

What You Should Know about Enrolling Your Child in the National Children's Study (NCS) Vanguard Study

Parental Permission Form for Child from Birth to 6 Months of Age



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- Thank you for participating in the National Children’s Study (NCS) Vanguard Study. Your family is unique and critical to the success of this research study. With your help, the NCS will learn more about how our physical, social, and family environments affect the health, growth and development of our children.
- We hope your child will be one of thousands of children from across the United States helping us learn what will improve our children’s health. Although what we learn in the NCS Vanguard Study may not help your child or your family right now, the things we learn may help people in the future.
- Now we will tell you more about the kinds of information we would like to collect about your child when your child is born. We will ask for your permission to collect information about your child from birth to 6 months of age. We will also tell you about samples we would like to collect from your child and ask for your permission to collect them.
- Your child’s participation in the NCS Vanguard Study is your choice. You can decide whether your child takes part or not. Your child can leave the Study at any time and you can decide not to answer certain questions or not to give certain samples.

Sponsors

The National Children’s Study is led by the *Eunice Kennedy Shriver* National Institute of Child Health and Human Development of the National Institutes of Health (NIH) in collaboration with a consortium of federal government partners.

What is the goal of the National Children’s Study (NCS)?

- The goal of the NCS is to improve the health of all children in the United States.
- The NCS will help us learn more about how our physical environment (including air and dust), social surroundings (our neighborhoods and communities), and family life:
 - Affect how children grow, and
 - Help children stay healthy.
- The NCS has several stages. The first stage is called the NCS Vanguard Study.
 - The purpose of the NCS Vanguard Study is to help guide the design of the NCS Main Study.
- The next stage is called the NCS Main Study.
 - This phase of the NCS will look at how our genes act together with our environment to influence health, growth, and development.
- We hope about 100,000 children from all over the United States will take part in the NCS Main Study.
- You are being asked for permission for your child to participate in the NCS Vanguard Study.

Why is the NCS important?

- The NCS is important because it will help us understand how we can improve our children’s health.
- It is one of the largest ever research studies of children’s health and development.
- With your help, we can learn more about how our physical, social and family environments affect children’s health, growth, and development while they are young and when they become adults.
- The Study may also help us better understand why some children develop obesity, diabetes, autism, learning disabilities, asthma, or other problems.

What kind of study is the NCS Vanguard Study?

- The NCS Vanguard Study is an observational study.
- This means that we will not:
 - Ask you or your child to change what you normally do.
 - Ask you or your child to take any medicines or drugs.
- Study activities include:
 - Visiting with you and your child at home and maybe at other places where your child spends a lot of time. We may ask you to visit us at a clinic or another location near you.

- ▶ Asking questions about you and your child and where you live and work.
- ▶ Collecting samples from you and your child (like blood, urine, and saliva) and from your home (like dust and air).

How many children will be in the NCS Vanguard Study?

- ▶ About 5,000 children will be in the NCS Vanguard Study.
- ▶ We are also asking those caring for the children in the Study to participate.

How long will the NCS Vanguard Study last?

- ▶ The Study plans to follow children until they are 21 years old.
- ▶ This form asks for your permission for your child to join the NCS Vanguard Study from birth until about 6 months of age.
- ▶ When your child is around 6 months old, we will ask you if you would like your child to stay in the Study.

What is involved in taking part in the NCS Vanguard Study for the first few months after the birth of my child?

- ▶ While you are in the hospital or birthing center and after your baby is born, we would like to collect your child's umbilical cord blood and placenta.
 - ▶ We will work with the hospital, clinic, or birthing center staff to collect your cord blood. Blood is collected from the umbilical cord shortly after it is detached from the baby. This takes about 5 minutes.
 - ▶ If you are participating in a cord blood bank program to store your child's cord blood, we will not collect any cord blood for the Study.
- ▶ We would also like to collect a small amount of blood (up to 7 drops) from your child's heel soon after birth. We will work with hospital staff to collect this when they collect the baby's blood for routine tests.
 - ▶ No additional heel sticks will be performed on your child to collect this blood sample.
- ▶ All samples will be collected by trained medical professionals who know how to collect blood safely.
- ▶ We may ask you if we can take pictures of your child.
- ▶ We would like to ask you questions during your time in the hospital or soon after you get home.
 - ▶ This interview will take about 15 minutes. We will ask you about yourself, your child and your child's birth, and your plans after your child is born.

- ▶ You can skip any questions you do not want to answer, and your child can still be in the Study.

Will I need to do anything after I go home?

