subgroup for accountability reporting for 2 years (or 4 years depending on state policy) after exiting. Public schools, contact your NAEP State Coordinator if you have any questions about using this code.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric
<b>On-Break Indicator</b>	School-defined code	Only for year-round schools; column can be left off if school is not year-round
<b>Student ZIP Code</b>	Numeric only	If available, format can be five digits or five plus four

### Tips for ensuring the E-File process goes smoothly:

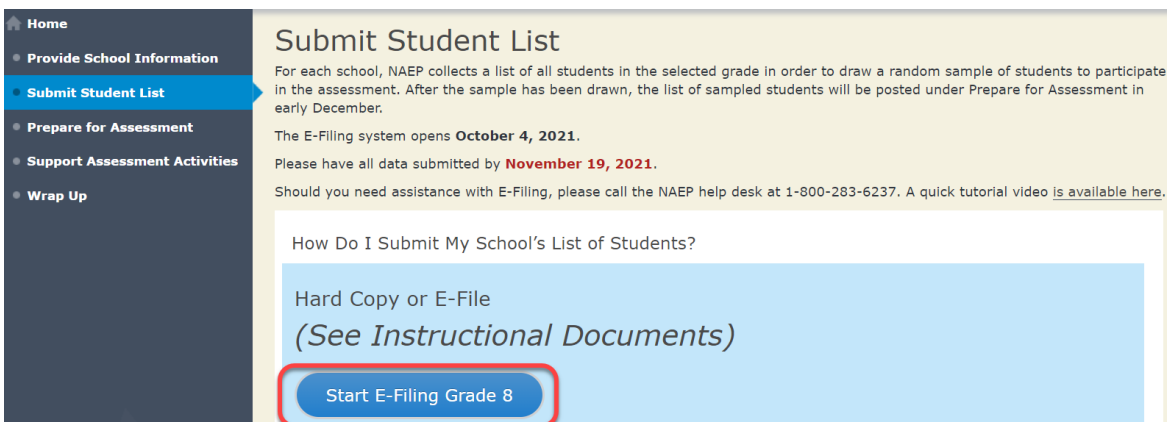
- The NAEP E-File template should be used, if possible. **Please use it as is.** Do not change the column headers. Student information must begin on the second row. There should be no empty rows between the headings and the student data or within the student data, and no headings within the data.
- If you are not able to use the NAEP E-File Excel Template (for example, because of the way your data system works), the columns in your Excel E-File should have the same, or similar, names but do not have to be in any particular order. You will be able to identify the contents of each column after you have submitted your file. (For example, you will be able to indicate that your column labeled “Gender” is what provides the NAEP “Sex” information, and your value of “1” is “Male” and a “2” is “Female,” or whatever the correct interpretation.)
- Be sure to give your file a unique, descriptive name. Select “File,” then “Save As,” and give it a name such as “Your School Name Grade 8.xls.” Should you need to reference your file again, this will help you locate it after E-Filing.
- The first row of data in your file will be read as the column header unless you indicate otherwise on the E-Filing “Welcome” page. Each succeeding row will be considered a student record.

- If you merge or cut and paste data from another Excel file into the template, review the file afterward to be sure there are properly aligned student data in every row and there are no column headers or blank rows in the middle of the data.
- Be sure that your file contains only a heading row and student data, not notes or text in additional columns, or they will be read as data resulting in a warning or error message.
- There should be only one worksheet with data in the Excel file. If there are other worksheets, they must not contain any data.

### **Step 3 – E-File**

Once your file is prepared and checked for accuracy and completeness, log in to the MyNAEP website and select “Submit Student List” from the left-hand menu.

- Select the “Start E-Filing Grade X” button.



- You will see a checklist on the next screen; please review it carefully to be sure your file is set up correctly and is ready to be submitted. Then select “Continue.”

## Submit Student List

### Are You Ready to E-File?

Complete the following steps before starting the E-File process:

- Read the **NAEP 2022 Instructions for Preparing an Electronic File of Students**, provided on the MyNAEP "Submit Student List" page;
- Create a student data file in Excel format using the **NAEP 2022 E-File Excel Template**, provided on the MyNAEP "Submit Student List" page, or acquire a student data file in Excel format in which the first row contains the appropriate variable names, including the correct number of race/ethnicity columns;
- Ensure that your student data file contains one column for each of the variables detailed in the **NAEP 2022 Instructions for Preparing an Electronic File of Students**.
  - State Unique Student ID (do not use the student's Social Security number)
  - Student first name
  - Student last name
  - Grade in school (PK-12)
  - Homeroom or other locator information
  - Month of birth (in M or MM number format, not spelled out as in "July") .
  - Year of birth (in YYYY format).
  - Sex
  - SD indicator - to identify Students with a Disability, defined as a student with an Individualized Education Plan (IEP) for reasons other than being gifted and talented
  - EL indicator - to identify English learners, as defined by your school
  - Race/Ethnicity - use **TWO** columns per student:
    - Ethnicity (Hispanic or not)
    - Race
  - On-break indicator
    - If you are submitting an E-File for a *year-round school*: this indicator identifies students who will be on-break/on vacation on your school's assessment date. (Please see your MyNAEP home page for assessment date).
    - If you are submitting an E-File for a *non year-round school*: do not provide values for this variable
  - Student Zip Code - include the student zip code, if available

