

### ETA 9171 - Eligible Training Provider (ETP) Definitions

There are several data elements that are required by statute for ETP reporting. In order to streamline data collection and reduce burden, some of those data elements will be collected in the PIRL and some will be collected through the ETP ICR. This table includes definitions for terms and performance indicators that will need to be collected for ETP reporting. Some of the performance indicators are comprised of multiple data elements that will be collected separately from one another. Details on the data collection format for the ETP reporting items outlined in this table as well as the method that must be used for state submission to DOL will be delineated in a future information collection request to be cleared by OMB and will provide for public comment.

<u>DATA ELEMENT</u>	<u>DEFINITION</u>	<u>COLLECTION/CODING CHOICES</u>
Eligible Training Provider	Organizations deemed eligible by a state to provide training services to WIOA Adult and Dislocated Worker program participants.	The name of the eligible training provider.
Type of Entity	The type of training entity of ETPs are defined in 20 CFR part 680.410 and includes: <ul style="list-style-type: none"> <li>• an institution of higher education that provides a program that leads to a recognized postsecondary credential;</li> <li>• programs registered under the "National Apprenticeship Act";</li> <li>• a public or private provider of training services</li> </ul>	<ul style="list-style-type: none"> <li>• 1 = Institution of Higher Education that only awards or the majority of credentials awarded are associates degrees</li> <li>• 2 = Institution of Higher Education that only awards or the majority of the credentials awarded are baccalaureate or higher degrees</li> <li>• 3 = Institute of Higher Education where the majority of credentials awarded are a Community college certificate of completion</li> <li>• 4 = National Apprenticeship provider</li> <li>• 5 = Private non-profit provider</li> <li>• 6 = Private for-profit provider</li> <li>• 7 = Public provider</li> <li>• 8 = Other type of institution</li> </ul>
Program of study - by potential outcome	States must ensure data is collected and reported for each program of study deemed eligible to serve WIOA participants for each ETP. A program of study is synonymous with a "program of training services" as defined at 20 CFR part 680.420. A program of training services is one or more courses or classes, or a structured regimen that provides the services in 20	<ul style="list-style-type: none"> <li>• 1 = A program of study leading to an industry-recognized certificate or certification</li> <li>• 2 = A program of study leading to a certificate of completion of an apprenticeship</li> <li>• 3 = A program of study leading to a license</li> </ul>

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	<p>CFR part 680.200 and leads to:</p> <p style="padding-left: 40px;">(a) An industry-recognized certificate or certification, a certificate of completion of an apprenticeship, a license recognized by the State involved or the Federal Government, an associate or baccalaureate degree, or community college certificate of completion;</p> <p style="padding-left: 40px;">(b) Consistent with § 680.350, a secondary school diploma or its equivalent;</p> <p style="padding-left: 40px;">(c) Employment; or</p> <p style="padding-left: 40px;">(d) Measurable skill gains toward a credential described in paragraph (a) or (b) of this section or employment.</p> <p>Please provide all that apply in this field.</p>	<p>recognized by the State involved or the Federal Government,</p> <ul style="list-style-type: none"> <li>• 4 = A program of study leading to an associate degree</li> <li>• 5 = A program of study leading to a baccalaureate degree</li> <li>• 6 = A program of study leading to a community college certificate of completion</li> <li>• 7 = A program of study leading to a secondary school diploma or its equivalent</li> <li>• 8 = A program of study leading to employment</li> <li>• 9 = A program of study leading to a measureable skills gain leading to a credential</li> <li>• 0 = A program of study leading to a measureable skills gain leading to employment</li> </ul>
Program of Study - CIP Code	<p>A program of study is identified through both the type of program outlined above (e.g. industry-recognized certificate) and the field of study. The taxonomy that will be used to identify fields of study will be the Classification of Instructional Programs (CIP). The CIP code can be found here: <a href="https://nces.ed.gov/ipeds/cipcode/Default.aspx?y=55">https://nces.ed.gov/ipeds/cipcode/Default.aspx?y=55</a></p>	<p>Enter the 4-digit CIP code for the program of study.</p>
Total Number of Individuals Served	<p>The total number of students enrolled in this program of study in the reporting period.</p>	<p>The aggregated figure for the number of all individuals (WIOA and non-WIOA) refers to any individual who was enrolled in a course that is part of a program of study within a quarter. For the state reporting, this will be the sum of all four</p>