- ▶ We will give you an Infant Medical Care Log and ask you to write down some information when you take your child to the doctor.
 - ▶ We will ask you questions about these doctor visits when we talk to you on the phone or visit you in person.
- ▶ We will contact you to set up a phone interview with you when your child is about three months old.
- ▶ We will schedule another visit with you and your child when your child is around 6 months old.

What about genetic information?

- Genetic information is collected to help us learn how genes affect our children's health. We also hope to learn how our physical environment and experiences affect the way our genes work.
- If you agree, we will get information about your child's genes. We will get this information from the samples that we collect from your child. We will store your child's samples and analyze them in the future.
- The risks associated with genetic analyses are unknown. In some cases the results of these analyses may find the risk of getting an illness or being a carrier of an illness. We will do our best to keep all results private.
 - ▶ There is a law that helps protect people from most kinds of health insurance and employment discrimination on the basis of genetics. This law is called the Genetic Information Nondiscrimination Act (GINA). GINA does not protect people against discrimination by companies that sell life insurance, disability insurance, or long-term care insurance.
- Some people have concerns about genetic information for cultural or religious reasons. If you do not want us to do genetic analyses, let us know. You can tell us not to do genetic analyses and your child can still be in the Study.

What will the NCS Vanguard Study do with all this information?

- We will use what we learn in the NCS Vanguard Study to inform the NCS Main Study and to achieve the goal of the NCS to improve the health of all children.
- The NCS Vanguard Study may use the information and samples we get from your child during the NCS Vanguard Study in several ways. Researchers may use this information to find out:

- ▶ What questions and procedures will work best in the NCS Main Study.
- ▶ How children’s genes, surroundings, and experiences work together to affect growth, development, and health.
- ▶ How some conditions that appear later in childhood and adulthood begin in early childhood.
- By agreeing to let your child be in the NCS Vanguard Study, you are agreeing to allow the use of your child’s information and samples for:
 - ▶ The NCS Main Study.
 - ▶ Future studies on children’s health and human development. Future studies might be done by other approved researchers.
- We will store the information and samples that participants provide indefinitely.
 - ▶ We may combine your child’s data and genetic information with data from other research studies or information sources to answer important research questions. To do this, we may share genetic information through a secure national research database.
- In the future, scientists could develop new technologies or products based on the information and samples we collect from your child for the Study. Your child will not receive any money that may result from the development of such technologies or products.

How can I find out about the results of the NCS Vanguard Study?

- We will share what we learn overall from the NCS Vanguard Study. We will keep in touch with you through newsletters, on our website and in other ways.
- If tests we do during a visit show results important for your child’s health care, we will share them with you at that time. For example, we will give you information about your child’s height, weight and blood pressure when we measure them.
- We plan to analyze your child’s biological and environmental samples in the future.
 - ▶ At this time, we do not know when analyses will be done, which analyses will be done and when information from the analyses will be available.
- The analyses we will do on the samples will help us understand how the physical, social, and family environments, genes and other factors affect health and disease.
- These analyses are not intended to help guide your child’s health care.
- In case any results of these analyses do turn out to be vital to your child’s health care, we have a process in place for deciding this and telling you. We work with a group of doctors, scientists, and community members who advise us about analyses that could provide information vital to your child’s health care.
- If we do identify results that provide vital information directly related to your child’s health care, we will discuss options for sharing this information with you.

How will the NCS Vanguard Study protect my child's information?

- Study records that identify your child will be kept private to the extent allowed by law. Federal Privacy Regulations provide safeguards for privacy, security, and authorized access.
- We will make our best effort to protect your child's privacy by:
 - ▶ Using a number code to label all samples and other information instead of your child's name.
 - ▶ Keeping your question responses, results and other information about your child in a secure computer or locked file cabinet within a locked office.
 - ▶ Storing your child's samples in a secure place.
 - ▶ Reviewing all of the ways we store your child's information to improve how we protect your child's privacy.
 - ▶ Improving the ways your child's information is secured by using new technologies.
- We require researchers to keep your child's information safe. Researchers who want to use your child's information must:
 - ▶ Be authorized by the NCS and the Federal government to receive and store study information.
 - ▶ Protect your child's privacy by combining your responses and your child's information with that of other participants when reporting results.
- The NCS has a Certificate of Confidentiality from the U.S. Department of Health and Human Services. This legal document says that the Study does not have to give out your child's personal information, even if ordered to do so by a judge or court.
 - ▶ If you give a person or an organization written permission to see the information you gave the Study about your child, we cannot use the Certificate of Confidentiality to protect your child's information from that person or organization.