\* If the data for this variable are not available at the time of e-Filing, you must still include the empty column in the template.

Print

Continue

Exit

- Enter the required fields and then browse for the name of your file and select "Upload."

## Submit Student List

### E-File Step 1: Upload Your File

Welcome, **State**.

The Four Basic Steps to E-Filing:

1. **Upload** - Submit your list to the E-Filing automated system.
2. **Identify Columns** - Matching the column headings in your list to those in a standard list provided by the system.
3. **Identify Format/Codes** - Matching the codes used in your list for student characteristics such as "sex" and "race" to codes used in NAEP.
4. **Verify** that this matching of codes has been done accurately by reviewing a summary of the data you have matched.

Before uploading a copy of your Excel student data file, please provide us with the following information: [Why we need this.](#)

- Date list is accurate as of:  (mm/dd/yyyy)
- Does your student data file contain **column headers**: Yes ▾

To upload your student data file, you will need to locate the file on your computer.

1. Click on the "**Choose File**" or "**Browse...**" button below. It will open a window in the browser on your computer that will allow you to navigate to where the student list file is located on your computer. Note: You may need to change the "Files of type" to "All files (\*.\*)".
2. Double click on the file (or select it and then click on the Open button). The name of the file (and the path) will appear in the File Name box below.
3. Click on the **Upload** button and proceed.

File Name:  No file chosen

Upload

### Step 4 – Map Your Columns and Rows to NAEP Values

The field names used by your database system may not have the same names as the NAEP values. You will need to match the names of your columns to the names of the NAEP columns, and then, match row values to NAEP values.

## Submit Student List

### E-File Step 2: Identify Your Column Contents

We need to know what information is in each column of your student data file. Click on the down arrow next to the words in the table that read "Column Contains ...". If there is no appropriate description in the drop-down list, please select N/A. It is important for us to have as much information about each student as possible. However, the essential information that must be reflected in the columns on the student list is: grade, student first name, student last name, month of birth, year of birth, sex, student with a disability (SD) indicator, and English Learner (EL) indicator. If you are E-Filing for a year-round multi-track school, include a column for on-break indicator.

**Note:** Click on the links below in the "Column Heading Is" to view the student data contained in that column. If you wish to correct or change your selections for column content descriptions, click the "Clear" button. Clicking the "Clear" button will delete all of the selections you have made in each of the rows entitled "Columns Contains".

Click here to see a worksheet view of your student data. If you find you have errors in your data, you will need to exit this program, correct the problem in your Excel file, and upload the file again.

Column Heading Is	Your Column Contains	Clear
<a href="#">State Unique Student ID</a>	Your Column Contains...	
<a href="#">Student First Name</a>		
<a href="#">Student Middle Name</a>		
<a href="#">Student Last Name</a>		
<a href="#">Grade</a>		
<a href="#">Homeroom or Other Locator</a>		
<a href="#">Month of Birth</a>		
<a href="#">Year of Birth</a>		
<a href="#">Sex</a>		
<a href="#">Student with a Disability</a>		
<a href="#">English Learner</a>		
<a href="#">Ethnicity (Hispanic or Non-Hispanic)</a>		
<a href="#">Race</a>		
<a href="#">School Lunch</a>		
<a href="#">On-Break Indicator</a>		
<a href="#">Student ZIP code</a>		

When you have identified all of the columns on your list, click the "Next" button to proceed. The following screens will be determined by the order of the columns in your Excel file.


Exit Back

Shown below is an example of row mapping. You need to match the values in each of your columns to the NAEP codes (in drop-down). Once you map a value, select "Next" to move to the next value.

## Submit Student List

### E-File Step 3-1: Match Your Values to NAEP codes



For each of your values below, click on the down arrow next to "Select Code" and click on the NAEP code that best matches your value.

To view the student records that have the selected value in the "Student with a Disability" column, click on the view icon  below. To view the worksheet containing all of your student data, [click here](#).