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		quarters.
Total Number of Individuals Exited	The total number of students who completed, withdrew, or transferred from this program of study in the reporting period.  This will also serve as a credential rate denominator for program exiters.	The aggregated figure for the number of WIOA and non-WIOA exiters with the ETP within the given program of study during the reporting period.
Total Number of WIOA Participants	The total number of WIOA participants, as defined at 20 CFR part 677.150(a), who received training services in this program of study through the WIOA adult or dislocated worker programs.	The aggregated figure for the total number of participants who have been identified in the program of study and as receiving WIOA Title I funding during the reporting period.
Total Number of WIOA Exiters	The total number of WIOA participants, as defined at 20 CFR part 677.150(a), who received training services in this program of study through the adult or dislocated worker programs and who completed, withdrew, or transferred from this program of study in the reporting period.  This will also serve as a credential rate denominator for program exiters.	The aggregated figure for the total number of exiters who have been identified in the program of study and as receiving WIOA Title I funding during the reporting period.
Total Number of WIOA Participants served with an Individual Training Account (ITA)	The total number of WIOA participants, as defined at 677.150(a), who received training services in this program of study through a funded ITA.	The aggregated figure for the total number of participants who have been identified in the program of study and as receiving WIOA Title I funding via an ITA during the reporting period.
Total Number of WIOA Exiters served with an ITA	The total number of WIOA participants, as defined at 677.150(a), who received training services in this program of study through a funded ITA and who completed, withdrew, or transferred from this program of study in the reporting period.	The aggregated figure for the total number of exiters who been identified in the program of study and as receiving WIOA Title I funding via an ITA during the reporting period.
All Individuals: Program of Study Completer	The objective of this figure is to determine the number and subsequent rate of individuals who	The aggregated figure of the number of WIOA and non-WIOA completers who did not

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	<p>successfully completed their program of study.</p> <p>This figure can be divided against the 'total number of individuals served' above to determine the completion rate of a program of study.</p> <p>This will also serve as a credential rate denominator for program completers.</p>	<p>withdraw or transfer out from the program of study within the reporting period.</p>
<p>WIOA Participants: Program of Study Completer</p>	<p>The objective of this figure is to determine the number and subsequent rate of individuals who successfully completed their program of study.</p> <p>This figure can be divided against the 'total number of individuals served' above to determine the completion rate of a program of study.</p> <p>This will also serve as a credential rate denominator for program completers.</p>	<p>The aggregated figure of the number of WIOA completers who did not withdraw or transfer out from the program of study within the reporting period.</p>
<p>All Individuals: Number Employed in the second quarter after exit</p>	<p>This will provide the numerator to determine the percentage of individuals who are in unsubsidized employment during the second quarter after exit from the program of study.</p> <p>Number of individuals in this program of study (WIOA and non-WIOA) who exited during the reporting period who have unsubsidized employment during the second quarter after exit.</p> <p>The denominator for this indicator of performance will be taken from the 'total number of individuals served' field.</p>	<p>The aggregated number of WIOA and non-WIOA exiters who have been determined to be employed through a wage record match or other supplemental means within the second quarter after exit.</p>

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<p>All Individuals: Number Employed in the fourth quarter after exit</p>	<p>This will provide the numerator to determine the percentage of individuals who are in unsubsidized employment during the second quarter after exit from the program of study.</p> <p>Number of individuals in this program of study (WIOA and non-WIOA) who exited during the reporting period who have unsubsidized employment during the second quarter after exit.</p> <p>The denominator for this indicator of performance will be taken from the 'total number of individuals served' field.</p>	<p>The aggregated number of WIOA and non-WIOA exiters who have been determined to be employed through a wage record match or other supplemental means within the fourth quarter after exit.</p>
<p>All Individuals: Median Earnings</p>	<p>The goal of this measure is to determine the median earnings of individuals in the program of study who are in unsubsidized employment during the second quarter after exit.</p> <p>For all individuals in this program of study who exited during the reporting period, report the wage that is at the midpoint between the highest and lowest wage earned in the second quarter after exit. This indicator also includes participants who are verified to be self-employed.</p>	<p>The single figure that represents the mid-point between the highest and lowest wages for all WIOA and non-WIOA exiters within the reporting period.</p>

