



Comptroller of the Currency
Administrator of National Banks

Minority-Owned Institution

Survey

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Minority-Owned Institution Survey

PAPERWORK REDUCTION ACT STATEMENT: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number.



Survey

Institution Identification

1 Name of Institution				2 Bank Charter Number	
3 City	4 State	5 Minority Classification	6 Institution Contact		
7 Phone Number	8 Email Address				

Technical Assistance

The GAO defines technical assistance as “one-on-one assistance that a regulator may provide to a bank.” For example a regulator may advise a bank on compliance with a particular statute or regulation. Regulators may also provide technical assistance that is related to deficiencies identified in safety and soundness or compliance investigations. Please respond to the following questions applying the GAO definition.

Has your institution requested technical assistance from the OCC in the past?

No ___

Yes ___

If yes, what technical assistance did you request? _____

Has your institution received technical assistance from the OCC in the past?

No ___

Yes ___

If yes, was the technical assistance beneficial?

No ___

Yes ___

Please explain. _____

How can the OCC provide better technical assistance to your institution?



Minority-Owned Institution

Survey

Outreach

The OCC provides outreach to banks in various formats (e.g., telephone seminars; director workshops; Meet-the-Comptroller meetings; roundtable discussions on emerging issues, hot topics and best practices; and interagency events). To help the OCC determine the effectiveness of its outreach initiatives, please answer the following:

What information, training, or topics would you recommend be addressed in OCC outreach?

What would be the most effective method(s) for delivering useful information, training, or topics to you and your bank?

1. Webinars
2. Instructor-led training
3. Telephone conferences
4. PowerPoint presentations
5. Other: _____

Throughout the year, the OCC sponsors director workshops focusing on risk management, compliance risk, and credit risk. Did you or your directors attend any workshops in 2008?

No _____

Yes _____

If No, what were the barriers to your attending?

1. Scheduling conflict
2. Inconvenient location
3. The budget
4. Lack of need
5. Other: _____

If Yes, what workshop(s) did you attend?

1. A New Director's Challenge: Mastering the Basics
2. Credit Risk: A Director's Focus



Minority-Owned Institution

Survey

3. Directors: Where Is the Risk in Your Bank?
4. Compliance Risk: What Directors Need to Know

Was/Were the workshop(s) useful?

No ___

Yes ___

Please explain. _____

General Assessment Statements	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Unable to Rate
Please indicate your level of agreement with the following statements.						
Customer Base and Market						
OCC examiners understand how my institution's customer base and market impact its condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's customer base and market.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Business Strategy and Resources						
OCC examiners understand how my institution's business strategy and resources impact its condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's business strategy and resources.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Technical Assistance						
The OCC provides technical assistance commensurate with my institution's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The technical assistance provided by the OCC has had a positive impact on my institution's condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
OCC Supervisory Policies and Guidance						
OCC supervisory policies and guidance appropriately address the issues unique to minority-owned national banks.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Examiners appropriately consider issues unique to minority-owned institutions in applying OCC supervisory policies and guidance.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Examiner Training and Guidance						
OCC provides its examiners with the training and guidance necessary to effectively supervise my bank.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

If you have any additional comments or suggestions regarding how the OCC can better serve and support minority-owned institutions, please include them below.



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Survey

Thank you for participating in this survey. Your feedback is important to our ongoing efforts to provide high quality bank supervision to minority-owned institutions banks.