

COLLECTION OF INFORMATION EMPLOYING STATISTICAL METHODS, CPP Participants Use of Funds Survey

1. Potential Respondent Universe

The respondent universe for this collection of information will be the approximately 640 institutions participating in the Capital Purchase Program (CPP).

2. Statistical methodology for collection and sample selection, estimation procedure, and periodic cycles.

There is no sample collection. The information will be collected from institutions who voluntarily respond to the survey. The Office of Financial Stability (OFS) will encourage all CPP participants to respond, however, OFS does not have statutory authority to compel institutions to respond to this survey. Following data collection and cleaning, data will be analyzed. There will be no estimation of this data set either to the entire universe of financial institutions or to the complete set of institutions receiving CPP capital. This information will be collected annually.

3. Methods to Maximize Response Rates

OFS will send a letter to each CPP participant explaining why their responses to this survey are critical to restoring confidence in our financial system and ensuring that credit continues to be available to consumers and businesses. The response rate will be measured and non-response will be followed up by OFS.

4. Describe any tests of procedures or methods to be undertaken.

There will not be a pre-test for this survey.

5. Individuals Involved in Statistical Consultation and Information Collection

Currently the information collection will be handled by Whitney Bull of the OFS. Statistical consultation will occur within the Office of the Chief Financial Officer and with the chiefs of the different OFS divisions.