

**NATIONAL CENTER FOR EDUCATION STATISTICS  
(NCES)**

Attachments D – I

**Cognitive Testing of NCES Confidentiality Pledges**

OMB# 1850-0803 v.162

August 3, 2016

## Attachment D: CIPSEA Cognitive Interviewing Protocol

Interview Guide 1 will be presented using this order: Introduction, Current Pledge Presented First, Revised Statement **A** Presented Second, Revised Statement **B** Presented Third, and Revision Goals Last.

Interview Guide 2 will be presented using this order: Introduction, Current Pledge Presented First, Revised Statement **B** Presented Second, Revised Statement **A** Presented Third, and Revision Goals Last.

State:	
ID:	
Type of Respondent (Principal, Teacher, Parent)	
Date:	
Starting Time:	
Ending Time:	
Interviewer Name:	

### Introduction

*Thank you for taking the time to meet with me today. My name is ( ). I work for CRP, Inc., a research company, administering an important study for the U.S. Department of Education's National Center for Education Statistics, NCES. Let me explain a bit about what we'll be doing today. NCES conducts assessments, surveys, and prepares reports on virtually every aspect of U.S. education. In order to earn, and keep, the trust of their respondents, NCES works hard to keep all the information their respondents give them safe and protected. NCES is actually required by law to protect the data.*

*Recent changes to the laws require NCES to make some changes in the way they explain how they keep data protected to their respondents. To ensure that the changes they make are clear and easy to understand to respondents, they have asked us to talk to you and many others to get your feedback to the wording.*

*They are looking for your honest feedback and reactions. There are no right or wrong answers here, your opinions and thoughts are what matters today. NCES wants to know what you would think if you heard various explanations before responding to NCES surveys. With your 'respondent hat' on, I'll be giving you the new explanations and then asking you a series of questions to get your feedback on it. Do you have any questions before we begin?*

### Current NCES CIPSEA Confidentiality Statement

[Hand respondent a copy of the Current CIPSEA Confidentiality Statement] *Here is the way NCES currently explains how they protect data in a major national study. Take a moment to read this over and let me know when you are done.*

Current Confidentiality Statement for interviewer reference:

The information you provide will be used for statistical purposes only. In accordance with the Confidential Information Protection provisions of Title V, Subtitle A, Public Law 107-347 and other applicable Federal laws, your responses will be kept confidential and will not be disclosed in identifiable form to anyone other than employees or agents. By law, every NCES employee as well as every agent,

such as contractors and NAEP coordinators, has taken an oath and is subject to a jail term of up to 5 years, a fine of \$250,000, or both if he or she willfully discloses ANY identifiable information about you.

1. *Can you tell me what this means in your own words?*
  
2. *What is your general reaction to this statement?*
  
3. *[If speaking with a principal or teacher, ask] If you read this statement before a survey asking for information about you, or your school, would it influence your decision to participate or not? Please explain.*  
*[If speaking with a parent, ask] If you read this statement before an assessment asking for information about your child, would it influence your decision to let your child participate or not? Please explain.*
  
4. *Do you have any concerns with how your (school's / student's/ child's) information would be treated after hearing/reading this? If so, what are they?*
  
5. *I want to ask you some questions about what the language covers and doesn't cover. Please make your best guess, even if you're not sure of the answer. If you (your school/your student/your child) gave NCES data and were assured confidentiality using this language, who would be able to see your (this) information?*
  - a. *What would they be able to do with your (this) information?*
  
  - b. *I'm going to read a list of groups, tell me if they'd be able to see the information you provided*
    1. *The National Center for Education Statistics (NCES)*
    2. *The Department of Education (ED)*
    3. *The Internal Revenue Service (IRS)*
    4. *The Department of Justice (DOJ)*
    5. *The Department of Homeland Security (DHS)*
    6. *All federal government agencies*
    7. *Congress*
  
6. *Besides who can access your information, the language also talks about what can be done with it. Specifically it says it can only be used for "Statistical purposes." What do you think that means?*
  
7. *I'm going to read a list of activities, tell me if you think they'd be allowed under this language?*
  1. *It could be combined with other survey answers to create summary statistics*
  2. *It could be published exactly as you provided it*
  3. *It could be given to other statistical agencies*
  4. *It could be given to the IRS*
  5. *It could be given to law enforcement agencies*
  6. *It could be given to other federal government agencies*

