

## **ADDENDUM TO THE PROVIDER AGREEMENT TO ELECTRONICALLY FILE AND MAINTAIN COTTON WAREHOUSE RECEIPTS**

This Addendum between (a) \_\_\_\_\_ (Provider) and the Agricultural Marketing Service (AMS) authorizes the Provider to establish and maintain a database and system, referred to as a central filing system (CFS) for the purpose of electronically filing cotton electronic warehouse receipts issued under the United States Warehouse Act (USWA) and permits the Provider to accept the filing of electronic warehouse receipts from other than USWA licensed warehouse operators in such electronic data filing system. Such electronically filed warehouse receipts for cotton are hereafter referred to as "Electronic Warehouse Receipts (EWRs)."

This Addendum sets forth the Provider's minimum requirements for EWR record formatting, reporting requirements and the protocols to be used in the transmission of such information.

### **I. Receipt Record Data Requirements**

AMS, in administration of the USWA, the regulations found at 7 CFR Part 735, the Provider Agreement To Electronically File And Maintain Electronic Warehouse Receipts, and this Addendum, may at any time require the Provider to furnish information beyond the minimum requirements shown in this Addendum.

#### **A. Required Information**

The Provider shall, at a minimum make the elements listed below available to every USWA and non-USWA licensed warehouse operator issuing EWRs in the CFS. The Provider shall ensure that all of these fields are completed by all warehouse operators. The Provider shall advise warehouse operators that it is the warehouse operator's responsibility to supply the necessary data to complete each element.

USWA license number, if applicable\*

Receipt number

License type, US if Federally licensed, NL if not licensed, the two letter Postal abbreviation if State licensed or IC if ICE Futures U.S., Inc. licensed, will be displayed adjacent to the receipt number

Issuance date

Receipt status

Indicate whether the receipt is "Not Negotiable", or "Negotiable" according to the nature of the receipt

Cancellation date (date cancellation batch file received and accepted in the Provider's system)

Name of warehouse

Location of warehouse (City)

Location of warehouse (State)

Location of warehouse (Country ISO Code, if other than the United States)

Warehouse operator

Location receipt issued (City)

Location receipt issued (State)

Location receipt issued (Country ISO Code, if other than the United States)

Received from

Holder

Lot identification (multiple bale receipts)

Cotton graded

**Indicate:** "Agricultural Marketing Service classing data attached," or "Other classing data attached," or "Not graded at request of the depositor"

Crop year (not required on non-negotiable multiple bale EWRs)  
 Net weight (non-negotiable EWRs must show the total net weight)  
 Number of bales (multiple bale EWRs)  
 Warehouse Code (foreign warehouse codes are not assigned by USDA)  
 Receipt Type (single bale or multiple bale)  
 Paper receipt number (if applicable)  
 Compression status  
 Compression Paid or Unpaid  
 Receiving Charges Paid or Due  
 Storage Paid through Date  
 Location of Bale  
 Gin Code\*\* (Does not apply to non-negotiable multiple bale EWRs; foreign gin codes are not assigned by USDA)  
 Gin Tag\*\* (Does not apply to non-negotiable multiple bale EWRs; foreign gin tags are not regulated by USDA)  
 Gross and Tare weight (non-negotiable EWRs must show the total gross and tare weight)  
 Name of person authorized to sign warehouse receipt  
 Terms and conditions (Refer to Section III for USWA licensed warehouse operators, Section IV for State or non-licensed warehouse operators and Section V for ICE Futures U.S., Inc. licensed warehouse operators for terms and conditions that apply to each EWR that must be furnished by the warehouse operator issuing the EWRs).

\* Enter Federal or State license number, if not licensed zero fill field. In the case of foreign warehouses, enter ICE Futures U.S., Inc. license number.

\*\*In case of reconcentrated cotton this element can be the previous storing warehouse code and receipt number.

B. Additional Information

The Provider shall, at a minimum, make the element listed below available to every USWA and non-USWA licensed warehouse operator issuing EWRs in the CFS. The Provider shall ensure that this field is completed by all warehouse operators. The Provider shall advise warehouse operators that it is the warehouse operator's responsibility to supply the necessary data to complete this element. This addendum does not restrict the number of fields that may be made available to warehouse operators.

Commodity Credit Corporation (CCC) Agreement (Y or N)

C. Required elements that can be modified without the warehouse operator being the holder

AMS may modify the elements, "receiving charges paid or due", and "storage paid through date", on EWRs that CCC is the holder and payments have been made to the warehouse operator. The Provider shall notify the warehouse operator of any changes.

AMS will allow the warehouse operator to modify the element, "location of bale", without being the holder of the EWR. The Provider shall notify the current holder of the EWR of any changes.

D. Converting Electronic to Paper

When converting from an electronic to a paper warehouse receipt, the Provider shall advise the warehouse operator to print on the face of the paper warehouse receipt the EWR number.

## **II. Transmission of Data**

Upon request by AMS all transmissions of data shall be secured and transmitted via telecommunications hardware and software according to the requirements described in Attachment I Provider Specifications for interfacing with Warehouse Examiners' Communications Software (WECS).

## **III. Terms and Conditions For USWA Licensed Warehouse Operators**

The following information must be recorded on all EWRs.

The statements:

Incorporated or Unincorporated and if incorporated, under what laws.

Insured, to what extent, by the warehouse operator against loss by fire, lightning and other risks.

Weight was determined by a weigher licensed under the USWA.

The applicable charges claimed by the warehouse operator for storage and other services.