**If there is an error in your data file, click "Back" until you get to the Upload page. Then click "Exit", correct the problem in your Excel file, and upload the file again.**

If your school/state does not distinguish between students who have IEP or 504 plans, please code all those students as "Information unavailable at this time".

**Student with a Disability**  
Your File Contains 2 Value(s)

Grade 8					
#	View	Your Values	NAEP Codes	Number	Percentage
1.		1	Yes, IEP	12	9.8%
2.		3	Select Code...	110	90.2%

When you have identified all of the codes on your list, click the "Next" button to proceed.

Exit

## Step 5 – Review Warnings and Verify Data

When you finish row mapping, the E-Filing process will continue and a series of E-File checks will be run. These checks may produce warnings that you will need to review. If you find there are problems with your data, you can return to any mapping page to make any necessary changes. If your data are incorrect, you can choose “Start Over” and resubmit a new corrected file. If your data are correct, select “Continue” to move to the next screen.

**Submit Student List**

**E-File Data Checks**

The following data you submitted have been checked again against the 2019-2020 Common Core of Data (CCD) for your school. The CCD, a program of the National Center for Education Statistics, is a comprehensive annual, national statistical database of information concerning all public elementary and secondary schools and school districts. The following data you submitted have also been checked against your historical data (if available) for your school.

Below are discrepancies found between the information in your data file, and the information in the CCD and historical records for your school. Please review each warning message and do one of the following:

- Review and modify the data in question. This could mean either correcting the mapping of your values to NAEP's values, or correcting your Excel file and uploading the file again.
- If the data from your file are correct as is, continue with the data checks process.

**Enrollment and Date of Birth**

- A majority of your students are younger than expected for their grade.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

**School Lunch**

- The number of students receiving reduced price lunch on the file you submitted is greater than the number of students receiving free lunch.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If you would like to change how you matched your school lunch codes to NAEP values, click [here to return to the linking page](#).

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

Start Over Continue Exit

The next screen will show you a table that summarizes the data in your file. If you verify your data as correct, you need to select “Information is Correct” and then “Submit.”

If your data are incorrect, you should select “Information is Incorrect” or select “Back” and then “Start Over” to submit a new list.

## Submit Student List

### E-File Step 4: Verify Your E-File

The table below summarizes the information you have provided on your student list. Please review this summary and verify that the information is correct and that the total enrollment figure at the bottom of the table accurately represents the number of students on your student list.

Record whether the information is correct or incorrect by clicking the appropriate button at the bottom of the page. Then click the "Submit" button.

1. Students who are not Hispanic and identify with more than one race category are classified as **Two or more races, not Hispanic**.
2. Students whose ethnicity is Hispanic, regardless of race, are classified as **Hispanic, of any race**.

	Grade 8 Students Number	Percentage
<b>Grade</b>		
Grade 8	122	100%
<b>Sex</b>		
Male	65	53.28%
Female	57	46.72%
<b>Student with a Disability</b>		
Yes, IEP	12	9.84%
No, not SD	110	90.16%
<b>NAEP Race/Ethnicity</b>		
White, not Hispanic	107	87.7%
Black or African American, not Hispanic	4	3.28%
Hispanic, of any race	8	6.56%
Asian, not Hispanic	2	1.64%
Two or More Races (not Hispanic)	1	0.82%
<b>NAEP English Learner</b>		
No, not EL	122	100%
<b>School Lunch</b>		
Student not eligible	62	50.82%
Free lunch	10	8.2%
Reduced price lunch	50	40.98%
<b>Total Enrollment:</b>	122	

- Information is **CORRECT**. To the best of my knowledge and understanding, the data are **Current** (based on 2021 - 2022 enrollment), **Complete** (includes all enrolled students), and **Accurate**.
- Information is **INCORRECT**.

Exit

Back

Print

Submit

If you need assistance, call the NAEP help desk at 1-800-283-6237.

## Race/Ethnicity—six columns

# NAEP 2022

## Instructions For Preparing and Submitting an Electronic File of Students (Six Columns Race/Ethnicity)



NAEP needs a complete list of students in the selected grade(s) at your school in order to draw a random sample of students to participate in the assessment. Your student data electronic file (E-File) must be submitted as a Microsoft Excel file.

**We recommend that you create your student file using the NAEP E-File Excel Template**, which is available on the MyNAEP website’s “Submit Student List” page. If necessary, you may alternatively provide an Excel file with the same column headers and data in a different order. If you cannot submit your student data in an Excel file, call the NAEP help desk at **1-800-283-6237**.