7. *It could be given to private companies*

8. *What else could be done under this language?*

### **Revised Statement A**

*As I mentioned, there have been changes to the laws related to the protection of NCES data. This next statement reflects those changes. I would you like you to review this statement and let me know when you are finished. [Take back current statement and hand respondent a copy of Statement A]*

Revised Statement A for interviewer reference:

The information you provide will be used for statistical purposes only. In accordance with the Confidential Information Protection provisions of Title V, Subtitle A, Public Law 107-347 and other applicable Federal laws, your responses will be kept confidential and will not be disclosed in identifiable form to anyone other than employees or agents. By law, every NCES employee as well as every agent, such as contractors and NAEP coordinators, has taken an oath and is subject to a jail term of up to 5 years, a fine of \$250,000, or both if he or she willfully discloses ANY identifiable information about you. Electronic transmission of your information will be monitored by Homeland Security in accordance with the Cybersecurity Enhancement Act of 2015.

*The only difference between this and the previous statement is the addition of the last sentence -*

*Electronic transmission of your information will be monitored by Homeland Security in accordance with the Cybersecurity Enhancement Act of 2015.*

1. *What does that statement mean to you?*
2. *What is your general reaction to it?*
3. *[If speaking with a principal or teacher, ask] If you read this statement before a survey asking for information about you, or your school, would it influence your decision to participate or not?*  
*[If speaking with a parent, ask] If you read this statement before an assessment asking for information about your child, would it influence your decision to let your child participate or not?*
4. *[If speaking to a principal or teacher, then ask] NCES collects a variety of information from its respondents, such as information on teacher training and instructional practices, as well as school policies and characteristics. Would receiving this statement before a survey, impact your willingness to disclose any types of information? If so, what types?*  
*[If speaking to a parent, then ask] NCES collects a variety of information from its respondents, such as information on students' educational experiences and their study habits. Would receiving this statement before an assessment, impact your willingness to disclose any types of information? If so, what types?*
5. *Do you have any concerns with how your personal information would be treated after reading this? If so, what are your concerns?*
6. *The new addition mentions "Homeland Security." Are you familiar with that agency? If yes: what do you know about them?*

7. *With this new language, what will “Homeland Security” be doing?*
  - a. *What will they have access to?*
  - b. *Will they be able to see your individual answers to NCES survey questions?*
8. *What does “electronic transmission of your information” mean to you?*
9. *What do you think “will be monitored” means?*

### **Proposed Statement B**

[Take back Statement A and hand respondent a copy of Statement B] *We are also considering this revision of our confidentiality statement. You will notice that the only difference in wordings between this statement and the previous statement is the last sentence. Take a minute to review this statement and let me know when you are done.*

Revised Statement B for interviewer reference:

The information you provide will be used for statistical purposes only. In accordance with the Confidential Information Protection provisions of Title V, Subtitle A, Public Law 107-347 and other applicable Federal laws, your responses will be kept confidential and will not be disclosed in identifiable form to anyone other than employees or agents. By law, every NCES employee as well as every agent, such as contractors and NAEP coordinators, has taken an oath and is subject to a jail term of up to 5 years, a fine of \$250,000, or both if he or she willfully discloses ANY identifiable information about you. NCES systems are further protected by Federal employees and contractors through security monitoring of systems that transmit your data.

1. *Let’s look at that last sentence – **NCES systems are further protected by the Federal employees and contractors through security monitoring of systems that transmit your data.** - What does that statement mean to you?*
2. *Do you think it means the same thing as the first revised version I showed you, or is it different?*
  - a. *How is it the same/different?*
3. *What is your general reaction to this statement?*
4. *[If speaking with a principal or teacher, ask] If you read this statement before a survey asking for information about you, or your school, would it influence your decision to participate or not?  
[If speaking with a parent, ask] If you read this statement before an assessment asking for information about your child, would it influence your decision to let your child participate or not?*
5. *[If speaking to a principal or teacher, then ask] NCES collects a variety of information from its respondents, such as information on teacher training and instructional practices, as well as school policies and characteristics. Would receiving this statement before a survey, impact your willingness to disclose any types of information? If so, what types?*

*[If speaking to a parent then ask] NCES collects a variety of information from its respondents, such as information on students' educational experiences and their study habits. Would receiving this statement before an assessment, impact your willingness to disclose any types of information? If so, what types?*

