

Non Substantive Change Justification
OMB Control No. 1205-0461, Form ETA 9130, to conform with October 2011 Trade Adjustment Assistance Program Legislative Changes

The Employment and Training Administration requests approval for non-substantive changes to the current reporting requirements on the Basic ETA-9130, the U.S. DOL ETA Financial Report, to conform with the Trade Adjustment Assistance program's (TAA) October 2011 legislative changes.

The enactment of the TAA Extension Act in October 2011 (the Act) necessitated changes to the current ETA-9130, to ensure State Workforce Agencies' (SWAs) compliance with Fiscal Year 2012 grant awards made beginning with quarter ending December 31, 2011. SWAs must now report expenditures incurred for case management and associated administrative costs, as well as expenditures incurred for job, search and relocation allowances provided to TAA participants. The TAA program will no longer be funded under two streams - program and administration. Instead, a single funding allocation will combine these two categories. Therefore, grantees will no longer be required to file two quarterly reports (one for administration funds and one for program funds). The result is a reduction in burden hours for SWAs' reporting, though it is minimal.

In keeping with requirements of the Act, the clarifications and/or changes will be applied to existing line item instructions on the Basic ETA-9130 Quarterly Financial Status Report, implemented in TEN 12-07 and updated July 8, 2008. These clarifications and/or changes are marked in yellow highlight on both the proposed form revisions and the instructions for filling it out.

The three sections of the ETA-9130 financial status report impacted by this change and clarification are: (1) Line 10e, Federal Share of Expenditures; (2) Line 10f, Total Administrative Expenditures; and (3) Section 11, Additional Expenditure Data Required.

There will be no changes to Line 10(e) and 10(f); however, the instructions clarify what is reported on these lines for the Trade Adjustment Assistance program, because previously the program funded administrative expenditures separately and filed two separate ETA-9130 Financial Status reports – program and administrative.

Section 11 will add sub lines *(b) case management* and *(c) job search and relocation*. These additions are taken directly from the legislation and are required to comply with the legislation.