### Step 1 – The E-Filing Template

Download and review the E-Filing Template. Note the column headers (fields) that must be included in your student list. If you do not have data for a particular field, you may leave that column blank.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
State Unique Student ID	Student First Name	Student Middle Name	Student Last Name	Grade	Homeroom or Other Locator	Month of Birth	Year of Birth	Sex	Student with a Disability	English Learner	Ethnicity (Hispanic or Non-Hispanic)	White	Black or African American	Asian	American Indian or AK Native	Native Hawaiian or Pac Islander	School Lunch	On-Break Indicator	Student ZIP code

### Step 2 – Compile Data for the Template

Using the most current enrollment data available, prepare a separate Excel file of **all students enrolled during the 2021–2022 school year for each grade selected to participate in the NAEP assessment.**

- Include in the file data for **all students in the grade**, even those students who typically may be excluded from other testing programs, such as students with

IEPs (SD) and English Learners (EL). Make sure there are no duplicate students in your file.

- Make sure you provide information for all students in the sampled grade, including students participating in virtual/remote learning due to COVID-19.
- Also, include students in the sampled grade who were displaced from other locations due to hurricanes and other disasters.
- If this is a year-round school,<sup>5</sup> include students who will be on break/on vacation on the scheduled assessment day along with all of the other students enrolled in the sampled grade. The NAEP E-File Excel Template contains an “On Break” column you will use to identify those students who will be on break/on vacation by filling in “Yes.” (If you are not using the template, be sure to create and provide data for an “On Break” column in your file.)

Your Excel file must contain the following data:

<b>Excel Header/ Field Name</b>	<b>NAEP Values</b>	<b>Comments</b>
<b>State unique Student ID</b>	N/A	If available; do not use the student’s Social Security number
<b>Student First Name</b>	N/A	Text; must be in its own column
<b>Student Middle Name</b>	N/A	Text; Middle Name is optional, but the column must be included in the Excel file
<b>Student Last Name</b>	N/A	Text; must be in its own column
<b>Grade in School</b>	4, 8	Use current year data; don’t use previous year’s grade 3 enrollment for grade 4
<b>Homeroom or other Locator</b>	N/A	If available; locator information is helpful in finding students and notifying them of the assessment
<b>Month of Birth</b>	M or MM (numeric format)	Numeric format; must be in its own column
<b>Year of Birth</b>	YYYY (numeric format)  The expected ranges for Year of Birth are listed below:	Numeric format; must be in its own column

<sup>5</sup>In year-round schools, students attend classes throughout the 12 calendar months unlike traditional schools that follow the 9-month calendar. However, at any one time during the year a percentage (e.g., 25%) of the students will be on break or on vacation.

Excel Header/ Field Name	NAEP Values	Comments
	Grade 4—2009–2013 Grade 8—2005–2009	
<b>Sex</b>	School-defined codes	Codes (numeric or text) for Male/Female
<b>Ethnicity (Hispanic or not)</b>	School-defined codes for <ul style="list-style-type: none"> <li>• <b>Yes, Hispanic:</b> A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish (but not Portuguese) culture of origin, regardless of race</li> <li>• <b>No, Not Hispanic</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Indicate all Race/Ethnicity categories that apply for each student, text or numeric
<b>Race: White</b>	<ul style="list-style-type: none"> <li>• <b>Yes, White:</b> A person having origins in any of the original peoples of Europe, North Africa, or the Middle East</li> <li>• <b>No, not White</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Indicate all Race/Ethnicity categories that apply for each student, text or numeric.
<b>Race: Black or African American</b>	<ul style="list-style-type: none"> <li>• <b>Yes, Black:</b> A person having origins in any of the Black peoples of Africa</li> <li>• <b>No, not Black</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Indicate all Race/Ethnicity categories that apply for each student, text or numeric.
<b>Race: Asian</b>	<ul style="list-style-type: none"> <li>• <b>Yes, Asian:</b> A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, including, for example, Cambodia, China, Japan, India, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam</li> <li>• <b>No, not Asian</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as “N/A” (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to</li> </ul>	Indicate all Race/Ethnicity categories that apply for each student, text or numeric.