6. *When this statement says "NCES systems are further protected," what does this mean to you?*
7. *When this statement says "Federal employees and contractors," what does this mean to you?*
8. *What does "security monitoring of systems that transmit your data" mean to you?*
9. *What kinds of information do you think is included as "data"?*
10. *With this language, who do you think could see your information?*
11. *Based on this language, who could conduct the monitoring of systems that transmit your data?*
  - a. *The National Center for Education Statistics (NCES)*
  - b. *The Department of Education (ED)*
  - c. *The Internal Revenue Service (IRS)*
  - d. *The Department of Justice (DOJ)*
  - e. *The Department of Homeland Security (DHS)*
  - f. *All federal government agencies*
  - g. *Anyone else?*
12. *[If principal or teacher, then ask] Will those who conduct the security monitoring be able to see your individual answers to NCES survey questions?*  
*[If parent] Will those who conduct the security monitoring be able to see your child's individual answers to NCES assessment questions?*
13. *Do you have any concerns with how your personal/school's/student's/child's information would be treated after reading this statement? If so, what are your concerns?*
14. *[show both revised versions] Would you be more or less likely to respond if you received one version or the other?*
15. *[show both revised versions] Which version do you think best conveys that your information would be kept confidential?*

### **Revision Goals**

1. *[show all versions] What NCES is trying to communicate is that the Department of Homeland Security will be monitoring the electronic systems where survey data are transmitted and stored. The Department of Homeland Security will be monitoring the systems for viruses, mal-wear, and other threats. Is that consistent or inconsistent with the revisions I showed you?*
2. *Do you think that one version does a better job of communicating that than the other?*

3. *Do you have any suggestions on how NCES might better communicate that?*
4. *We're almost done, thanks for your feedback so far. In general, do you have any concerns about how the government treats the data you provide for surveys?*
5. *Do you have any suggestions or other feedback?*

## **Attachment E: Cognitive Interviewing Protocol of Proposed ESRA Pledges with Cybersecurity Language**

Interview Guide 1 will be presented using this order: Introduction, Current Pledge Presented First, Revised Statement A Presented Second, Revised Statement B Presented Third, and Revision Goals Last

Interview Guide 2 will be presented using this order: Introduction, Current Pledge Presented First, Revised Statement B Presented Second, Revised Statement A Presented Third, and Revision Goals Last

State:	
ID:	
Type of Respondent ( Teacher, Parent, Postsecondary Students)	
Date:	
Starting Time:	
Ending Time:	
Interviewer Name:	

### Introduction

*Thank you for taking the time to meet with me today. My name is (        ). I work for CRP, Inc., a research company, administering an important study for the U.S. Department of Education’s National Center for Education Statistics, NCES. Let me explain a bit about what we’ll be doing today. NCES conducts surveys and prepares reports on virtually every aspect of U.S. education. In order to earn, and keep, the trust of their respondents, NCES works hard to keep all the information their respondents give them safe and protected. NCES is actually required by law to protect the data.*

*Recent changes to the laws require NCES to make some changes in the way they explain how they keep data protected to their respondents. To ensure that the changes they make are clear and easy to understand to respondents, they have asked us to talk to you and many others to get your feedback to the wording.*

*They are looking for your honest feedback and reactions. There are no right or wrong answers here, your opinions and thoughts are what matters today. NCES wants to know what you would think if you heard various explanations before responding to NCES surveys. With your ‘respondent hat’ on, I’ll be giving you the new explanations and then asking you a series of questions to get your feedback on it. Do you have any questions before we begin?*

### **Current NCES ESRA Pledge**

[Hand respondent a copy of the Current ESRA Pledge] *Here is the way NCES currently explains how they protect data in many of their national studies. Take a moment to read this over and let me know when you are done.*

Current ESRA Pledge for interviewer reference:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573).

1. Can you tell me what this means in your own words?
2. What is your general reaction to this statement?
3. If you read this statement before a survey, would it influence your decision to participate or not? Please explain.
4. Do you have any concerns with how your (school's / student's/ child's) information would be treated after hearing/reading this? If so, what are they?
5. I want to ask you some questions about what the language covers and doesn't cover. Please make your best guess, even if you're not sure of the answer. If you (your school/your student/your child) gave NCES data and were assured confidentiality using this language, who would be able to see your (this) information?
  - a. What would they be able to do with your (this) information?
  - b. I'm going to read a list of groups, tell me if they'd be able to see the information you provided
    - 1 The National Center for Education Statistics (NCES)
    - 2 The Department of Education (ED)
    - 3 The Internal Revenue Service (IRS)
    - 4 The Department of Justice (DOJ)
    - 5 The Department of Homeland Security (DHS)
    - 6 All federal government agencies
    - 7 Congress
6. Besides who can access your information, the language also talks about what can be done with it. Specifically it says it can only be used for "Statistical purposes." What do you think that means?
7. I'm going to read a list of activities, tell me if you think they'd be allowed under this language?
  - 1 It could be combined with other survey answers to create summary statistics
  - 2 It could be published exactly as you provided it
  - 3 It could be given to other statistical agencies
  - 4 It could be given to the IRS
  - 5 It could be given to law enforcement agencies
  - 6 It could be given to other federal government agencies
  - 7 It could be given to private companies
8. What else could be done under this language?