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<p>All Individuals: Obtained a Credential (Numerator)</p>	<p>The goal of this element is to determine the amount of program participants who obtain a recognized postsecondary credential, or a secondary school diploma or its recognized equivalent during participation in or within one year after exit from the program. For those participants who obtained a secondary school diploma or its recognized equivalent, the participant must also have obtained or retained employment or be in an education or training program leading to a recognized postsecondary credential within 1 year after exit from the program.</p> <p>Number of total individuals enrolled in this program of study who:</p> <ul style="list-style-type: none"> <li>- obtained a recognized postsecondary credential during the program or within one year after exit from the program; OR</li> <li>- obtained a secondary school diploma or its recognized equivalent during the program or within one year after exit AND who were also employed or enrolled in an education or training program leading to a recognized postsecondary credential within one year after exit from the program.</li> </ul>	<p>The aggregate figure of those WIOA and non-WIOA exiters that completed the program of study AND obtained a credential from the program of study.</p>

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<p>WIOA Participants: Obtained a Credential (Numerator)</p>	<p>The goal of this element is to determine the amount of program participants who obtain a recognized postsecondary credential, or a secondary school diploma or its recognized equivalent during participation in or within one year after exit from the program. For those participants who obtained a secondary school diploma or its recognized equivalent, the participant must also have obtained or retained employment or be in an education or training program leading to a recognized postsecondary credential within 1 year after exit from the program.</p> <p>Number of total individuals enrolled in this program of study who:</p> <ul style="list-style-type: none"> <li>- obtained a recognized postsecondary credential during the program or within one year after exit from the program; OR</li> <li>- obtained a secondary school diploma or its recognized equivalent during the program or within one year after exit AND who were also employed or enrolled in an education or training program leading to a recognized postsecondary credential within one year after exit from the program.</li> </ul>	<p>The aggregate figure of those WIOA exiters that obtained a credential from the program of study.</p>
<p>Non-WIOA Completers: Number employed in the second quarter after completion (Numerator)</p>	<p>The goal of this measure is to determine the percentage of individuals who have unsubsidized employment during the second quarter after completing this program of study.</p> <p>The number of non-WIOA completers in this program</p>	<p>The aggregated number of non-WIOA completers who have been determined to be employed through a wage record match or other supplemental means within the second quarter after completion.</p>

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	<p>of study who completed this program of study during the reporting period and who have unsubsidized employment during the second quarter after completion.</p> <p>The denominator for this indicator of performance will be taken from the 'total number of individuals served' field, minus the 'total number of WIOA exiters' field.</p>	
<p>Non-WIOA Completers: Number employed in the fourth quarter after completion (Numerator)</p>	<p>The goal of this measure is to determine the percentage of individuals who have unsubsidized employment during the fourth quarter after completing this program of study.</p> <p>The number of non-WIOA completers in this program of study who completed this program of study during the reporting period and who have unsubsidized employment during the fourth quarter after completion.</p> <p>The denominator for this indicator of performance will be taken from the 'total number of individuals served' field, minus the 'total number of WIOA exiters' field.</p>	<p>The aggregated number of non-WIOA completers who have been determined to be employed through a wage record match or other supplemental means within the fourth quarter after completion.</p>
<p>Non-WIOA Completers: Median Earnings in the second quarter after completion</p>	<p>The goal of this measure is to determine the median earnings of individuals in unsubsidized employment during the second quarter after completing this program of study.</p> <p>For all non-WIOA completers in this program of study who exited during the reporting period, report the wage that is at the midpoint between the highest and</p>	<p>The single figure that represents the mid-point between the highest and lowest wages for all non-WIOA completers within the reporting period.</p>

