

**Supporting Statement for Form SSA-L4163**  
**Agency/Employer Government Pension Offset Questionnaire**  
**20 CFR 404.408(a)**  
**OMB No. 0960-0470**

**A. Justification**

1. **Introduction/Authoring Laws and Regulations** - Sections 202(b), (c), (e), (f) and (g) of the *Social Security Act* and Section 20 CFR 404.408(a) of the *Code of Federal Regulations* mandate that if an individual is concurrently receiving spousal or surviving spousal benefits and a government pension (based on the individual's own earnings while in the Federal or state government service), the individual may have the amount of Social Security benefits reduced by the government pension amount. This is the Government Pension Offset (GPO). The sections cited above refer to the regulations pertaining to this law. Additionally, 20 CFR 404.408(a) detail the exceptions to this rule.
2. **Description of the Collection** - Social Security application forms contain a lead question asking whether a claimant qualifies or will qualify to receive a government pension. If the answer is "yes," SSA asks the claimant for pension eligibility information. When an individual does not have the information necessary to compute the GPO, and when the pension-paying government agency has not provided the individual with this data, SSA sends Form SSA-L4163 to the pension-paying government agency to collect the information we need to determine if the GPO applies and if so, what the amount of the GPO should be.
3. **Use of Information Technology to Collect the Information** - Under the Government Paperwork Elimination Act (GPEA), SSA is not scheduling Form SSA-L 4112 for electronic implementation due to its low volume of use (less than the GPEA cut-off of 50,000 respondents). This is a paper form used exclusively by SSA personnel.
4. **Why We Cannot Use Duplicate Information** - The nature of the information we are collecting and the manner in which we are collecting it preclude duplication. SSA does not use another collection instrument to obtain similar data.
5. **Minimizing Burden on Small Respondents** - This collection does not affect small businesses or other small entities.
6. **Consequence of Not Collecting Information or Collecting it Less Frequently** - If we did not use the SSA-L4163, SSA would be unable to determine the GPO for claimants whose pension-paying agencies did not provide them with the necessary requested information. This could result in an overpayment or underpayment of Social Security benefits to the claimant, since we could not compute the GPO correctly. Since

we use this letter only when this situation occurs, we cannot collect the information less frequently. There are no technical or legal obstacles to burden reduction.

7. **Special Circumstances** - There are no special circumstances that would cause SSA to conduct this information collection in a manner inconsistent with 5 CFR 1320.5.
8. **Solicitation of Public Comment and Other Consultations with the Public** - SSA published the 60-day advance Federal Register Notice on May 13, 2010 at 75 FR 27036, and we received no public comments. We published the 30-day Notice on August 2, 2010 at 75 FR 45190. If we receive any public comments, we will forward them to OMB. There have been no outside consultations with members of the public.
  9. **Payment of Gifts to Respondents** - SSA does not provide payments or gifts to the respondents.
  10. **Assurances of Confidentiality** - SSA protects and holds confidential the information it collects in accordance with 42 U.S.C. 1306, 20 CFR 401 and 402, 5 U.S.C. 552 (Freedom of Information Act), 5 U.S.C. 552a (Privacy Act of 1974), and OMB Circular No. A-130.
    11. **Justification for Sensitive Questions** - This information collection does not contain any questions of a sensitive nature.
    12. **Estimates of Public Reporting Burden** - Approximately 1,000 respondents take 3 minutes each to complete Form SSA-L4163 annually. Accordingly, the burden is 50 hours. This figure represents burden hours, and we did not calculate a separate cost burden.
13. **Annual Cost to the Respondents** - This collection does not impose a known cost burden on the respondents.
14. **Annual Cost to the Federal Government** - The annual cost to the Federal Government is approximately \$231,000. This estimate is a projection of the costs for collecting and processing the information.
  15. **Program Changes or Adjustments to the Information Collection Request** - There are no changes in the public reporting burden.
  16. **Plans for Publication Information Collection Results** - SSA will not publish the results

of the information collection.

17. **Displaying the OMB Approval Expiration Date** - OMB granted SSA an exemption from the requirement to print the OMB expiration date on its program forms. SSA produces millions of public-use forms with life cycles exceeding those of an OMB approval. Since SSA does not periodically revise and reprint its public-use forms, (e.g., on an annual basis), OMB granted this exemption so SSA would not have to destroy stocks of otherwise usable forms with expired OMB approval dates, avoiding Government waste.
18. **Exemption to Certification Statement** - SSA is not requesting an exception to the certification requirements at 5 CFR 1320.9 and related provisions at 5 CFR 1320.9(b)(3).

**B. Collections of Information Employing Statistical Methods**

SSA does not use statistical methods for this information collection.