Excel Header/ Field Name	NAEP Values	Comments
<b>Race: American Indian or Alaska Native</b>	<p>collect the data at a later date.</p> <ul style="list-style-type: none"> <li>• <b>Yes, American Indian or Alaska Native:</b> A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment</li> <li>• <b>No, not American Indian or Alaska Native</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	<p>Indicate all Race/Ethnicity categories that apply for each student, text or numeric.</p>
<b>Race: Native Hawaiian or Pacific Islander</b>	<ul style="list-style-type: none"> <li>• <b>Yes, Native Hawaiian or Pacific Islander:</b> A person having origins in any of the original people of Hawaii, Guam, Samoa, or other Pacific Islands</li> <li>• <b>No, not Native Hawaiian or Pacific Islander</b></li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	<p>Indicate all Race/Ethnicity categories that apply for each student, text or numeric.</p>
<b>National School Lunch Program (NSLP)</b>	<p>School defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Student not eligible to participate:</b> Student is not eligible for free or reduced-price lunch.</li> <li>• <b>Free lunch:</b> Student is eligible for free lunch. Schools participating in Provisions 2 or 3 of the NSLP should code all students as "free lunch."<sup>6</sup></li> <li>• <b>Reduced-price lunch:</b> Student is eligible for reduced-price lunch.</li> <li>• <b>School not participating:</b> School does not participate in the NSLP. When used, this code must be applied to all students.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	<p>Use <b>ONE</b> code per student, text or numeric.</p>

<sup>6</sup>If it is not possible to distinguish between "free" and "reduced-price" for some students, code these students as eligible for free lunch

Excel Header/ Field Name	NAEP Values	Comments																
	<p>SPECIAL INSTRUCTIONS FOR CEP SCHOOLS: If your school participates in the Community Eligibility Provision (CEP), note that for NAEP, only students who are economically disadvantaged students can be classified as eligible for free or reduced-price lunch.</p> <p style="text-align: center;"><b>CEP Direct Only</b></p> <p>In CEP schools in our state, economically disadvantaged students are those who are directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for Needy Families (TANF).</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="440 762 1024 1129"> <thead> <tr> <th data-bbox="440 762 740 869">Is the student economically disadvantaged?</th> <th data-bbox="740 762 1024 869">How should student be coded on NAEP?</th> </tr> </thead> <tbody> <tr> <td data-bbox="440 869 740 982">1. Yes</td> <td data-bbox="740 869 1024 982">1. Eligible for free or reduced-price lunch</td> </tr> <tr> <td data-bbox="440 982 740 1056">2. No</td> <td data-bbox="740 982 1024 1056">2. Student not eligible</td> </tr> <tr> <td data-bbox="440 1056 740 1129">3. Information missing</td> <td data-bbox="740 1056 1024 1129">3. Information unavailable</td> </tr> </tbody> </table> <p style="text-align: center;"><b>CEP Direct Certification Plus</b></p> <p>In CEP schools in our state, economically disadvantaged students are identified in two ways: a) directly certified through participation in assistance programs, such as the Supplemental Nutrition Assistance Program (SNAP) and the Temporary Assistance for Needy Families (TANF); b) through a household income survey.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="440 1566 1024 1934"> <thead> <tr> <th data-bbox="440 1566 740 1673">Is the student economically disadvantaged?</th> <th data-bbox="740 1566 1024 1673">How should student be coded on NAEP?</th> </tr> </thead> <tbody> <tr> <td data-bbox="440 1673 740 1787">1. Yes</td> <td data-bbox="740 1673 1024 1787">1. Eligible for free or reduced-price lunch</td> </tr> <tr> <td data-bbox="440 1787 740 1860">2. No</td> <td data-bbox="740 1787 1024 1860">2. Student not eligible</td> </tr> <tr> <td data-bbox="440 1860 740 1934">3. Information missing</td> <td data-bbox="740 1860 1024 1934">3. Information unavailable</td> </tr> </tbody> </table>	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free or reduced-price lunch	2. No	2. Student not eligible	3. Information missing	3. Information unavailable	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free or reduced-price lunch	2. No	2. Student not eligible	3. Information missing	3. Information unavailable	
Is the student economically disadvantaged?	How should student be coded on NAEP?																	
1. Yes	1. Eligible for free or reduced-price lunch																	
2. No	2. Student not eligible																	
3. Information missing	3. Information unavailable																	
Is the student economically disadvantaged?	How should student be coded on NAEP?																	
1. Yes	1. Eligible for free or reduced-price lunch																	
2. No	2. Student not eligible																	
3. Information missing	3. Information unavailable																	