### **Revised Statement A**

As I mentioned, there have been changes to the laws related to the protection of NCES data. This next statement reflects those changes. I would you like you to review this statement and let me know when you are finished. (Take back current statement and hand respondent a copy of Statement A)

Revised Statement A of ESRA Pledge with Cybersecurity Language for interviewer reference:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573). Electronic transmission of your information will be monitored by Homeland Security in accordance with the Cybersecurity Enhancement Act of 2015.

1. *The only difference between this and the previous statement is the addition of the last sentence - **Electronic transmission of your information will be monitored by Homeland Security in accordance with the Cybersecurity Enhancement Act of 2015.** What does that statement mean to you?*
2. *What is your general reaction to it?*
3. *If you read this statement before a survey, would it influence your decision to participate or not?*
4. *NCES collects a variety of information from its respondents, such as information on teacher training and instructional practices, school policies and characteristics, and opportunities for postsecondary education, including data on financial aid. Would receiving this statement before a survey, impact your willingness to disclose any types of information? If so, what types?*
5. *Do you have any concerns with how your personal information would be treated after reading this? If so, what are your concerns?*
6. *The new addition mentions Homeland Security. Are you familiar with that agency? If yes: what do you know about them?*
7. *With this new language, what will Homeland Security be doing?*
  - a. *What will they have access to?*
  - b. *Will they be able to see your individual answers to NCES survey questions?*
8. *What does “electronic transmission of your information” mean to you?*
9. *What do you think “will be monitored” means?*

### **Proposed Statement B**

[Take back Statement A and hand respondent a copy of Statement B] *We are also considering this revision of our confidentiality statement. You will notice that the only difference in wordings between this statement and the previous statement is the last sentence. Take a minute to review this statement and let me know when you are done.*

Revised Statement B for interviewer reference:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573). NCES systems are further protected by Federal employees and contractors through security monitoring of systems that transmit your data.

1. *Let's look at that last sentence – **NCES systems are further protected by the Federal employees and contractors through security monitoring of systems that transmit your data.** - What does that statement mean to you?*
2. *[Show current and Statement B] Do you think it means the same thing as the first version I showed you, or is it different?*
  - a. *How is it the same/different?*
3. *[Show Statement A and B] Do you think it means the same thing as the revised version I showed you, or is it different?*
  - a. *How is it the same/different?*
4. *What is your general reaction to this statement?*
5. *If you read this statement before a survey asking for information about you, or your school would it influence your decision to respond?*
6. *NCES collects a variety of information from its respondents, such as information on teacher training and instructional practices, school policies and characteristics, and opportunities for postsecondary education, including data on financial aid. Would receiving this statement before a survey, impact your willingness to disclose any types of information? If so, what types?*
7. *When this statement says “NCES systems are further protected,” what does this mean to you?*
8. *When this statement says “Federal employees and contractors,” what does this mean to you?*
9. *What does “security monitoring of systems that transmit your data” mean to you?*
10. *What kinds of information do you think is included as “data”?*
11. *With this language, who do you think could see your information?*
12. *Based on this language, who could conduct the monitoring of systems that transmit your data?*
  - a. *The National Center for Education Statistics (NCES)*
  - b. *The Department of Education (ED)*
  - c. *The Internal Revenue Service (IRS)*
  - d. *The Department of Justice (DOJ)*
  - e. *The Department of Homeland Security (DHS)*
  - f. *All federal government agencies*
  - g. *Anyone else?*

13. *Will those who conduct the security monitoring be able to see your individual answers to NCES survey questions?*
14. *Do you have any concerns with how your personal/school's/student's/child's information would be treated after reading this statement? If so, what are your concerns?*
15. *[show all three versions] Would you be more or less likely to respond if you received one version or the other?*
16. *[show all three versions] Which version do you think best conveys that your information would be kept confidential?*

