

Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback” (OMB#: 0925-0648 ExpDate:03/2018)

TITLE OF INFORMATION COLLECTION: CSR Poll on Grant Award Productivity using the Relative Citation Ratio (RCR)

PURPOSE:

NIH is measuring the productivity of grant awards by using a new bibliometric index developed at the NIH, the Relative Citation Ratio (RCR). The RCR normalizes raw citations at the article level to reflect the ratio of citations relative to other papers published in the same year and in the same field. NIH is also proposing to use the RCR in evaluating NIH grant applications. CSR is seeking feedback from NIH applicants and reviewers, who stand to be affected by the use of this new tool.

DESCRIPTION OF RESPONDENTS:

NIH grant applicants and those who review applications for NIH who subscribe to the NIH Peer Review Notes newsletter listserv. About 1,000 to 100 subscribers may read a given article in the newsletter.

TYPE OF COLLECTION: (Check one)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Customer Comment Card/Complaint Form | <input type="checkbox"/> Customer Satisfaction Survey |
| <input type="checkbox"/> Usability Testing (e.g., Website or Software) | <input type="checkbox"/> Small Discussion Group |
| <input type="checkbox"/> Focus Group | <input type="checkbox"/> Other: _____ |

CERTIFICATION:

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. The results are not intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Name: Mary Ann Guadagno

To assist review, please provide answers to the following question:

Personally Identifiable Information:

1. Is personally identifiable information (PII) collected? Yes No

2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? Yes No
3. If Applicable, has a System or Records Notice been published? Yes No

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? Yes No

ESTIMATED BURDEN HOURS and COSTS

Category of Respondent	No. of Respondents	No. of Responses per Respondent	Time per Response (in hours)	Total Burden Hours
CSR Reviewers	1,500	2	2/60	100
Totals	1,500	3000		100

Category of Respondent	Total Burden Hours	Hourly Wage Rate*	Total Burden Cost
CSR Reviewers	100	\$35.06	\$3,526
Totals	100	\$35.06	\$3,250

* Source of hourly wage rate estimate is bls.gov, May 2016, National Estimate for “Life, Physical, and Social Science Occupations.”

FEDERAL COST: The estimated annual cost to the Federal government is \$4,576.

Staff	Grade/Step	Salary	% of Effort	Fringe (if applicable)	Total Cost to Gov't
Federal Oversight					
Communications Director	14/10	\$145,629	1%		\$1,456
Contractor Cost		\$312,000	1%		\$3,120
Travel					\$0
Other Cost					\$0
Total					\$4,576

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
 Yes No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

We distribute our newsletter to NIH applicants and reviewers who subscribe to our newsletter, and readership for each article typically is typically between 1,000 to 100 readers depending on the popularity of the topic.

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
- Web-based or other forms of Social Media
 - Telephone
 - In-person
 - Mail
 - Other, Explain
2. Will interviewers or facilitators be used? Yes No