When might the NCS Vanguard Study share my child's information?

- The NCS needs to share your child's information to do the research described in this informed consent form.
 - ▶ The *Eunice Kennedy Shriver* National Institute of Child Health and Human Development runs the National Children's Study.
 - ▶ We hire groups and organizations to do work for the Study such as collecting, storing and analyzing data. These groups must be authorized by the Study to protect your child's information in ways described by Federal Privacy Regulations.

- The NCS may need to share your child's information to protect public health and safety.
 - If we learn that you or someone else is harming your child or others around your child, we may be required by law to report this to the police or a social services agency in your community.

What are the possible benefits of my child's participation in the NCS Vanguard Study?

- Taking part in the NCS Vanguard Study will not improve your child's health right now. But the Study may help us learn things about health that could benefit all of us—including your children and grandchildren—in the years to come.
- If your child needs medical or social services, we will give you names and contact information for people and agencies that can try to help.

What are the possible risks or burdens to my child and to his or her community from being in the NCS Vanguard Study?

- The immediate risks from the NCS Vanguard Study are the same as those in routine health care.
- The heel stick blood sample may cause your child a small amount of pain. Infants sometimes feel brief pain when blood is taken, and there is a very small risk of infection, bruising, or bleeding.
- There is no pain associated with collection of cord blood or the placenta.
- We may learn information about adoption or parentage (biological fatherhood or motherhood) of your child. We will not give out any information about parentage to you or anyone else.
- Although we are taking many steps to protect your child's information, there is always a chance that your child's information or identity or that of your family members could be disclosed. Such disclosures may also occur if you share information yourself or agree to have your child's research records released.
- We will continue to review and improve the ways we keep your child's information private.
- We will get information about your child's health, community, and your child's race and ethnicity. We will make files with this information available to approved researchers. In addition to the risks to individuals, the risks of providing information about racial or community groups are unknown. There is a possibility that specific Study findings will be associated with particular racial and ethnic groups.

Will I be paid for taking part in the birth visit, sample collections from my child, and follow-up phone call?

- We will give you about \$25 to \$100 in cash or gift cards to thank you each time you participate in a Study visit.
- We may also give you small gifts like a tote bag, water bottle, picture frame, or other small items to thank you for being in the Study.

What is the alternative to taking part in the NCS Vanguard Study?

- The alternative to taking part in the NCS Vanguard Study is not taking part in the Study.

What if I want my child to leave the NCS Vanguard Study?

- You can choose to have your child leave the NCS Vanguard Study at any time.
- If your child leaves the Study, we will not ask for any new information, but we will keep using the information you have already given us about your child and the samples we have collected from your child.
- If you want us to destroy any of your child's unused samples, you can ask us to do so and we will.
- Leaving or not taking part in the Study will not affect your child's access to health care or any other benefits your child may be receiving, like those from Social Security, Medicaid, WIC, or the Supplemental Nutrition Assistance Program.

What if my child and I move?

- We would like to keep in touch with you as long as the NCS Vanguard Study is collecting information and your child is still participating in the Study.
- We hope you will tell us whether you are planning to move so your child can still be part of the Study in your new home.
- If you move and forget to tell us, we will try to get in touch with you. We will use the information you have given us about family members and friends, as well as publicly available information.
- If we get in touch with you, we will ask whether you want your child to continue to be part of the Study.

Will it cost me anything for my child to be in the NCS Vanguard Study?

- No. There is no cost to you for your child's participation in the NCS Vanguard Study.
- The Study will pay for all procedures done as part of the NCS Vanguard Study. Any future analyses done on your child's samples as part of the NCS Vanguard Study will also be paid for by the NCS.

Does the NCS Vanguard Study pay for health care for my child?

- The NCS Vanguard Study cannot and will not pay for health care or mental health services for your child. If your child needs medical or social services, we will give you names and contact information for people and agencies that can try to help.
- The information we collect is for research purposes only. Being part of the NCS Vanguard Study does not take the place of your child's usual doctor or clinic visits.

If my child is part of the NCS Vanguard Study, will my child have to join other studies?

- If your child is part of the NCS Vanguard Study he or she does not have to join any other studies.
 - We may invite your child to be in other studies connected with the NCS.
 - If your child is invited to be in other studies, you can always say no.

What if the media wants to talk with me about my child's participation in the NCS Vanguard Study?