### **Revision Goals**

1. *[show all 3 versions] What NCES is trying to communicate is that the Department of Homeland Security will be monitoring the electronic systems where survey data are transmitted and stored. The Department of Homeland Security will be monitoring the systems for viruses, mal-wear and other threats. Is that consistent or inconsistent with the revisions I showed you?*
2. *Do you think that one version does a better job of communicating that than the other?*
3. *Do you have any suggestions on how NCES might better communicate that?*
4. *We're almost done, thanks for your feedback so far. In general, do you have any concerns about how the government treats the data you provide for surveys?*
5. *Do you have any suggestions or other feedback?*

**Attachment F: ESRA Cognitive Interviewing Protocol of ESRA Pledge with Terrorism Language**

Interview Guide 1 will be presented using this order: Introduction, Current Pledge Presented First, Revised Statement A Presented Second, and Revision Goals Last

State:	
ID:	
Type of Respondent ( Teacher, Parent, Postsecondary Students)	
Date:	
Starting Time:	
Ending Time:	
Interviewer Name:	

**Introduction**

*Thank you for taking the time to meet with me today. My name is (        ). I work for CRP, Inc., a research company, administering an important study for the U.S. Department of Education’s National Center for Education Statistics, NCES. Let me explain a bit about what we’ll be doing today. NCES conducts surveys and prepares reports on virtually every aspect of U.S. education. In order to earn, and keep, the trust of their respondents, NCES works hard to keep all the information their respondents give them safe and protected. NCES is actually required by law to protect the data.*

*Recent changes to the laws require NCES to make some changes in the way they explain how they keep data protected to their respondents. To ensure that the changes they make are clear and easy to understand to respondents, they have asked us to talk to you and many others to get your feedback to the wording.*

*They are looking for your honest feedback and reactions. There are no right or wrong answers here, your opinions and thoughts are what matters today. NCES wants to know what you would think if you heard various explanations before responding to NCES surveys. With your ‘respondent hat’ on, I’ll be giving you the new explanations and then asking you a series of questions to get your feedback on it. Do you have any questions before we begin?*

**Current NCES ESRA Pledge**

*[Hand respondent a copy of the Current ESRA Pledge] Here is the way NCES currently explains how they protect data in many of their national studies. Take a moment to read this over and let me know when you are done.*

Current ESRA Pledge for interviewer reference:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573).

1. *Can you tell me what this means in your own words?*
2. *What is your general reaction to this statement?*
3. *If you read this statement before a survey, would it influence your decision to participate or not? Please explain.*
4. *Do you have any concerns with how your (school's / student's/ child's) information would be treated after hearing/reading this? If so, what are they?*
5. *I want to ask you some questions about what the language covers and doesn't cover. Please make your best guess, even if you're not sure of the answer. If you (your school/your student/your child) gave NCES data and were assured confidentiality using this language, who would be able to see your (this) information?*
  - a. *What would they be able to do with your (this) information?*
  - b. *I'm going to read a list of groups, tell me if they'd be able to see the information you provided*
    1. *The National Center for Education Statistics (NCES)*
    2. *The Department of Education (ED)*
    3. *The Internal Revenue Service (IRS)*
    4. *The Department of Justice (DOJ)*
    5. *The Department of Homeland Security (DHS)*
    6. *All federal government agencies*
    7. *Congress*
6. *Besides who can access your information, the language also talks about what can be done with it. Specifically it says it can only be used for "Statistical purposes." What do you think that means?*
7. *I'm going to read a list of activities. Tell me if you think they'd be allowed under this language?*
  1. *It could be combined with other survey answers to create summary statistics*
  2. *It could be published exactly as you provided it*
  3. *It could be given to other statistical agencies*
  4. *It could be given to the IRS*
  5. *It could be given to law enforcement agencies*
  6. *It could be given to other federal government agencies*
  7. *It could be given to private companies*
8. *What else could be done under this language?*

9. *The last phrase in this statement says “except as required by law (20 U.S.C. § 9573).” Can you tell me what this phrase means in your own words?*

### **Revised Statement A**

*As I mentioned, there have been changes to the laws related to the protection of NCES data. This next statement reflects those changes. I would you like you to review this statement and let me know when you are finished. (Take back current statement and hand respondent a copy of Statement A)*

Revised Statement A of ESRA Pledge with Terrorism Language for interviewer reference:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except in the case of terrorism (20 U.S.C., § 9573).