Excel Header/ Field Name	NAEP Values	Comments				
	<p style="text-align: center;"><b>CEP All</b></p> <p>In our state, all students in CEP schools are considered economically disadvantaged.</p> <p>Please code your students as follows:</p> <table border="1" data-bbox="440 327 1024 512"> <tr> <td data-bbox="440 327 740 436">Is the student economically disadvantaged?</td> <td data-bbox="740 327 1024 436">How should student be coded on NAEP?</td> </tr> <tr> <td data-bbox="440 436 740 512">1. Yes</td> <td data-bbox="740 436 1024 512">1. Eligible for free lunch</td> </tr> </table>	Is the student economically disadvantaged?	How should student be coded on NAEP?	1. Yes	1. Eligible for free lunch	
Is the student economically disadvantaged?	How should student be coded on NAEP?					
1. Yes	1. Eligible for free lunch					
<b>Students with Disabilities (SD)</b>	<p>School-defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Yes, IEP:</b> Student has a formal Individualized Education Plan (IEP), the student's IEP is in progress, or the student has an equivalent classification for private schools. If some students have both an IEP and a 504 Plan, code these students as "Yes, IEP."</li> <li>• <b>No, not SD:</b> Student does not have an IEP. If students have a 504 Plan without an IEP, code these students as "No, not SD."</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric				
<b>English Learner (EL)</b>	<p>School-defined codes for</p> <ul style="list-style-type: none"> <li>• <b>Yes, EL</b></li> <li>• <b>No, Not EL</b></li> <li>• <b>No, Formerly EL:</b> If A student who has achieved full English proficiency, is monitored, and is included in EL subgroup for accountability reporting for 2 years (or 4 years depending on state policy) after exiting. Public schools, contact your NAEP State Coordinator if you have any questions about using this code.</li> <li>• <b>Information unavailable at this time:</b> If you currently do not have this information for one or more students, blank cells or an indicator such as "N/A" (Not Available) in the cell(s) can be mapped to this code to notify your NAEP representative of the need to collect the data at a later date.</li> </ul>	Use <b>ONE</b> code per student, text or numeric				
<b>On-Break Indicator</b>	School-defined code	Only for year-round schools; column can be left off if school is not year-round				
<b>Student ZIP Code</b>	Numeric only	If available; format				

Excel Header/ Field Name	NAEP Values	Comments
		can be five digits or five plus four

Tips for ensuring the E-File process goes smoothly:

- The NAEP E-File template should be used, if possible. **Please use it as is.** Do not change the column headers. Student information must begin on the second row. There should be no empty rows between the headings and the student data or within the student data, and no headings within the data.
- If you are not able to use the NAEP E-File Excel Template (for example, because of the way your data system works), the columns in your Excel E-File should have the same, or similar, names but do not have to be in any particular order. You will be able to identify the contents of each column after you have submitted your file. (For example, you will be able to indicate that your column labeled “Gender” is what provides the NAEP “Sex” information, and your value of “1” is “Male” and a “2” is “Female,” or whatever the correct interpretation.)
- Be sure to give your file a unique, descriptive name. Select “File,” then “Save As,” and give it a name such as “Your School Name Grade 8.xlsx.” Should you need to reference your file again, this will help you locate it after E-Filing.
- The first row of data in your file will be read as the column header unless you indicate otherwise on the E-Filing “Welcome” page. Each succeeding row will be considered a student record.
- If you merge or cut and paste data from another Excel file into the template, review the file afterward to be sure there are properly aligned student data in every row and there are no column headers or blank rows in the middle of the data.
- Be sure that your file contains only a heading row and student data, not notes or text in additional columns, or they will be read as data resulting in a warning or error message.
- There should be only one worksheet with data in the Excel file. If there are other worksheets, they must not contain any data.

### **Step 3 – E-File**

Once your file is prepared and checked for accuracy and completeness, log in to the MyNAEP website and select “Submit Student List” from the left-hand menu.

- Select the “Start E-Filing Grade X” button

**Submit Student List**

For each school, NAEP collects a list of all students in the selected grade in order to draw a random sample of students to participate in the assessment. After the sample has been drawn, the list of sampled students will be posted under Prepare for Assessment in early December.

The E-Filing system opens **October 4, 2021**.

Please have all data submitted by **November 19, 2021**.

Should you need assistance with E-Filing, please call the NAEP help desk at 1-800-283-6237. A quick tutorial video [is available here](#).

How Do I Submit My School’s List of Students?

Hard Copy or E-File  
(See *Instructional Documents*)

Start E-Filing Grade 8

- You will see a checklist on the next screen; please review it carefully to be sure your file is set up correctly and is ready to be submitted. Then select “Continue.”