In the event the relationship existing between the warehouse operator and any depositor is not that of strictly disinterested custodianship, a statement setting forth the actual relationship.

## **IV. Terms and Conditions For State or Non-Licensed Warehouse Operators**

The following information must be recorded on all EWRs.

The statements:

Incorporated or Unincorporated and if incorporated, under what laws.

Insured, to what extent, by the warehouse operator against loss by fire, lightning and other risks.

The authority that the warehouse operator issues warehouse receipts under, state warehouse code for state licensed warehouses or Uniform Commercial Code for non-licensed warehouses.

The amount of bond or financial assurance backing the EWR.

Weight was determined by a weigher licensed or approved under what laws or authority, or "Not weighed on request of depositor."

The applicable charges claimed by the warehouse operator for storage and other services.

In the event the relationship existing between the warehouse operator and any depositor is not that of strictly disinterested custodianship, a statement setting forth the actual relationship.

## **V. Terms and Conditions For ICE Futures U.S., Inc. Licensed Warehouse Operators**

The following information must be recorded on all EWRs.

The statements:

Legal Structure of Licensed Warehouse Operator and organized under what laws.

Insured, to what extent, by the warehouse operator against loss by fire, lightning and other risks.

The authority that the warehouse operator issues warehouse receipts under.

The amount of bond or financial assurance backing the EWR.

Weight was determined by a weigher licensed or approved under what laws or authority, or “Not weighed on request of depositor.”

The applicable charges claimed by the warehouse operator for storage and other services.

In the event the relationship existing between the warehouse operator and any depositor is not that of strictly disinterested custodianship, a statement setting forth the actual relationship.

**VI. Contact**

Chief, License and Storage Contract Branch  
Warehouse and Commodity Management Division  
P. O. Box 419205 - Stop 9148  
Kansas City, MO 64141-6205  
Phone: 816-926-6474  
Fax: 844-930-0174

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(a) Name of Provider

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(b) Signature of Provider

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(c) Title of Provider

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(d) Date

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(e) Signature of the Administrator of the Agricultural Marketing Service

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(f) Date

**NOTE:** *The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is 7 CFR Part 735, 7 CFR Part 1423, 7 CFR Part 1427, the United States Warehouse Act (Pub. L. 106-472), and the Commodity Credit Corporation Charter Act (15 U.S.C. 714 et seq.). The information will be used to establish and maintain a database and central filing system for electronically filing cotton warehouse receipts and electronic documents issued under the United States Warehouse Act. The information collected on this form may be disclosed to other Federal, State, Local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in applicable Routine Uses identified in the System of Records Notice for USDA/FSA-2, Farm Records File (Automated) and USDA/FSA-3, Consultants File. Providing the requested information is voluntary. However, failure to furnish the requested information will result in a determination of ineligibility to obtain new licensing or retain existing licensing under the United States Warehouse Act.*

*According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays an OMB control number. The valid OMB control number of this information collection is 0581-0305. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.*

*The provisions of appropriate criminal and civil fraud, privacy, and other statutes may be applicable to the information provided. **RETURN THIS COMPLETED FORM TO THE WAREHOUSE AND COMMODITY MANAGEMENT DIVISION, STOP 9148, P.O. BOX 419205, KANSAS CITY, MO 64141-6205.***

*In accordance with Federal civil rights law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, religion, sex, gender identity (including gender expression), sexual orientation, disability, age, marital status, family/parental status, income derived from a public assistance program, political beliefs, or reprisal or retaliation for prior civil rights activity, in any program or activity conducted or funded by USDA (not all bases apply to all programs). Remedies and complaint filing deadlines vary by program or incident.*

*Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotope, American Sign Language, etc.) should contact the responsible Agency or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.*

*To file a program discrimination complaint, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at [http://www.ascr.usda.gov/complaint\\_filing\\_cust.html](http://www.ascr.usda.gov/complaint_filing_cust.html) and at any USDA office or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by: (1) mail: U.S. Department of Agriculture Office of the Assistant Secretary for Civil Rights 1400 Independence Avenue, SW Washington, D.C. 20250-9410; (2) fax: (202) 690-7442; or (3) email: [program.intake@usda.gov](mailto:program.intake@usda.gov). USDA is an equal opportunity provider, employer, and lender.*

## Instructions For WA-460-1

### ***ADDENDUM TO THE PROVIDER AGREEMENT TO ELECTRONICALLY FILE AND MAINTAIN COTTON WAREHOUSE RECEIPTS***

Used by authorized providers to contract with AMS to provide services to users of the provider's electronic storage and transfer system.

Submit the original of the completed form in hard copy or facsimile to the Warehouse and Commodity Management Division (WCMD), STOP 9148, P.O. Box 419205, Kansas City, MO 64141-6205; or FAX 844-930-0174. Customers who have established electronic access credentials with WCMD may electronically transmit this form to WCMD. Features for transmitting the form electronically are available to those customers who would like to establish online access credentials with WCMD, follow the instructions provided at the USDA eforms web site.

***Providers complete page 1 and page 4.***

<b>Fld Name / Item No.</b>	<b>Instruction</b>
A Name of Provider	Enter complete name of Provider, on page 1.
(a) Name of Provider	Enter complete name of Provider, on page 4.
(b) Signature	Enter signature of Provider's authorized person, on page 4.
(c) Title	Enter title of Provider's authorized person, on page 4.
(d) Date	Enter date of signature of Provider's authorized person, on page 4.

***Page 4 items (e) and (f) are for AMS use only.***