1. *The only difference between this and the previous statement is the addition of the last phrase – **except in the case of terrorism (20 U.S.C., § 9573).** What does that statement mean to you?*
2. *What is your general reaction to it?*
3. *If you read this statement before a survey, would it influence your decision to participate or not?*
4. *NCES collects a variety of information from its respondents, such as information on teacher training and instructional practices, school policies and characteristics, and opportunities for postsecondary education, including data on financial aid. Would receiving this statement before a survey, impact your willingness to disclose any types of information? If so, what types?*
5. *Do you have any concerns with how your personal information would be treated after reading this? If so, what are your concerns?*
6. *When you read this statement, who do you believe will have access to the information you provide?*
  - a. *What will they have access to?*
  - b. *Will they be able to see your individual answers to NCES survey questions?*
7. *[Show both versions] Would you be more or less likely to respond if you received one version or the other?*
8. *[Show both versions] Which version do you think best conveys that your information would be kept confidential?*

## Revision Goals

1. *[Show both versions] New laws were put into effect after 9/11 to prevent or prosecute terrorism. These laws permit the U.S. Attorney General to petition a court to require the Secretary of Education to provide data relevant to an authorized investigation or prosecution of an offense if it concerns national or international terrorism. Is the fact that your data will be kept confidential, unless NCES is ordered to turn over the data in the case of suspected terrorism, clear to you?*
2. *Do you think that one version does a better job of communicating that than the other?*
3. *Were you alarmed by either or both versions?*
  - a. *If yes, do you have any suggestions on how we might reword it (both) so that it does (they do) not alarm you unnecessarily?*
4. *We're almost done, thanks for your feedback so far. In general, do you have any concerns about how the government treats the data you provide for surveys?*
5. *Do you have any suggestions or other feedback?*

**Attachment G: Consent Form for In-person Cognitive Testing of NCES Confidentiality Pledges**

CONSENT FORM

The National Center for Education Statistics (NCES) is conducting research to increase the quality of NCES surveys and assessments. This study is intended to suggest ways to improve the procedures NCES uses to collect assessment and survey data.

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573). The names of participants will not be included in the write-up of this study.

During this research you will be audiotaped and the interview may be observed. If you do not wish to be audiotaped, you still may participate in this research.

We estimate it will take you an average of 60 minutes to participate in this research.

Your participation in this research project is voluntary, and you have the right to stop at any time. If you agree to participate, please sign below.

Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. The OMB control number is 1850-0802 and expires July 31, 2019.

-----  
I have read and understand the statements above. I consent to participate in this study.

\_\_\_\_\_  
Participant's signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Participant's printed name

\_\_\_\_\_  
Researcher's signature

**Attachment H: Consent Form for Over-the-telephone and By-Webinar Cognitive Testing of NCES Confidentiality Pledges**

CONSENT FORM

The National Center for Education Statistics (NCES) is conducting research to increase the quality of NCES surveys and assessments. This study is intended to suggest ways to improve the procedures NCES uses to collect assessment and survey data.

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C., § 9573). The names of participants will not be included in the write-up of this study.

During this research you will be audiotaped and the interview may be observed. If you do not wish to be audiotaped, you still may participate in this research, but I must first record your consent to participate after I read to you the consent statement.

We estimate it will take you an average of 60 minutes to participate in this research.

Your participation in this research project is voluntary, and you have the right to stop at any time. If you agree to participate, please sign below.

Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. The OMB control number is 1850-0802 and expires July 31, 2019.

-----  
I understand the statements that were just read to me. I consent to participate in this study.

\_\_\_\_\_  
Participant's signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Participant's printed name

\_\_\_\_\_  
Researcher's signature

## Attachment I: Screening Checklist

The checklist below will be used by CRP, Inc. to ensure a diverse sample of respondents is selected.

This checklist should be completed for each respondent who is selected to participate.

NAME: \_\_\_\_\_

### RESPONDENT

- Principal      Grade Level: \_\_\_\_\_  
 Teacher      Grade Level: \_\_\_\_\_  
 Parent      Age of Child: \_\_\_\_\_

### GENDER

- Female                       Male

### RACE/ETHNICITY

- Hispanic or Latino       Not Hispanic or Latino

Race (one or more of the categories below):

- American Indian or Alaska Native  
 Asian  
 Black or African American  
 Native Hawaiian or other Pacific Islander  
 White or Caucasian

STATE REPRESENTATION: \_\_\_\_\_

In general, how much do you think you can trust the government in Washington DC to do what is right?

- Just about always  
 Most of the time  
 Only sometimes  
 Never

Please tell me if you have a favorable or unfavorable impression of the Department of Education?

- Favorable  
 Unfavorable