**Submit Student List**

**Are You Ready to E-File?**

Complete the following steps before starting the E-File process:

- Read the **NAEP 2022 Instructions for Preparing an Electronic File of Students**, provided on the MyNAEP “Submit Student List” page;
- Create a student data file in Excel format using the **NAEP 2022 E-File Excel Template**, provided on the MyNAEP “Submit Student List” page, or acquire a student data file in Excel format in which the first row contains the appropriate variable names, including the correct number of race/ethnicity columns;
- Ensure that your student data file contains one column for each of the variables detailed in the **NAEP 2022 Instructions for Preparing an Electronic File of Students**.
  - State Unique Student ID (do not use the student’s Social Security number)
  - Student first name
  - Student last name
  - Grade in school (PK-12)
  - Homeroom or other locator information
  - Month of birth (in M or MM number format, not spelled out as in “July”) .
  - Year of birth (in YYYY format).
  - Sex
  - SD indicator - to identify Students with a Disability, defined as a student with an Individualized Education Plan (IEP) for reasons other than being gifted and talented
  - EL indicator - to identify English learners, as defined by your school
  - Race/Ethnicity - use **SIX** codes (columns) per student, as follows:
    - Ethnicity (Hispanic or not)
    - White
    - Black or African American
    - Asian
    - American Indian or Alaska Native
    - Native Hawaiian or Pacific Islander
  - On-break indicator
    - If you are submitting an E-File for a *year-round school*: this indicator identifies students who will be on-break/on vacation on your school’s assessment date. (Please see your MyNAEP home page for assessment date).
    - If you are submitting an E-File for a *non year-round school*: do not provide values for this variable
  - Student Zip Code - include the student zip code, if available

\* If the data for this variable are not available at the time of e-Filing, you must still include the empty column in the template.

Print Continue Exit

- Enter the required fields and then browse for the name of your file and select “Upload.”

## Submit Student List

### E-File Step 1: Upload Your File

Welcome, **State**.

The Four Basic Steps to E-Filing:

1. **Upload** - Submit your list to the E-Filing automated system.
2. **Identify Columns** - Matching the column headings in your list to those in a standard list provided by the system.
3. **Identify Format/Codes** - Matching the codes used in your list for student characteristics such as "sex" and "race" to codes used in NAEP.
4. **Verify** that this matching of codes has been done accurately by reviewing a summary of the data you have matched.

Before uploading a copy of your Excel student data file, please provide us with the following information: [Why we need this.](#)

• Date list is accurate as of:  (mm/dd/yyyy)

• Does your student data file contain column headers:

To upload your student data file, you will need to locate the file on your computer.

1. Click on the **"Choose File"** or **"Browse..."** button below. It will open a window in the browser on your computer that will allow you to navigate to where the student list file is located on your computer. Note: You may need to change the "Files of type" to "All files (\*.\*)".
2. Double click on the file (or select it and then click on the Open button). The name of the file (and the path) will appear in the File Name box below.
3. Click on the **Upload** button and proceed.

File Name:  No file chosen

Upload

## Step 4 – Map Your Columns and Rows to NAEP Values

The field names used by your database system may not have the same names as the NAEP values. You will need to match the names of your columns to the names of the NAEP columns, and then, match row values to NAEP values.

### Submit Student List

**E-File Step 2: Identify Your Column Contents**

We need to know what information is in each column of your student data file. Click on the down arrow next to the words in the table that read "Column Contains ...". If there is no appropriate description in the drop-down list, please select N/A. It is important for us to have as much information about each student as possible. However, the essential information that must be reflected in the columns on the student list is: grade, student first name, student last name, month of birth, year of birth, sex, student with a disability (SD) indicator, and English Learner (EL) indicator. If you are E-Filing for a year-round multi-track school, include a column for on-break indicator.

**Note:** Click on the links below in the "Column Heading Is" to view the student data contained in that column. If you wish to correct or change your selections for column content descriptions, click the "Clear" button. Clicking the "Clear" button will delete all of the selections you have made in each of the rows entitled "Columns Contains".

Click here to see a worksheet view of your student data. If you find you have errors in your data, you will need to exit this program, correct the problem in your Excel file, and upload the file again.

Column Heading Is	Your Column Contains	Clear
<a href="#">State Unique Student ID</a>	Your Column Contains...	
<a href="#">Student First Name</a>		
<a href="#">Student Middle Name</a>		
<a href="#">Student Last Name</a>		
<a href="#">Grade</a>		
<a href="#">Homeroom or Other Locator</a>		
<a href="#">Month of Birth</a>		
<a href="#">Year of Birth</a>		
<a href="#">Sex</a>		
<a href="#">Student with a Disability</a>	Student with a Disability	
<a href="#">English Learner</a>	English Learner	
<a href="#">Ethnicity (Hispanic or Non-Hispanic)</a>	Hispanic, of any race	
<a href="#">White</a>	White	
<a href="#">Black or African American</a>	Black or African American	
<a href="#">Asian</a>	Asian	
<a href="#">American Indian or AK Native</a>		
<a href="#">Native Hawaiian or Pac Islander</a>		
<a href="#">School Lunch</a>		
<a href="#">On-Break Indicator</a>		
<a href="#">Student ZIP code</a>		

When you have identified all of the columns on your list, click the "Next" button to proceed. The following screens will be determined by the order of the columns in your Excel file.