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	lowest wage earned in the second quarter after exit. This indicator also includes participants who are verified to be self-employed.	
Non-WIOA Completers: Credential Rate (Numerator)	<p>The goal of this element is to determine the amount of non-WIOA completers who obtain a recognized postsecondary credential, or a secondary school diploma or its recognized equivalent during participation in or within one year after exit from the program. For those completers who obtained a secondary school diploma or its recognized equivalent, the participant must also have obtained or retained employment or be in an education or training program leading to a recognized postsecondary credential within 1 year after exit from the program.</p> <p>Number of non-WIOA completers enrolled in this program of study who :</p> <ul style="list-style-type: none"> <li>- obtained a recognized postsecondary credential during the program or within one year after exit from the program; OR</li> </ul> <p>obtained a secondary school diploma or its recognized equivalent during the program or within one year after exit AND who were also employed or enrolled in an education or training program leading to a recognized postsecondary credential within one year after exit from the program.</p>	The aggregate figure of those non-WIOA completers who obtained a recognized postsecondary credential PLUS those who obtained a secondary school diploma or equivalent and were employed or enrolled in education or training leading to a recognized postsecondary credential within the reporting period.
Non-WIOA Completers: Credential Rate (Denominator)	The goal of this element is to determine the amount of non-WIOA completers who were either successful or unsuccessful in obtaining a recognized postsecondary credential, or a secondary school	The aggregated figure of those non-WIOA completers who exited or completed a postsecondary education or training program or a

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	<p>diploma or its recognized equivalent during participation in or within one year after exit from the program.</p> <p>Number of non-WIOA completers in this program of study who</p> <ul style="list-style-type: none"> <li>- completed a postsecondary education or training program; OR</li> <li>- completed a secondary education program (at or above the 9th grade level) without a secondary school diploma or equivalent.</li> </ul>	<p>secondary education program.</p>
<p>Cost Per WIOA Participant Served (to be completed by States)</p>	<p>The goal of this measure is to determine the average cost per participant for those WIOA participants who received training services in a particular program of study.</p> <p>Total WIOA ITA funds expended by ETP participants within the reporting period.</p>	<p>The aggregate summation of all individual ITA costs for all WIOA participants in all programs of study within the reporting period.</p>

The below section describes the statutory requirements for the ETP report, including the need to display results for the most recent program year and the three preceding program years, average cost per participant, and disaggregated data by characteristics and employment barriers.

*Table 1: ETP Report Requirements by Specific Reporting Element*

Measure to be Reported by Program of Study	Report Most Recent Program Year and Retain 3 Preceding Program Years	Disaggregate by Entity Type	Disaggregate by WIOA Participant Characteristics and Employment Barriers
Total Number of WIOA Participants	X	X	X
Total Number of Individuals Exited	X	X	
Average Cost per Participant	X	X	

#### **Data and Measures Required to Retain for Future Reporting**

The following data and measures will need to be reported based on the most recent program year and three preceding program years.

- Total Number of WIOA Participants
- Total Number of Individuals Exited
- Average Cost per Participant

#### **Data and Measures where Disaggregation is Required by Entity Type**

The following data and measures must be collected in a way that enables states to disaggregate the information by entity type and report this information.

- Total Number of WIOA Participants
- Total Number of Individuals Exited
- Average Cost per Participant

### **Data and Measures where Disaggregation is Required by WIOA Participant Characteristic**

The data must be collected on WIOA participants so that states reports for each program of study:

- the number of WIOA participants and the percentage of these participants that fall into each of the categories for race, ethnicity, gender, and age; and
- the number of WIOA participants with barriers to employment and the percentage with each type of employment barrier.

#### **Public Burden Statement** (1205-0526)

Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondent's reply to these reporting requirements is required to obtain or retain a benefit (Workforce Innovation and Opportunity Act, Section 116). Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to the Office of Policy Development and Research • U.S. Department of Labor • Room N-5641 • 200 Constitution Ave., NW, • Washington, DC • 20210. **Do NOT send the completed application to this address.**