- The NCS will not tell the media anything about the identities of Study participants.
- Because of the importance of the Study, reporters may go to communities where the Study is being done. They may ask participants whether they want to talk about their experiences with the Study.
- If you are contacted by reporters, you can decide whether you want to talk to them. If you do talk to a reporter, he or she can write about anything you say. What you say will be public information. The organization that the reporter works for will have control over any information and material you give it.
- If you talk with the media or post on social media websites about your child's Study experience, your child's participation in the Study will be public knowledge. When this information becomes public, it will be harder for the Study to protect the privacy of your child's information and the information of other participants from your community.

Who can I contact if I have questions about the NCS Vanguard Study?

- If you have questions now, you can ask the Study representative who gave you this parental permission form.
- You may call the NCS toll free at 1-877-865-2619 at any time if you have questions. Ask to speak with a member of the Study staff or to the principal investigator, Dr. Steven Hirschfeld. If you have questions about your child's rights as a research participant, you may call the *Eunice Kennedy Shriver* National Institute of Child Health and Human Development Institutional Review Board at 301-496-8370.

Important things to remember about being in the NCS Vanguard Study.

- After reading this form, we hope you will decide to allow your child to participate in the NCS Vanguard Study.
- We will ask you to sign a page that says you have given permission for your child to be in the Study.
- You decide what questions to answer. You can also decide what samples to give from your child. If you decide not to answer some questions or give some samples, your child can still be in the Study.
- No matter what you decide now, you and your child can leave the Study at any time.
- Before you decide, you may want to talk with your family, friends, or doctor about your child taking part in the Study.
- You will receive a copy of this form.
- We will ask you for continued permission for your child's participation in the Study around the time that your child turns 6 months old.

Thank you for taking the time to learn about the NCS Vanguard Study.

For office use: PID

NICHD IRB Expiration Date: 11/19/2014

**National Children’s Study: Vanguard Study
Parental Permission Signature Page for Child’s Participation from Birth to 6 Months of Age**

- The NCS Vanguard Study (the Study) staff has explained the purpose of my child’s participation in the birth and 3-month visits of the Study, the procedures involved, and the risks and benefits for my child.
- I have asked all the questions I have now. I know who to contact if I have more questions.

I understand that:

- taking part in the Study is voluntary and my child can leave the Study at any time.
- if I do not want to answer a question or I do not want my child to do a part of the Study, we can skip it and my child can still be in the Study.
- any biological samples that are collected from my child will be stored in a secure facility and that the Study will control access to my child’s samples.
- that my child’s data and samples will be used in the future to help researchers learn about children’s health and human development.
- I will not routinely get results back from analyses done on my child’s samples.
- by signing this form, I give permission for the Study to collect information about my child from birth to 6 months of age.
- I will be asked for permission for my child’s continued participation in the Study when my child is about 6 months old.

Please complete the following check boxes, as indicated, and sign in the Child’s Parent/Legal Guardian box. You can answer “no” to any item and your child can still be in the NCS Vanguard Study.

Yes No

- | | | |
|---|--------------------------|--------------------------|
| I give my permission for the Study to collect my child’s cord blood. | <input type="checkbox"/> | <input type="checkbox"/> |
| I give my permission for the Study to collect my child’s placenta. | <input type="checkbox"/> | <input type="checkbox"/> |
| I give my permission for the Study to collect blood from my child’s heel. | <input type="checkbox"/> | <input type="checkbox"/> |

(If yes complete the line below)

- | | | |
|--|--------------------------|--------------------------|
| If I agree to collection of any of the samples above, I give my permission for the Study to use them for genetic analyses. | <input type="checkbox"/> | <input type="checkbox"/> |
|--|--------------------------|--------------------------|

I choose to give my permission for my child to participate in the NCS Vanguard Study from birth to 6 months of age.

<u>Child’s Parent/Legal Guardian</u>	
By signing this form, I give permission for my child, _____	
(Printed Legal Name of Child) Printed Legal Name of Parent/Legal Guardian: _____	
Signature of Parent/Legal Guardian: _____	
_____ Today’s Date: ___/___/___ _(mm/dd/yyyy)	

Witness (if required)

I observed the interviewer administer the parental permission form "What You Should Know about Enrolling Your Child in the National Children's Study (NCS) Vanguard Study," to the parent/legal guardian and the parent/legal guardian signed or marked this form.

Printed Legal Name of Witness: _____

Signature of Witness _____ Today's Date: ____/____/____ (mm/dd/yyyy)

Printed Legal Name of Person Obtaining Consent: _____

Signature of Person Obtaining Consent: _____ Today's Date: ____/____/____ (mm/dd/yyyy)

Data Collector: Keep top copy. Give participant bottom copy.