Exit Back

Shown below is an example of row mapping. You need to match the values in each of your columns to the NAEP codes (in drop-down). Once you map a value, select "Next" to move to the next value.

### Submit Student List

**E-File Step 3-1: Match Your Values to NAEP codes**



For each of your values below, click on the down arrow next to "Select Code" and click on the NAEP code that best matches your value.

To view the student records that have the selected value in the "Student with a Disability" column, click on the view icon  below. To view the worksheet containing all of your student data, [click here](#).

**If there is an error in your data file, click "Back" until you get to the Upload page. Then click "Exit", correct the problem in your Excel file, and upload the file again.**

If your school/state does not distinguish between students who have IEP or 504 plans, please code all those students as "Information unavailable at this time".

**Student with a Disability**  
Your File Contains 2 Value(s)

		Grade 8			
#	View	Your Values	NAEP Codes	Number	Percentage
1.		1	Yes, IEP	12	9.8%
2.		3	Select Code...	110	90.2%

When you have identified all of the codes on your list, click the "Next" button to proceed.

Exit

## Step 5 – Review Warnings and Verify Data

When you finish row mapping, the E-Filing process will continue and a series of E-File checks will be run. These checks may produce warnings that you will need to review. If you find there are problems with your data, you can return to any mapping page to make any necessary changes. If your data are incorrect, you can choose “Start Over” and resubmit a new corrected file. If your data are correct, select “Continue” to move to the next screen.

**Submit Student List**

**E-File Data Checks**

The following data you submitted have been checked again against the 2019-2020 Common Core of Data (CCD) for your school. The CCD, a program of the National Center for Education Statistics, is a comprehensive annual, national statistical database of information concerning all public elementary and secondary schools and school districts. The following data you submitted have also been checked against your historical data (if available) for your school.

Below are discrepancies found between the information in your data file, and the information in the CCD and historical records for your school. Please review each warning message and do one of the following:

- Review and modify the data in question. This could mean either correcting the mapping of your values to NAEP's values, or correcting your Excel file and uploading the file again.
- If the data from your file are correct as is, continue with the data checks process.

**Enrollment and Date of Birth**

- A majority of your students are younger than expected for their grade.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

**School Lunch**

- The number of students receiving reduced price lunch on the file you submitted is greater than the number of students receiving free lunch.

Are your data correct? If you are satisfied with the information in your data file, click Continue.

If you would like to change how you matched your school lunch codes to NAEP values, click [here to return to the linking page](#).

If there is an error in your data file, click Start Over, correct this information in your Excel file, and upload the file again.

The next screen will show you a table that summarizes the data in your file. If you verify your data as correct, you need to select “Information is Correct” and then “Submit.”

If your data are incorrect, you should select “Information is Incorrect” or select “Back” and then “Start Over” to submit a new list.

## Submit Student List

### E-File Step 4: Verify Your E-File

The table below summarizes the information you have provided on your student list. Please review this summary and verify that the information is correct and that the total enrollment figure at the bottom of the table accurately represents the number of students on your student list.

Record whether the information is correct or incorrect by clicking the appropriate button at the bottom of the page. Then click the "Submit" button.

1. Students who are not Hispanic and identify with more than one race category are classified as **Two or more races, not Hispanic**.
2. Students whose ethnicity is Hispanic, regardless of race, are classified as **Hispanic, of any race**.

	Grade 8 Students Number	Percentage
<b>Grade</b>		
Grade 8	122	100%
<b>Sex</b>		
Male	65	53.28%
Female	57	46.72%
<b>Student with a Disability</b>		
Yes, IEP	12	9.84%
No, not SD	110	90.16%
<b>NAEP Race/Ethnicity</b>		
White, not Hispanic	107	87.7%
Black or African American, not Hispanic	4	3.28%
Hispanic, of any race	8	6.56%
Asian, not Hispanic	2	1.64%
Two or More Races (not Hispanic)	1	0.82%
<b>NAEP English Learner</b>		
No, not EL	122	100%
<b>School Lunch</b>		
Student not eligible	62	50.82%
Free lunch	10	8.2%
Reduced price lunch	50	40.98%
<b>Total Enrollment:</b>	<b>122</b>	

- Information is **CORRECT**. To the best of my knowledge and understanding, the data are **Current** (based on 2021 - 2022 enrollment), **Complete** (includes all enrolled students), and **Accurate**.
- Information is **INCORRECT**.

Exit

Back

Print

Submit

If you need assistance, call the NAEP help desk at 1-800-283-6237.